

The 19th Cambridge International Symposium on Economic Crime

The Hiding of Wealth

The annual Cambridge International Symposium on Economic Crime, which is now in its nineteenth year is a unique event. It brings together, in one of the oldest medieval Colleges of the University of Cambridge, ministers, officials, diplomats, regulators, law enforcement personnel, financial intermediaries, bankers, professional advisers and academics from around the world to focus, in depth, upon the issues relating to the prevention and control of illicit activity, which undermines economic, and therefore political, stability and integrity. The Cambridge Symposium has attracted well over 850 participants from over 80 different countries each year, many who return year after year.

Our Nineteenth Symposium concentrates on the many issues that relate to the hiding of wealth and the creation of secret money, through a process of laundering. While the drive to deprive criminal organisations of their ill-gotten gains is universal, and therefore the control of money laundering a vital issue for those involved in law enforcement and the handling of other peoples' money, the processes of hiding wealth are of much wider significance to those who are concerned with promoting and maintaining financial stability and integrity. Consequently, this year's Symposium, at the request of a number of governments and the business community, will focus on the legal, regulatory and practical issues relating to laundering and the very processes of putting wealth beyond the reach of law enforcement and the taxman. These issues will be addressed in the main programme and throughout over fifty expert workshops, not merely from the point of view of those tracing and interdicting the wealth in question, but also those on the receiving end – namely the banks and other intermediaries, together with their professional advisers.

In addressing the wealth of issues that arise, emphasis will be placed, as in previous years, on not only identifying and expertly assessing risks, but on addressing considerations of prevention, control, compliance and enforcement. The legitimate concerns of those working, directly or indirectly, in the financial sector confronted by the costs and risks of compliance and enforcement, will be given a high priority. It is only by confronting these issues from a multidisciplinary and truly international perspective that the values we all seek to maintain can be properly protected.

The Symposium provides, through the main programme and extensive series of workshops, a unique blend of formal presentation, structured analysis and discussion, which is complemented by unrivalled opportunities for networking. The structure of the programme, refined over nineteen years of experience within an academic institution of over 500 years standing, and drawing on the advice and support of a world wide consortium of universities, is able to address the many and varied issues, in a manner which offers real and practical assistance to those who have to look after other peoples' money or advise and protect those who do. The outstanding support that the organising institutions have received, over the years, from around the world is a testament to the relevance and significance of the Symposium.

The annual Symposium is not just another conference. It is organised by some of the world's leading academic and research institutions, with the active involvement, encouragement and support of governmental and inter-governmental agencies. It is run on a non-profit making basis and is not a commercial enterprise. The Cambridge Symposium was conceived and has been run for the purpose of promoting and facilitating mutual understanding of the issues facing those responsible for protecting our economies against abuse and economic crime. It is only upon such an understanding and mutual respect that meaningful co-operation can take place. Those who are concerned, at whatever level, to protect and promote the integrity and stability of their economy, enterprise or institution cannot afford to miss this unique opportunity! The standing, practical relevance, expertise and significance of the Symposium can properly be judged by the following programme and the commitment, without any form of remuneration or compensation, of the Faculty!

Professor Barry A. K. Rider
Symposium Director

The Organising Institutions

The Centre for International Documentation on Organised & Economic Crime
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
The International Chamber of Commerce
The British Institute of International and Comparative Law
City University Business School, London
Centre for European and International Financial Law, The University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Center for the Study of Organised Crime and Corruption, York University, Canada
The Computer Security Research Centre, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
University of the Orange Free State, Republic of South Africa
Centre for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute of Latin American Studies, School of Advanced Study, University of London
Institute for Legal Studies, Bulgarian Academy of Sciences
The Foundation for Information Policy Research
Computer Security Group, University of Cambridge

In association with: Jesus College, University of Cambridge

Sunday, September 9th, 2001

10:00am -

4:00pm

Registration in the Marquee, Jesus College

5:30pm

Opening Ceremony, Jesus College

Addresses by The Symposium Chairman and The Symposium Director

- **Mr Saul Froomkin QC**, *The Symposium Chairman and Chairman of CIDOEC. Senior Litigation Partner, Mello Jones & Martin (Bermuda). Former Attorney General, Bermuda, and Director of Criminal Law, Federal Government of Canada*
- **Professor Barry Rider**, *The Director, Institute of Advanced Legal Studies, University of London. The Symposium Director, Executive Director of CIDOEC, Fellow Commoner of Jesus College, Cambridge and Barrister*

Addresses by Representatives of the Organising Institutions

- **Professor Johan Henning**, *The Director of the Centre for Corporate Law and Practice, The Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Orange Free State, Republic of South Africa*
- **Professor Fletcher Baldwin**, *Chesterfield Smith Professor of Law, The Director, The Centre for International Financial Crimes Studies, University of Florida*
- **Dr James Backhouse**, *The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London*
- **Dr Mads Andenas**, *The Director, The British Institute of International Comparative Law, London, Senior Research Fellow, University of Oxford and former Director General (Legal) of the Royal Ministry of Finance, Government of Norway and Special Counsel to the EBRD*
- **Dr Chizu Nakajima**, *The Director, Centre for Financial Regulation, City University Business School, London*
- **Professor Leonid Fituni**, *The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow*
- **Dr George Gilligan**, *Logan Research Fellow, Department of Business Law and Taxation, Monash University, Australia*
- **Mr William Tupman**, *Senior Lecturer, Department of Politics, University of Exeter and Intercentre, University of Messina, former Director of the Centre for Police and Criminal Justice Studies, University of Exeter*
- **Captain Mukundan**, *The Director, The International Chamber of Commerce – International Maritime Bureau*
- **Dr Margaret Beare**, *The Director, The Nathanson Center for the Study of Organised Crime and Corruption York University, Canada*

6:45pm

Cocktails in the Marquee

7:45pm

Dinner in Hall and Upper Hall

Welcome to Jesus College from **Professor Robert Mair**, *The Master, Jesus College* and After-dinner Address by **The Rt Hon The Lord Williams of Mostyn QC**, *The Leader of the Lords and Former Attorney General of England and Wales*, introduced by and with a vote of thanks by **Professor Barry A.K. Rider**, *Symposium Director*

Monday, September 10th, 2001

08:30

Opening Keynote Addresses

Chair: **Mr Saul Froomkin QC**, *The Symposium Chairman*

- **The Rt Hon Tan Sri Dzaidin bin Abdullah**, *The Chief Justice of Malaysia*
- **Ms Clarie Lo**, *The President, Financial Action Task Force and Commissioner for Narcotics, Hong Kong*
- **Mr David D. Aufhauser**, *The General Counsel of the US Department of Treasury*

Coffee

- **Ms Elizabeth Montano**, *The Director, Australian Transaction and Reports Analysis Centre (AUSTRAC)*
- **Mr Jurgen Storbeck**, *The Secretary General, Europol*

- *Mr Godfrey Stadlen, Head, Financial Crime Team, Organised and International Crime Directorate, The Home Office*

12:00 **Group Photograph**, Jesus College

12:45 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Session I: Global Financial Stability**

Chair: *Dr Chizu Nakajima, The Director, Centre for Financial Regulation, City University Business School, London*

- *Dr William Witherell, Director, Financial, Fiscal and Enterprise Affairs, OECD*
- *Dr Dayanath Jayasuriya, The Director General, Sri Lanka Securities and Exchange Commission*
- *Mr Alastair Clark, Executive Director for Financial Stability, Bank of England*
- *Professor Ernesto Savona, Professor of Criminology and Director of TRANSCRIME, School of Law, University of Trento, Italy*
- *Mr Kloudijo Stroligo, The Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia*
- *Professor Peter Nolan, Sinyi Professor of Chinese Management, The Judge Institute, Cambridge University and Fellow of Jesus College*
- *Dr Alena Ledeneva, School for Slavonic and Middle Eastern Studies, University of London*

15:50 **Tea**

16:10 **Session II: Financial Crime and Money Laundering**

Chair: *Professor John Maher, Professor of Law and former Dean, Dickinson School of Law, University of Pennsylvania and Commissioner, Pennsylvania Securities Commission*

- *Mrs Rosalind Wright, The Director, Serious Fraud Office of England and Wales and former General Counsel, Securities and Futures Association*
- *Ms Kimberly Prost, Head, Commercial Crime Unit, Commonwealth Secretariat*
- *Mr John Moscow, Assistant District Attorney, County of New York District Attorney's Office*
- *Mr Tim Morris, Liaison Officer, Australian Federal Police, Singapore*
- *Mr Joey Wang, Assistant Director of the Money Laundering Prevention Centre, Ministry of Justice Investigation Bureau, Taiwan*
- *Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, The Centre for International Financial Crimes Studies, University of Florida*
- *Mr Marcelo Ruiz, Senior Partner, Anzorreguy, Ruiz & Asociados, Buenos Aires and former Political Counsellor, Argentine Embassy, London*

18:45 **Cocktails** in the Marquee generously hosted by **Taylor Joynson Garrett** (Solicitors), London

19:45 **Dinner** in Hall and Upper Hall, Jesus College generously hosted by **UBS AG**, Switzerland

After-dinner addresses by *Mr Andrew Whittaker, General Counsel, The Financial Services Authority* introduced by *Professor Barry A. K. Rider, Symposium Director*, with a vote of thanks by *Dr Chizu Nakajima, The Director, Centre for Financial Regulation, City University Business School* and by *Professor Jon Mills, Dean of the Levin College of Law, University of Florida and former Speaker of the House of Representatives, State of Florida*, introduced by *Ms Tracy Paradise, Symposium Manager and The Secretary, The Society for Advanced Legal Studies* with a vote of thanks by *Dr Kern Alexander, Butterworths Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London*

Tuesday, September 11th, 2001

SPECIAL BREAKFAST WORKSHOP – 8:00 – 9:00

Presented by The Federal Bureau of Investigation, US Department of Justice

CASE STUDIES IN CYBERCRIME

Pat Ford, Supervisory Special Agent, Internet Fraud Complaint Centre, FBI

09:05 **Keynote Addresses: The Laundering of the Proceeds of Corruption (and wider issues relating to inhibiting Corruption)**

Dr Hans-Peter Bauer, Managing Director, UBS AG, Switzerland

Introduced by *Mr Saul Froomkin QC, Symposium Chairman*

09:30 **Session III: Laundering the Proceeds of Bribery and Corruption**

Chair: *Mr Saul Froomkin QC, Symposium Chairman*

- *The Hon Dr Ibrahim Y. Lamé, Senior Special Assistant to the President on Drugs and Financial Crimes, Nigeria*
- *Mr Mark Tantom, National Partner, Fraud Investigations, Deloitte & Touche*
- *Dr David Chaikin, Senior Partner, Motis Pacific Lawyers and Barrister, Chairman and CEO of Cyberbrief Pty Ltd, Former Senior Assistant Secretary, Australian Federal Attorney General's Department*
- *Mr John Bray, Principal Research Consultant, Control Risks Group, London*
- *Mr Michael Blanchflower SC, Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong*
- *Professor Mark Pieth, Professor of Criminal Law and Criminology, University of Basel, Switzerland*

11:10 **Coffee**

11:30 **Session IV: The Implications for Intermediaries in handling (innocently or otherwise) the Proceeds of Corruption**

Chair: *Mr Howard Alexander, Vice President and Compliance Officer, Banco Santander Central Hispano, New York*

- *Professor Dr. Dorothee Einsele, Christian Albrechts Universität zu Kiel, Germany*
- *Mr Michael Tugendhat QC, Barrister, 5 Raymond Buildings, London*
- *Mr Toby Graham, Partner, Taylor Joynson Garrett (Solicitors), London*
- *Dr Cécile Ringgenberg, Senior Partner, Ringgenberg & Schulthess, Geneva*
- *Mr Henry Rossbacher, Senior Partner, Rossbacher and Associates, Los Angeles*

12:45 **Lunch in Hall and Upper Hall, Jesus College**

14:00 **Session V: E-Commerce and Money Laundering**

Chair: *Sir Kenneth Warren, Chairman of the Advisory Board, Centre for Financial Regulation, City University Business School, and former Chairman of the House of Commons Select Committee on Trade and Industry*

- *Mr Len Hynds, Detective Superintendent and Head of the Hi-Tech Crime Unit, National Crime Squad, UK*
- *Mr Tan Siong Thye, The Director, Commercial Affairs Department, Singapore Police Force*
- *Dr James Backhouse, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London*
- *Mr Nick Coleman, e-business Services Executive, IBM Global Services*
- *Dr Ross Anderson, Head, Computer Security Group, University of Cambridge*
- *Mr Martin Thomas, Deputy Director, Financial Law Panel*

15:30 **Tea**

SPECIAL WORKSHOP I - 15:45-17:15

Presented by The Financial Services Authority (UK)

FIGHTING FINANCIAL CRIME - A REGULATORY PERSPECTIVE

Brian Dilley, Enforcement Head of Group

Jonathan Phelan, Enforcement Manager

THE WOLFSBERG WORKSHOPS – 17:20-18:50

Presented by **Crédit Suisse, Switzerland**

POLITICALLY EXPOSED PERSONALITIES: DEFINITION, RECOGNITION AND DUE DILIGENCE

Beat Hubacher, Managing Director, Crédit Suisse, Switzerland

Corporate Workshop I – 15:45-17:15

Presented by **Lovells**

THE UK REGIME: JOINED UP THINKING OR KNEE JERK RESPONSE AND ARE THINGS ANY BETTER IN THE US?

Jon Holland, Partner, Banking and Financial Litigation Group, Lovells (Solicitors), London
Marc Gottridge, Counsel, Lovells, New York

CORPORATE WORKSHOP II- 17:20-18:50

Presented by **CMS Cameron McKenna**

A COMPARISON OF POWERS IN DIFFERENT JURISDICTIONS TO OBTAIN SEARCHING, FREEZING AND TRACING ORDERS

Anthony Marks, Partner, CMS Cameron McKenna (Solicitors), London
Duncan Aldred, Partner, CMS Cameron McKenna (Solicitors), London

WORKSHOP 1 - 15:45- 17:15

Strategies for Controlling Corruption

John McFarlane, Visiting Fellow, Australian Defence Studies Centre
Taiwo Olaleye-Oruene, Director, The Olabisi-Olaleye Foundation
Frederick Longino, Anti-Corruption Bureau, Government of Tanzania
Doungkamol Bhanalaph, Dhurakijpundit University, Bangkok

WORKSHOP 6 - 17:20 - 18:50

Pursuing the Proceeds of Corruption

Stephen Vink, Group Forensic Auditor, Fedsure Holdings, Johannesburg

WORKSHOP 2 -15:45- 17:15

Money Laundering: How Do You Work Out Whom You Can Trust?

Nick Coleman, e-business Services Executive, IBM Global Services
David La Bouchardiere, Risk Management Consulting Practice, IBM Global Services

WORKSHOP 7 - 17:20 - 18:50

The COMLEY (Control of Money Laundering in the Electronic Years) Project

Dr James Backhouse, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London
Bernard Dyer, Computer Security Research Centre, London School of Economics and Political Science
Dr Massimo Nardo, Manager, Ufficio Italiano dei Cambi, Rome

WORKSHOP 8 - 15:45 - 17:15

After Electronic Commerce, Electronic Money Laundering?

Dr Ross Anderson, Head, Computer Security Group, University of Cambridge
Nicholas Bohm, Member, The Law Society's E-Commerce Committee
Peter Landrock, Cryptomathic
Dr Jack Lang, Technical Director, ntl

WORKSHOP 3 - 17:20 - 18:50

Beauty and the Beast: Hot Art and Dirty Money

Charles Hill, The Charles Hill Partnership, formerly of AXA-Nordstern Art
Graham Saltmarsh, The Charles Hill Partnership, formerly of the National Crime Squad

WORKSHOP 4 -15:45 - 17:15

Interpol's Databases and the Control of Economic Crime: The Super-User Project

Mike Dixon, ICPO Interpol, National Criminal Intelligence Service, London

WORKSHOP 9 - 17:20 - 18:50

Legitimation as a Strategy for Dealing with Dirty Money

William Tupman, Senior Lecturer, Department of Politics, University of Exeter and Intercentre, University of Messina, former Director of the Centre for Police and

	Criminal Justice Studies, University of Exeter Dr James Sheptycki , Lecturer in Sociology, University of Durham
<u>WORKSHOP 5 - 15:45 - 17:15</u> Financial penalties: strategies to combat economic crime Professor Fletcher Baldwin , Chesterfield Smith Professor of Law, The Director, The Centre for International Financial Crimes Studies, University of Florida Professor Louis de Koker , Director, Centre for the Study of Economic Crime, Faculty of Law, Rand Afrikaans University, Republic of South Africa Adv Jeanne de Koker , Senior Lecturer, Department of Business Law and Taxation, School of Business & Economics, Monash University, Republic of South Africa	<u>WORKSHOP 10 - 17:20 - 18:50</u> Hi-Tech Crimes Cancelled Len Hynds will be speaking in Session V instead.

18:50 **Cocktails** in the Marquee

19:50 **Dinner** in Hall and Upper Hall

Both generously hosted by **Lovells** (Solicitors), London

Address by **H.E. Mr Ken Shimanouchi**, Deputy Ambassador of Japan to the Court of St. James and **Mr Saul Fromkin QC**, Symposium Chairman introduced by **Dr Chizu Nakajima**, The Director, Centre for Financial Regulation, City University Business School, London with a response by **Jon Holland**, Partner, Lovells and a vote of thanks by **Professor Avrom Sherr**, Woolf Professor of Legal Education, Institute of Advanced Legal Studies, University of London

Wednesday, September 12th, 2001

SPECIAL BREAKFAST WORKSHOP – 8:00-9:15

Presented by The Organised Crime and Racketeering Section, US Department of Justice

A WORLD WITHOUT FRONTIERS: THE US JUSTICE DEPARTMENT ON ORGANISED CRIME IN THE UNITED STATES

Bob Dalton, Attorney at Law, RICO Unit, Organised Crime and Racketeering Section, US Department of Justice

09:20 **Keynote Addresses: Organised and Serious Crime and Money Laundering**

Mr William Hughes, The Director General, National Crime Squad, UK

Dr Carlo Santini, The Director General, Ufficio Italiano dei Cambi, Rome

Introduced by *Mr Tom Lloyd, Deputy Chief Constable, Cambridgeshire Constabulary*

10:05 **Session VI: The Proceeds of Crime and Money Laundering (other than Corruption)**

Chair: *Sir Ivan Lawrence QC, Barrister, 2 Paper Buildings, One of HM Recorders and former Chairman of the Home Affairs Committee, House of Commons*

- *Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow*
- *Mr Simon Maylam, Detective Inspector, Money Laundering Investigation Team, National Crime Squad, UK*
- *Mr Peter German, Superintendent “E” Division, Royal Canadian Mounted Police*
- *Mr Stefan Cassella, Assistant Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice*

11:10 **Coffee**

11:30 **Session VII: The Implications for Intermediaries and their Professional Advisers in dealing with the Proceeds of Serious Crime (other than Corruption)**

Chair: *Her Hon Judge Diana Faber, Circuit Judge and Former Law Commissioner, England and Wales*

- *Mr Michael Brindle QC, Barrister, Fountain Court Chambers, London*
- *Mr Theodore S. Greenberg, Special Counsel for International Money Laundering, US Department of Justice*
- *Mr Richard Small, Director, Anti-Money Laundering, Citigroup, New York*
- *Mr Alistair Munro, Director, Investigation Services, Deloitte & Touche*
- *Dr Evan Bell, Restraint and Confiscation Section, Department of Public Prosecutions for Northern Ireland*

12:45 **Lunch** in Hall and Upper Hall

14:00 **Session VIII: Underground Banking Systems and their Impact on the Control of Money Laundering**

Chair: *Mr James Perry, Detective Chief Superintendent and Head of the Fraud Squad, Metropolitan Police, London*

- *Mr Jyoti Trehan, Jawaharlal Nehru Fellow and Inspector General of Police, Indian Police Service*
- *Dr Fath El-Rahman El Sheikh, Legal Adviser, Kuwait Investment Authority*
- *Dr Vladimir Brovkin, Co-Director, Transnational Crime and Corruption Centre, American University, Washington DC*
- *Dr. George Gilligan, Logan Research Fellow, Department of Business Law and Taxation, Monash University, Australia*

15:30 **Tea**

SPECIAL WORKSHOP II - 15:45-17:15

Presented by The OECD & The US Securities & Exchange Commission

The Misuse of Corporate Vehicles

Simon Wong, Counsel, Corporate Affairs Division, Organisation for Economic Co-operation and Development

Tom Newkirk, Assistant Director of Enforcement, US Securities & Exchange Commission

Talmi Morgan, Director of Fiduciary Services and Enforcement, Guernsey Financial Services Commission

SPECIAL WORKSHOP III – 17:20-18:50

Presented by The US Customs Service

INVESTIGATION OF UNDERGROUND BANKING SYSTEMS

Marcy Forman, Division Director, Financial Investigative Programme, US Customs Service

William John Davis, US Customs Service

THE WOLFSBERG WORKSHOPS – 15:45-17:15

Presented by UBS AG, Switzerland

GLOBAL STANDARDS FOR MONEY LAUNDERING PREVENTION: FORMULATION, IMPLEMENTATION AND EXPERIENCE

Dr Hans-Peter Bauer, Managing Director, UBS AG, Switzerland

Martin Peter, Executive Director, UBS AG, Switzerland

<p align="center"><u>WORKSHOP 11 - 15:45 - 17:15</u></p> <p align="center">Drugs and Money Laundering: Trends</p> <p>Simon Maylam, Detective Inspector, Money Laundering Investigation Team, National Crime Squad, UK David Monk, Deputy Money Laundering Reporting Officer, Bank of New York, Europe, Ltd</p>	<p align="center"><u>WORKSHOP 16 - 17:20 - 18:50</u></p> <p align="center">South Pacific: Arc of Instability and Haven for Financial Crime? Organised and Economic Crime in Australasia</p> <p>John McFarlane, Visiting Fellow, Australian Defence Studies Centre, formerly of the Australian Federal Police</p>
<p align="center"><u>WORKSHOP 12 - 15:45 - 17:15</u></p> <p align="center">The Hiding of Wealth: Organised Crimes in Asian Countries</p> <p>Professor Takeyoshi Imai, Faculty of Law, Hosei University, Japan Dr Chizu Nakajima, The Director, Centre for Financial Regulation, City University Business School, London Senior representatives of the Supreme People's Procuratorate of the People's Republic of China</p>	<p align="center"><u>WORKSHOP 17 - 17:20 - 18:50</u></p> <p align="center">Organised and Economic Crime in Central & Eastern Europe</p> <p>Janusz Bojarski, Chair of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland Dr Vladimir Brovkin, Co-Director, Transnational Crime and Corruption Centre, American University, Washington DC Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow William Tupman, Senior Lecturer, Department of Politics, University of Exeter and Intercentre, University of Messina, former Director of the Centre for Police and Criminal Justice Studies, University of Exeter Anna Markovskaya, City University Business School and formerly of the Government of the Ukraine</p>
<p align="center"><u>WORKSHOP 13 - 15:45 - 17:15</u></p> <p align="center">Transnational Organised and Economic Crime</p> <p>Professor Ernesto Savona, Professor of Criminology and Director of TRANSCRIME, School of Law, University of Trento, Italy</p>	<p align="center"><u>WORKSHOP 18 - 17:20 - 18:50</u></p> <p align="center">Illegal Immigration and Serious Crime</p> <p>Mike Dixon, ICPO Interpol Liaison, National Criminal Intelligence Service, London Bob Owen, National Criminal Intelligence Service, London Britt Meyland-Smith, Solicitor</p>
<p align="center"><u>WORKSHOP 14 - 15:45 - 17:15</u></p> <p align="center">Money Laundering and Financial Crime – The Assessment of Vulnerability</p> <p>Michael Adlem, Senior Manager, Fraud & Integrity Risk Services, Arthur Andersen Nic Carrington, Partner, Fraud & Integrity Risk Services, Arthur Andersen Paul Doxey, Partner, Fraud & Integrity Risk Services, Arthur Andersen Michael Walters, Partner, Fraud & Integrity Risk Services, Arthur Andersen</p>	<p align="center"><u>WORKSHOP 19 - 17:20 - 18:50</u></p> <p align="center">European Responses to Money Laundering</p> <p>Kiki Plugge, Advocate General, Office of the Chief Prosecutor, The Hague Monique ter Hart, Advocate General, Office of the Chief Prosecutor, The Hague</p>
<p align="center"><u>WORKSHOP 15 - 15:45 - 17:15</u></p> <p align="center">Trends in the Fight against Money Laundering in Latin America</p> <p>Ricardo Alba, President, Agrica-Lex, Panama Dr Jaime Ospina-Velasco, Ospina & Asociados, Colombia Evaluz Cotto-Quijano, University of Puerto Rico Silvia Fazio, Attorney, Brazil</p>	<p align="center"><u>WORKSHOP 20 - 17:20 - 18:50</u></p> <p align="center">The Mafia's Money</p> <p>Professor Rosalba Alessi, Professor of Private Law and Director, Interdepartmental Centre for European Private Law, University of Palermo Dr Daniela Mainenti, Research Fellow, University of Palermo</p>

- 18:45** **Cocktails** in the Marquee generously hosted by **Arthur Andersen Fraud and Integrity Risk Services**
- 19:30** Informal Evening of Cultural Entertainment generously hosted by **The Taipei Representative Office in the United Kingdom** in **Chapel Court**
- 19:35** **Lion Dance**
- 20:00** **Fireworks Display**
- 20:30** **Chinese and South East Asian Buffet in Hall and Upper Hall**

Thursday, September 13th, 2000

SPECIAL BREAKFAST WORKSHOP – 8:00-9:15

Presented by Experts of the Financial Action Task Force, Paris

THE FINANCIAL ACTION TASK FORCE FORTY RECOMMENDATIONS: COMPLIANCE AND ENFORCEMENT

John Carlson, Administrator, Financial Action Task Force, Paris
Elizabeth Montano, The Director, Australian Transaction and Reports Analysis Centre (AUSTRAC)
Theodore S. Greenberg, Special Counsel for International Money Laundering, US Department of Justice
Elaine Byrne, Banking Supervision Department, Central Bank of Ireland

09:20 Keynote Address: Taxation and Money Laundering

Mr Howard Flight MP, The Shadow Economic Secretary to the HM Treasury

Ms Paula Junghans, Attorney at Law, Piper Marbury Rudnick & Wolfe, Washington DC and former Assistant Attorney General, Tax Division, US Department of Justice

Mr Andrew Edwards, Director, EPFM Consulting and formerly of HM Treasury

Introduced by *The Hon Mr Delano F. Bart, The Attorney General, St. Kitts & Nevis, West Indies*

10:10 Session IX: Taxation, Fiscal Controls and Money Laundering

Chair: *Mr David Hartnett, The Director General, HM Inland Revenue*

- *Dr Richard Rahn, Senior Fellow, The Discovery Institute, Washington D.C. and former Chief Economist, US Chamber of Commerce*
- *Mr Martyn J. Bridges, Partner, Investigation Services, Deloitte & Touche*
- *Dr Margaret Beare, The Director, The Nathanson Center for the Study of Organised Crime and Corruption York University, Canada*
- *Professor Dan Magnusson, Economic Crimes Bureau, Stockholm*

11:20 Coffee

11:35 Session X: The Implications for Financial Intermediaries (especially Offshore Intermediaries) in attacking Money Laundering through enhancing Tax Law Enforcement

Chair: *Mr John Aspden, The Chief Executive, Isle of Man Financial Services Commission*

- *The Hon Mr Delano F. Bart, The Attorney General, St. Kitts & Nevis, West Indies*
- *Mr Pietro Sansonetti, Partner, Schellenberg Wittmer, Geneva*
- *Mr James Springer, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice*
- *Mr Peter Neville, The Director General, Guernsey Financial Services Commission*
- *Mr Peter Larder, Managing Director, Barclays Private Bank & Trust Limited, Jersey*

13:00 Lunch in Hall and Upper Hall

14:00 Session XI: Global Financial Business and the Implications for Effective Control of Money Laundering (With particular reference to the impact of various international initiatives to facilitate financial probity and stability in offshore financial centres)

Chair: *Minister Toru Shikibu, Minister (Finance), The Japanese Embassy in London and former Director of Securities Business Supervision, Japanese Financial Services Authority*

- *The Hon Mr David Ballantyne, The Attorney General, Cayman Islands*
- *Mr Philip Schaedler, The Director, Liechtenstein Banking Association*
- *Dr William Witherell, Director, Financial, Fiscal and Enterprise Affairs, OECD*

- *Mr Richard Pratt, The Director General, Jersey Financial Services Commission*
- *Mr G. Philip Rutledge, Chief Counsel, Pennsylvania Securities Commission*
- *Mr Carlo Comporti, Senior Officer, The Forum of European Securities Commissions*

15:30

Tea

SPECIAL WORKSHOP IV - 15:45 - 17:15

Presented by The US Securities and Exchange Commission, The Attorney General's Office of Guernsey and The Guernsey Financial Services Commission

THE INSIDE TRACK: TAKING STOCK OF INFORMATION SHARING IN SECURITIES ENFORCEMENT MATTERS

The Hon Geoffrey Rowland, The Attorney General, Guernsey
Felice Friedman, Acting Director, International Affairs Office, The US Securities and Exchange Commission
Peter Neville, The Director General, Guernsey Financial Services Commission
Mohammad Nizam bin Ismail, Monetary Authority of Singapore

SPECIAL WORKSHOP V – 17:20-18:50

Presented by HM Inland Revenue

FINANCIAL INSTITUTIONS, CONFIDENTIALITY AND THE INLAND REVENUE

John Middleton, The Director, Special Compliance Office, HM Inland Revenue

THE WOLFSBERG WORKSHOPS – 15:45-17:15

Presented by Deutsche Bank

CORRESPONDENT BANKING: MONEY LAUNDERING IN CLEARING AND PAYMENTS

Thomas Obermaier, Deutsche Bank, New York

CORPORATE WORKSHOP III – 17:20 –18:50

Presented by Schellenberg Wittmer, Attorneys, Geneva

EVASION VERSUS AVOIDANCE: THE SWISS SCENARIO AND WHERE TO DRAW THE LINE

Paul Gully-Hart, Partner, Schellenberg Wittmer
Pietro Sansonetti, Partner, Schellenberg Wittmer

WORKSHOP 21- 15:45 - 17:15

Data Protection and Data Use in Due Diligence

Lawrence N. Boyce, Vice President, Sales Compliance and Registration,
 Investment Dealers Association of Canada
Julian Harris, Senior Information Officer, Institute of Advanced Legal Studies,
 University of London
G. Montgomery Rankin, Attorney at Law, Florida
Michael Ricks, Michael Ricks and Associates
Emiko Saito, City University Business School

<p align="center"><u>WORKSHOP 22 - 15:45 - 17:15</u></p> <p>Human Rights Issues arising from Highly Intrusive Investigative Provisions</p> <p>Anton Keller, The Secretary, Swiss Investors Protection Association Dr Dan Mitchell, McKenna Senior Fellow in Political Economy, The Heritage Foundation and Chairman of the Board of the Centre for Freedom and Prosperity, Washington DC Dr Richard Rahn, Senior Fellow, The Discovery Institute, Washington D.C. and former Chief Economist, US Chamber of Commerce Dr Gilbert Morris, Chairman of the Board, Security Policy Group International, Washington DC</p>	<p align="center"><u>WORKSHOP 27 - 17:20 - 18:50</u></p> <p>On the Receiving End: Human Rights and Dealing with Law Enforcement</p> <p>Dr Nancy Baldwin, Attorney at Law, Gainesville, Florida Graham Ritchie, Director, International Professional Research and Training Unit, Institute of Advanced Legal Studies, University of London Richard Alexander, Rowe and Maw Research Officer in European Financial Law, Institute of Advanced Legal Studies, University of London</p>
<p align="center"><u>WORKSHOP 23 -15:45 - 17:15</u></p> <p>You can run, but you can't hide: US Programmes and Procedures to Identify and Interdict Money Laundering and Offshore Hidden Assets</p> <p>Ian Comisky, Blank, Rome, Comisky & McCauley LLP, Philadelphia Plato Cacheris, Law Offices of Plato Cacheris, Washington DC Nancy Jardini, Division Counsel/Associate Chief Counsel for Criminal Tax, The US Internal Revenue Service Paula Junghans, Attorney at Law, Piper Marbury Rudnick & Wolfe, Washington DC and former Assistant Attorney General, Tax Division, US Department of Justice Harvey Silets, Katten Muchin & Zavis LLP, Chicago</p>	<p align="center"><u>WORKSHOP 28 - 17:20 - 18:50</u></p> <p>Mutual Assistance from the Offshore Jurisdictions</p> <p>Fitz-Roy Drayton, Legal/Judicial Adviser, Caribbean Anti-Money Laundering Programme, Trinidad Barnard Humphris, Law Enforcement Adviser, Caribbean Anti-Money Laundering Programme, Trinidad Alan Lambert, Consultant, Caribbean Anti-Money Laundering Programme, Trinidad and former Head of Hertfordshire Police Financial Investigation Unit Brian Reynolds, Programme Manager, Caribbean Anti-Money Laundering Programme, Trinidad</p>
<p align="center"><u>WORKSHOP 26 - 15:45 - 17:15</u></p> <p>Tax Evasion and Money Laundering</p> <p>Frank Brannigan, Director, Investigation Services, Deloitte & Touche Martyn Bridges, Partner, Investigation Services, Deloitte & Touche Alistair Munro, Director, Investigation Services, Deloitte & Touche</p>	<p align="center"><u>WORKSHOP 29 - 17:20 - 18:50</u></p> <p>Offshore Banking and Financial Probity</p> <p>Richard Pratt, The Director General, Jersey Financial Services Commission David Minty, Detective Inspector and Head, Joint Police and Customs Financial Crimes Unit, States of Jersey Police</p>
<p align="center"><u>WORKSHOP 25 - 15:45 - 17:15</u></p> <p>Offshore Centres and Financial Regulation: A Legal and Economic Approach</p> <p>Professor Donato Masciandaro, Universita Luigi Bocconi, Milan Dr Alessandro Portolano, Bank of Italy</p>	<p align="center"><u>WORKSHOP 30 - 17:20 - 18:50</u></p> <p>Money Laundering in Letters of Credit Transactions</p> <p>Eric Ellen, former Director of the ICC-IMB and Director, First Approach Lin Ellen, former Director of the ICC-CCS and Director, First Approach</p>

18:45 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **H.E. Mr Tarald Osnes Brautaset**, *Ambassador Extraordinary and Plenipotentiary of the Royal Norwegian Embassy to the Court of St. James* and **Mr David Lock**, *former Parliamentary Secretary, The Lord Chancellor's Department* introduced by **Dr Mads Andenas**, *The Director, The British Institute of International Comparative Law, London, Senior Research Fellow, University of Oxford and former Director General (Legal) of the Royal Ministry of Finance, Government of Norway and Special Counsel to the EBRD*, with a vote of thanks by **Mr Saul Fromkin QC**, *Symposium Chairman*

Friday, September 14th, 2001

08:30 **Keynote Address: The Maintenance and Preservation of Financial Stability and Good Governance in the Financial Sector**

Mr William Holder, Deputy General Counsel, International Monetary Fund

Mr Charles Freeland, Deputy Secretary General, Bank for International Settlements, Basel

Introduced by Dr Mads Andenas, The Director, The British Institute of International Comparative Law, London, Senior Research Fellow, University of Oxford and former Director General (Legal) of the Royal Ministry of Finance, Government of Norway and Special Counsel to the EBRD

- 09: 20** **Session XII: Regulation and Controls over Money Laundering: New Law, Old Problems**
- Chair: *Mr T. Michael Ashe QC, Head of Chambers, 9 Stone Buildings, London and one of HM Recorders*
- *Mr Gilles de Kerchove, Director, Council of Europe Justice and Home Affairs Department*
 - *Professor William Gilmore, Professor of International Criminal Law, Law School, University of Edinburgh and former Head of the Commonwealth Crime Unit, Commonwealth Secretariat, London*
 - *Professor Louis de Koker, Director, Centre for the Study of Economic Crime, Faculty of Law, Rand Afrikaans University, Republic of South Africa*
 - *Dr George Millard, Special Adviser to the Commissioner of Police, Brazilian Civil Police*
 - *Ms Ruth Fitzgerald, Office of the Attorney General of Ireland, Dublin*
- 11:00** **Coffee**
- 11:20** **Session XIII: Reporting Suspicions of Money Laundering and “Whistleblowing”: the Legal and Other Implications for Intermediaries and their Advisers**
- Chair: *Ms Patricia Howse, Assistant Director, The Serious Fraud Office of England and Wales and former Director of Statutory Investigations, Financial Services Authority*
- *Mr Peter Richards-Carpenter, Partner, Rowe and Maw (Solicitors), London*
 - *Ms Katlen Bloecker, Lovells Boesebeck Droste, Berlin*
 - *Mr Ian Comisky, Partner, Blank Rome Comisky and McCauley LLP, Philadelphia*
 - *Professor Johan Henning, The Director of the Centre for Corporate Law and Practice, The Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Orange Free State, Republic of South Africa*
 - *Professor Paul Latimer, Department of Business Law and Taxation, Monash University, Australia*
- 12:45** **Lunch** in Hall and Upper Hall, Jesus College
- 14:00** **Session XIV: Between a Rock and a Hard Place: the Plight of Innocent Financial Intermediaries, the Demands of Law Enforcement and the Expectations of Clients**
- Chair: *Mr George Staple QC, Partner, Clifford Chance and former Director, Serious Fraud Office*
- *Ms Lorna Harris, Head, Mutual Legal Assistance, UK Central Authority, Home Office Organised and International Crime Directorate*
 - *Mr Wilmer Parker III, Head, Criminal Defence and Special Matters Group, Kilpatrick Stockton LLP, Attorneys at Law, Atlanta. Former Assistant US Attorney, US Department of Justice and Chief, Drug Division, Organised Crime Drug Enforcement Task Force (SE Region)*
 - *Mr Alun Jones QC, Barrister, 3 Raymond Buildings, London*
 - *Mr Marcelo Ruiz, Senior Partner, Anzorreguy, Ruiz & Asociados, Buenos Aires and former Political Counsellor, Argentine Embassy, London*
 - *Mr Charles Saphos, of Counsel, Hall Booth Smith & Slover, PC, Atlanta and former General Counsel, Interpol, USA*
- 15:30** **Tea**

SPECIAL WORKSHOP VI - 15:45 – 17:15

Presented by The Federal Reserve Bank of New York

THE INVESTIGATIVE METHODS OF THE FEDERAL RESERVE BANK OF NEW YORK

Thomas Roche, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York
Nick Proto, Assistant Vice President and Chief Financial Investigator, Federal Reserve Bank of New York

CORPORATE WORKSHOP IV – 17:20 – 18:50

Presented by Herbert Smith (Solicitors), London

THE INTERACTION BETWEEN CIVIL LIABILITY AND CRIMINAL LIABILITY FOR MONEY LAUNDERING

*Peter Burrell, Partner, Herbert Smith (Solicitors), London
Nichola Thorley, Herbert Smith (Solicitors), London*

THE WOLFSBERG WORKSHOPS – 17:20-18:50

Presented by Citigroup

GLOBAL STANDARDS FOR MONEY LAUNDERING PREVENTION: FORMULATION, IMPLEMENTATION AND EXPERIENCE

Joseph T. Petro, Director, Global Anti-Money Laundering Compliance, Citigroup, New York

<p><u>WORKSHOP 31 - 15:30 - 17:00</u></p>	<p>The Seizing of Assets</p> <p>Dr Evan Bell, Restraint and Confiscation Section, Department of Public Prosecutions for Northern Ireland Sara Dayman, Partner, BDO Stoy Hayward</p>
<p><u>WORKSHOP 32 - 15:30 - 17:00</u></p> <p>Know Your Customer in Commercial Transactions</p> <p>THE INTERNATIONAL CHAMBER OF COMMERCE</p>	<p><u>WORKSHOP 37 - 17:15 - 18:45</u></p> <p>Know Your Customer in Banking Transactions</p> <p>Peter Richards-Carpenter, Partner, Rowe & Maw (Solicitors), London</p>
<p><u>WORKSHOP 33 - 15:30 - 17:00</u></p> <p>Creating a Compliance Regime</p> <p>Stuart Bazley, Head of Compliance and Legal Counsel, Edward Jones Dr George Gilligan, Logan Research Fellow, Department of Business Law and Taxation, Monash University, Australia Elizabeth Sheffield, The Secretary, Compliance Institute, London</p>	<p><u>WORKSHOP 38 - 17:15 - 18:45</u></p> <p>International Policing of Money Laundering</p> <p>Peter German, Superintendent "E" Division, Royal Canadian Mounted Police Simon Maylam, Detective Inspector, Money Laundering Investigation Team, National Crime Squad, UK Tim Morris, Liaison Officer, Australian Federal Police, Singapore John Sliter, Inspector and Officer in Charge, Policy and Programme Management, The Economic Crime Programme, Royal Canadian Mounted Police</p>
<p><u>WORKSHOP 34 - 15:30 - 17:00</u></p> <p>New British Legislation</p> <p>Jason Haines, Research Fellow in Financial Regulation, Institute of Advanced Legal Studies, University of London Dr Andrew Haynes, Head of the Institute of Finance, University of Wolverhampton</p>	<p><u>WORKSHOP 40 - 17:15 - 18:45</u></p>
<p>Micro and Macro Economic Effects: Secreting Assets to evade Non-Business (Private) Obligations and Responsibilities</p> <p>Professor Ellen Harshman, Associate Provost and Professor of Management, School of Business and Administration, St. Louis University Professor Fred C. Yeager, Chair of Finance, School of Business and Administration, St. Louis University Professor Muhammed Islam, Professor of Economics, St. Louis University Professor Camille Nelson, Professor of Law, St. Louis University Professor Henry Ordower, Professor of Law, St. Louis University</p>	<p>Extra-territorial Enforcement of US Criminal Laws: Mutual Legal Assistance and the Use of International Organisations to gather Evidence and enforce International Criminal Standards</p> <p>Gregory Glynn, Partner, Arthur Cox, Dublin Stephen Jonas, Senior Partner and Co-Chair, Government Enforcement and Litigation Group, Hale and Dorr LLP, Boston Richard V. Wiebusch, Senior Partner, Litigation, Hale and Dorr LLP, Boston John Willman, Banking Editor, Financial Times</p>

18:45 **Cocktails** in the Marquee
19:45 **Dinner** in Hall and Upper Hall, Jesus College

both generously hosted by **Citigroup**

After-dinner address by **Mr Edward Jurith**, *The General Counsel, The Executive Office of the President of the United States, Office of National Drug Control Policy* introduced by **Mr G. Philip Rutledge**, *Chief Counsel, Pennsylvania Securities Commission* with a vote of thanks by **Professor Fletcher Baldwin**, *Chesterfield Smith Professor of Law, The Director, The Centre for International Financial Crimes Studies, University of Florida*

Saturday, September 15th, 2001

08:45 **Keynote Address: New Strategies in Addressing Money Laundering and Maintaining Probity in the Financial Sector**

Ms Carol Sergeant, Managing Director, Financial Services Authority

Introduced by **Mr. Saul Froomkin QC**, *The Symposium Chairman*

09:30 **Session XV: Good Compliance**

Chair: *Ms Carol Sergeant, Managing Director, Financial Services Authority*

- *Mr Stuart Bazley, Head of Compliance and Legal Counsel, Edward Jones*
- *Ms Elizabeth Sheffield, The Secretary, The Compliance Institute, London*
- *Mr Thomas Roche, Deputy General Counsel and Senior Vice-President, Federal Reserve Bank of New York*
- *Mr Olivier Raynaud, Magistrat, Chargé de Mission, Services des Etudes Juridiques, Secrétariat Général de la Commission Bancaire, Paris*
- *Mr Tan Sin Liang, Khattar Wong & Partners, Singapore*
- *Ms Susan Galli, Vice President, Director of Compliance e-Business, Citibank, N.A., New York*

11:00 **Coffee**

11:15 **Session XVI: Is the Balance Right: Do we expect too much from the Private Sector in protecting our Societies from Organised Crime and Corruption?**

Chair: *Professor John Maher, Professor of Law and former Dean, Dickinson School of Law, University of Pennsylvania*

- *Mr John Moscow, Assistant District Attorney, County of New York District Attorney's Office*
- *Mr Monty Raphael, Peters & Peters (Solicitors), London*
- *Mr Joseph T. Petro, Director, Global Anti-Money Laundering Compliance, Citigroup, New York*
- *The Rt Hon The Lord Hacking, Barrister, Littleton Chambers, London*
- *Dr Helen Lee, Barrister, formerly of the Securities and Futures Commission of Hong Kong*

12:45 **Lunch** in Hall and Upper Hall

14:00 **Session XVII: New Strategies in addressing the Adverse Aspects of Secret and Dirty Money**

Chair: *Professor Barry A. K. Rider, Symposium Director*

- *Mr Perry Nove QPM, The Commissioner, City of London Police*
- *Dr Kern Alexander, Butterworths Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London*
- *Professor Fletcher Baldwin, Chesterfield Smith Professor of Law, The Director, The Centre for International Financial Crimes Studies, University of Florida*
- *Mr Saul Froomkin QC, The Symposium Chairman*
- *Professor Michael Levi, Professor of Criminology, White-Collar and Organised Crime Unit, School of Social and Administrative Studies, Cardiff University*

- *Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter and Intercentre, University of Messina, former Director of the Centre for Police and Criminal Justice Studies, University of Exeter*
- *Professor Mario Serio, Il Consigliere, Consiglio Nazionale della Magistratura, Rome*

15:45 **Tea**

16:00 **Plenary Discussion**

Chair: *Professor John Maher, Professor of Law and former Dean, Dickinson School of Law, University of Pennsylvania*

17:15 **Closing Remarks by Mr Saul Froomkin QC, The Symposium Chairman and Professor Barry A.K. Rider, The Symposium Director**

18:45 **Cocktails & Informal Buffet Supper** in the Marquee, with music in Hall

The Symposium “Faculty”

Mr Michael Adlem, Senior Manager, Fraud & Integrity Risk Services, Arthur Andersen
Mr Ricardo M. Alba, President, Agrica-Lex, Panama
Mr Duncan Aldred, Partner, CMS Cameron McKenna (Solicitors), London
Professor Rosalba Alessi, Professor of Private Law and Director, Interdepartmental Centre for European Private Law, University of Palermo
Dr Kern Alexander, Butterworths Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London
Mr Richard Alexander, Rowe and Maw Research Officer in European Financial Law, Institute of Advanced Legal Studies, University of London
Mr Howard Alexander, Vice President and Compliance Officer, Banco Santander Central Hispano, New York
Dr Mads Andenas, The Director, The British Institute of International Comparative Law, London, Senior Research Fellow, University of Oxford and former Director General (Legal) of the Royal Ministry of Finance, Government of Norway and Special Counsel to the EBRD
Dr Ross Anderson, Head, Computer Security Group, University of Cambridge
Mr T. Michael Ashe QC, Head of Chambers, 9 Stone Buildings, London and one of HM Recorders
Mr John Aspden, The Chief Executive, Isle of Man Financial Services Commission
Mr David D Aufhauser, General Counsel of the US Department of Treasury
Dr James Backhouse, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London
Professor Fletcher Baldwin, Chesterfield Smith Professor of Law, The Director, The Centre for International Financial Crimes Studies, University of Florida
Dr Nancy Baldwin, Attorney at Law, Florida
The Hon Mr David Ballantyne, The Attorney General, Cayman Islands
The Hon Mr Delano F. Bart, The Attorney General, St Kitts & Nevis, West Indies
Dr Hans-Peter Bauer, Managing Director, UBS AG, Switzerland
Mr Stuart Bazley, Head of Compliance and Legal Counsel, Edward Jones
Dr Margaret Beare, The Director, The Nathanson Center for the Study of Organised Crime and Corruption, York University, Canada
Dr Evan Bell, Department of Public Prosecutions for Northern Ireland
Miss Doungkamol Bhanalaph, Dhurakijpundit University, Bangkok
The Rt Hon Tan Sri Dzaiddin bin Abdullah, The Chief Justice of Malaysia
Mr Mohammad Nizam bin Ismail, Senior Assistant Director, Securities Regulation Development, Financial Supervision Group, Monetary Authority of Singapore
Mr Michael Blanchflower SC, Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
Dr Katlen Bloecker, Lovells Boesebeck Droste, Berlin
Mr Nicholas Bohm, Member, The Law Society's E-Commerce Committee
Mr Janusz Bojarski, Chair of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
Mr Lawrence N. Boyce, Vice President, Sales Compliance and Registration, Investment Dealers Association of Canada
Mr Frank Brannigan, Director, Investigation Services, Deloitte & Touche
H E Mr Tarald Osnes Brautaset, Ambassador Extraordinary and Plenipotentiary of the Royal Norwegian Embassy to the Court of St James
Mr John Bray, Principal Research Consultant, Control Risks Group, London
Mr Martyn J. Bridges, Partner, Investigation Services, Deloitte & Touche
Mr Michael Brindle QC, Barrister, Fountain Court Chambers, London
Dr Vladimir Brovkin, Co-Director, Transnational Crime and Corruption Centre, American University, Washington DC
Mr Peter Burrell, Partner, Herbert Smith (Solicitors), London
Ms Elaine Byrne, Banking Supervision Department, Central Bank of Ireland
Mr Plato Cacheris, Law Offices of Plato Cacheris, Washington DC
Mr John Carlson, Administrator, The Financial Action Task Force, Paris
Mr Nic Carrington, Partner, Fraud & Integrity Risk Services, Arthur Andersen
Mr Stefan Cassella, Assistant Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice
Dr David Chaikin, Senior Partner, Motis Pacific Lawyers and Barrister, Chairman and CEO of Cyberbrief Pty Ltd, Former Senior Assistant Secretary, Australian Federal Attorney General's Department
Mr Alastair Clark, Executive Director for Financial Stability, Bank of England
Mr Nick Coleman, e-business Services Executive, IBM Global Services
Mr Ian Comisky, Partner, Blank, Rome, Comisky & McCauley LLP, Philadelphia
Mr Carlo Comperti, Senior Officer, The Forum of European Securities Commissions
Ms Evaluz Cotto-Quijano, University of Puerto Rico
Mr Bob Dalton, Attorney at Law, RICO Unit, Organised Crime and Racketeering Section, US Department of Justice
Mr William John Davies, Financial Investigative Programme, US Customs Service

Mrs Sara Dayman, Partner, BDO Stoy Hayward
Mr Gilles de Kerchove, Director, Council of Europe Justice and Home Affairs Department
Advocate Jeanne de Koker, Senior Lecturer, Department of Business Law and Taxation, School of Business & Economics, Monash University, Republic of South Africa
Professor Louis de Koker, Director, Centre for the Study of Economic Crime, Faculty of Law, Rand Afrikaans University, Republic of South Africa
Mr Brian Dilley, Enforcement Head of Group, Financial Services Authority
Mr Mike Dixon, ICPO Interpol Liaison, National Criminal Intelligence Service, London
Mr Paul Doxey, Partner, Fraud & Integrity Risk Services, Arthur Andersen
Mr Fitz-Roy Drayton, Legal/Judicial Adviser, Caribbean Anti-Money Laundering Programme, Trinidad
Mr Bernard Dyer, Computer Security Research Centre, London School of Economics and Political Science, University of London
Mr Andrew Edwards, Director, EPFM Consulting and formerly of HM Treasury
Professor Dr Dorothee Einsele, Christian Albrechts Universtät zu Kiel, Germany
Dr. Fath El-Rahman El Sheikh, The Legal Advisor, Kuwait Investment Authority
Mr Eric Ellen, former Director of the ICC-IMB and Director, First Approach
Mrs Lin Ellen, former Director of the ICC-CCS and Director, First Approach
Her Hon Judge Diana Faber, Circuit Judge and Former Law Commissioner, England and Wales
Ms Silvia Fazio, Attorney, Brazil
Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
Ms Ruth Fitzgerald, Office of the Attorney General of Ireland, Dublin
Mr Howard Flight MP, The Shadow Economic Secretary to HM Treasury
Pat Ford, Supervisory Special Agent, internet Fraud Complaint Centre, FBI
Ms Marcy Forman, Division Director, Financial Investigative Programme, US Customs Service
Mr Charles Freeland, Deputy Secretary General, Bank of International Settlements, Basle
Ms Felice Friedman, Acting Director, International Affairs Office, The US Securities and Exchange Commission
Mr Saul Fromkin QC OBE, The Symposium Chairman and Chairman of CIDOEC. Senior Litigation Partner: Mello Jones & Martin (Bermuda). Former Attorney General, Bermuda, and Director of Criminal Law, Federal Government of Canada
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Mr Gregory Glynn, Partner, Arthur Cox, Dublin
Mr Marc Gottridge, Counsel, Lovells, New York
Mr Toby Graham, Partner, Taylor Joynson Garrett (Solicitors), London
Mr Theodore S. Greenberg, Special Counsel for International Money Laundering, US Department of Justice
Mr Paul Gully-Hart, Partner, Schellenberg Wittmer, Geneva
The Rt Hon The Lord Hacking, Barrister, Littleton Chambers, London
Mr Jason Haines, Research Fellow, Institute of Advanced Legal Studies, University of London
Mr Julian Harris, Senior Information Officer, Institute of Advanced Legal Studies, University of London
Ms Lorna Harris, Head, Mutual Legal Assistance, UK Central Authority, Home Office Organised and International Crime Directorate
Professor Ellen Harshman, Associate Provost and Professor of Management, School of Business and Administration, St. Louis University
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Mr Beat Hubacher, Managing Director, Crédit Suisse, Switzerland
Mr William Hughes, The Director General, National Crime Squad, UK
Mr Barnard Humphris, Law Enforcement Adviser, Caribbean Anti-Money Laundering Programme, Trinidad
Mr Len Hynds, Detective Superintendent and Head of the Hi-Tech Crime Unit, National Crime Squad, UK
Professor Takeyoshi Imai, Faculty of Law, Hosei University, Japan
Professor Muhammed Islam, Professor of Economics, St. Louis University
Ms Nancy Jardini, Division Counsel/Associate Chief Counsel for Criminal Tax, The US Internal Revenue Service
Dr Dayanath Jayasuriya, The Director General, Sri Lanka Securities and Exchange Commission
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Ms Paula Junghans, Attorney at Law, Piper Marbury Rudnick & Wolfe, Washington DC and former Assistant Attorney General, Tax Division, US Department of Justice
Mr Edward Jurith, The General Counsel, Office of National Drug Control Policy, The Executive Office of the President of the United States
Mr Anton Keller, The Secretary, Swiss Investors Protection Association, Geneva
Mr David La Bouchardiere, Risk Management Consulting Practice, IBM Global Services
Mr Alan Lambert, Consultant, Caribbean Anti-Money Laundering Programme, Trinidad and former Head of Hertfordshire Police Financial Investigation Unit
The Hon Dr Ibrahim Y. Lamé, Senior Special Assistant to the President on Drugs and Financial Crimes, Nigeria
Mr Peter Landrock, Cryptomathic Ltd
Dr Jack Lang, Technical Director, ntl
Mr Peter Larder, Managing Director, Barclays Private Bank & Trust Limited, Jersey
Professor Paul Latimer, Head, Department of Business Law and Taxation, Monash University, Australia
Sir Ivan Lawrence QC, Barrister, 2 Paper Buildings, One of HM Recorders and former Chairman of the Home Affairs Committee, House of Commons
Dr Alena Ledeneva, School for Slavonic and Middle Eastern Studies, University of London
Dr Helen Lee, Barrister, formerly of the Securities and Futures Commission of Hong Kong
Professor Michael Levi, Professor of Criminology, White-Collar and Organised Crime Unit, School of Social and Administrative Studies, Cardiff University
Mr Tom Lloyd, Deputy Chief Constable, Cambridgeshire Constabulary
Ms Clarie Lo, The President, Financial Action Task Force and Commissioner for Narcotics, Hong Kong
Mr David Lock, Former Parliamentary Secretary, The Lord Chancellor's Department

Mr Frederick Longino, Anti-Corruption Bureau, Government of Tanzania
Professor Dan Magnusson, Economic Crimes Bureau, Stockholm
Professor John Maher, Professor of Law and former Dean, Dickinson School of Law, University of Pennsylvania and Commissioner, Pennsylvania Securities Commission
Dr Daniela Mainenti, Research Fellow, University of Palermo
Professor Robert Mair, The Master, Jesus College
Ms Anna Markovskaya, City University Business School and formerly of the Government of the Ukraine
Mr Anthony Marks, Partner, CMS Cameron McKenna (Solicitors), London
Professor Donato Masciandaro, Università Luigi Bocconi, Milan
Mr Simon Maylam, Detective Inspector, Money Laundering Investigation Team, National Crime Squad, UK
Mr John McFarlane, Visiting Fellow, Australian Defence Studies Centre, formerly of the Australian Federal Police
Ms Britt Meyland-Smith, Solicitor
Mr John Middleton, The Director, Special Compliance Office, HM Inland Revenue
Dr George Henry Millard, Special Adviser to the Commissioner of Police, Brazilian Civil Police
Professor Jon Mills, Dean of the Levin College of Law, University of Florida and former Speaker of the House of Representatives, State of Florida
Mr David Minty, Detective Inspector and Head, Joint Police and Customs Financial Crimes Unit, States of Jersey Police
Dr Daniel J Mitchell, McKenna Senior Fellow in Political Economy, The Heritage Foundation and Chairman of The Board of the Centre for Freedom and Prosperity, Washington DC
Mr David Monk, Deputy Money Laundering Reporting Officer, Bank of New York, Europe, Ltd
Ms Elizabeth Montano, The Director, Australian Transaction and Reports Analysis Centre (AUSTRAC)
Mr Talmay Morgan, Director of Fiduciary Services and Enforcement, Guernsey Financial Services Commission
Dr Gilbert Morris, Chairman of the Board, Security Policy Group International, Washington DC
Mr Tim Morris, Liaison Officer, Australian Federal Police, Singapore
Mr John Moscow, Assistant District Attorney, County of New York District Attorney's Office
Captain Mukundan, The Director, The International Chamber of Commerce
Mr Alastair Munro, Director, Investigation Services, Deloitte & Touche
Dr Chizu Nakajima, The Director, Centre for Financial Regulation, City University Business School, London
Dr Massimo Nardo, Manager, Ufficio Italiano dei Cambi, Rome
Professor Camille Nelson, Professor of Law, St. Louis University
Mr Peter Neville, The Director General, Guernsey Financial Services Commission
Mr Tom Newkirk, Assistant Director of Enforcement, US Securities & Exchange Commission
Professor Peter Nolan, Sinyi Professor of Chinese Management, The Judge Institute, Cambridge University and Fellow of Jesus College
Mr Perry Nove QPM, The Commissioner, City of London Police
Mr Thomas Obermaier, Deutsche Bank, New York
Ms Taiwo Olaleye-Oruene, Director, The Olabisi-Olaleye Foundation, London
Professor Henry Ordower, Professor of Law, St. Louis University
Mr Jaime Ospina-Velasco, Ospina & Asociados, Colombia
Mr Bob Owen, National Criminal Intelligence Service, London
Ms Tracy Paradise, Symposium Manager and The Secretary, The Society for Advanced Legal Studies and The Statute Law Society
Mr Wilmer Parker III, Head, Criminal Defence and Special Matters Group, Kilpatrick Stockton LLP, Attorneys at Law, Atlanta. Former Assistant US Attorney, US Department of Justice and Chief, Drug Division, Organised Crime Drug Enforcement Task Force (SE Region)
Mr James Perry, Detective Chief Superintendent and Head of the Fraud Squad, Metropolitan Police, London
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Mr Joseph T. Petro, Director of Global Anti-Money Laundering Compliance, Citigroup, New York
Mr Jonathan Phelan, Enforcement Manager, Financial Services Authority
Professor Mark Pieth, Professor of Criminal Law and Criminology, University of Basel
Ms Kiki Plugge, Advocate General, Office of the Chief Prosecutor, The Hague
Dr Alessandro Portolano, Bank of Italy
Mr Richard Pratt, The Director General, Jersey Financial Services Commission
Ms Kimberly Prost, Head, Commercial Crime Unit, Commonwealth Secretariat
Mr Nicholas Proto, Assistant Vice President and Chief Financial Investigator, Federal Reserve Bank of New York
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Professor Barry A. K. Rider, The Director, Institute of Advanced Legal Studies, University of London. The Symposium Director, Executive Director of CIDOEC, Fellow Commoner of Jesus College, Cambridge and Barrister
Dr Cécile Ringgenberg, Senior Partner, Ringgenberg & Schulthess, Geneva
Mr Graham Ritchie, Director, International Professional Research and Training Unit, Institute of Advanced Legal Studies, University of London
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Mr Henry Rossbacher, Senior Partner, Rossbacher and Associates, Los Angeles
The Hon Mr Geoffrey Rowland, The Attorney General, Guernsey
Mr Marcelo Ruiz, Senior Partner, Anzorreguy, Ruiz & Asociados, Buenos Aires and former Political Counsellor, Argentine Embassy, London
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Dr Carlo Santini, The Director General, Ufficio Italiano dei Cambi, Rome
Mr Chuck Saphos, of Counsel, Hall Booth Smith & Slover, PC, Atlanta and former General Counsel, Interpol, USA
Professor Ernesto Savona, Professor of Criminology and Director of TRANSCRIME, School of Law, University of Trento, Italy
Mr Philip Schaedler, The Director, Liechtenstein Banking Association
Ms Carol Sergeant, Managing Director, Financial Services Authority
Professor Mario Serio, Il Consigliere, Consiglio Nazionale della Magistratura, Rome
Ms Elizabeth Sheffield, The Secretary, Compliance Institute, UK

Dr James Sheptycki, Lecturer in Sociology, University of Durham
Professor Avrom Sherr, Woolf Professor of Legal Education, Institute of Advanced Legal Studies, University of London
Minister Toru Shikibu, Minister (Finance), The Japanese Embassy in London and former Director of Securities Business Supervision, Japanese Financial Services Authority
H E Mr Ken Shimanouchi, Deputy Ambassador of Japan to the Court of St James
Mr Harvey Silets, Partner, Katten Muchin & Zavis LLP, Chicago
Mr John Sliter, Inspector and Officer in Charge, Policy and Programme Management, The Economic Crime Programme, Royal Canadian Mounted Police
Mr Richard Small, Director, Anti-Money Laundering, Citibank, New York
Mr James Springer, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice
Mr Godfrey Stadlen, Head, Financial Crime Team, Organised and International Crime Directorate, The Home Office
Mr George Staple QC, Partner, Clifford Chance and former Director, Serious Fraud Office
Mr Jurgen Storbeck, The Secretary General, Europol
Mr Klauđijo Stroligo, The Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia
Mr Sin Liang Tan, Khattar Wong & Partners, Singapore
Mr Siong Thye Tan, The Director, Commercial Affairs Department, Singapore Police Force
Mr Mark Tantam, National Partner, Fraud Investigations, Deloitte & Touche
Ms Monique ter Hart, Advocate General, Office of the Chief Prosecutor, The Hague
Mr Martin Thomas, Deputy Director, Financial Law Panel
Ms Nichola Thorley, Herbert Smith (Solicitors), London
Mr Jyoti Trehan, Jawaharlal Nehru Fellow and Inspector General of Police, Indian Police Service
Mr Michael Tugendhat QC, Barrister, 5 Raymond Buildings, London
Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter and Intercentre, University of Messina, former Director of the Centre for Police and Criminal Justice Studies, University of Exeter
Mr Stephen Vink, Group Forensic Auditor, Fedsure Holdings, Johannesburg, Republic of South Africa
Mr Michael Walters, Partner, Fraud & Integrity Risk Services, Arthur Andersen
Mr Joey Wang, Supervisory Special Agent, Ministry of Justice Investigation Bureau, Taiwan
Sir Kenneth Warren, Chairman of the Advisory Board, Centre for Financial Regulation, City University Business School, and former Chairman of the House of Commons Select Committee on Trade and Industry
Mr Andrew Whittaker, The General Counsel, Financial Services Authority
Mr Richard V Wiebusch, Senior Partner, Litigation, Hale and Dorr LLP, Boston
The Rt Hon The Lord Williams of Mostyn QC, The Leader of the Lords and former Attorney General of England and Wales
Mr John Willman, Banking Editor, Financial Times
Dr William Witherell, Director, Financial, Fiscal and Enterprise Affairs, OECD
Mr Simon Wong, Counsel, Corporate Affairs Division, OECD
Mrs Rosalind Wright, The Director, Serious Fraud Office of England and Wales and former General Counsel, Securities and Futures Association
Professor Fred C. Yeager, Chair of Finance, School of Business and Administration, St. Louis University

Please note that, having regard to the number and calibre of the speakers involved, it is inevitable that changes will take place.

Jesus College, Cambridge

Jesus College was established as a college in the University of Cambridge in 1496, by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, nineteen years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

Principal Organising Institutions

The Centre for International Documentation on Economic and Organised Crime (CIDOEC).

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr. Saul Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues, including the prevention and control of economic crime. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary at the House of Lords.

The Society for Advanced Legal Studies

The Society is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. Membership of the Society as a Fellow or Associate Fellow is open to anyone who has a post-graduate degree in law or a related discipline and or who has the right to practise law in any jurisdiction. The Society's Advisory Council is chaired by The Rt Hon The Lord Steyn, Lord of Appeal in Ordinary at the House of Lords.

The Centre for Financial Regulation of City University Business School

The City University Business School (CUBS) is one of the leading business and management schools in England and has the advantage of being based in the City of London, with the Lord Mayor as its Chancellor. The Centre for Financial Regulation was established to promote and conduct research, across a broad spectrum of disciplines, in the area of financial regulation. The Chairman of the Centre's Advisory Council is Sir Kenneth Warren.

Symposium Chairman

Mr. Saul Froomkin QC

Symposium Chairman and Chairman of CIDOEC. Senior Litigation Partner, Mello Jones & Martin (Bermuda). Former Attorney General, Bermuda, and Director of Criminal Law, Federal Government of Canada

Symposium Director

Professor Barry A. K. Rider

The Director, Institute of Advanced Legal Studies, University of London. Symposium Director, Executive Director of CIDOEC, Fellow Commoner of Jesus College, Cambridge and Barrister

Deputy Symposium Director

Dr. Chizu Nakajima

The Director, Centre for Financial Regulation, City University Business School, London

Symposium Manager

Ms. Tracy Paradise

*The Secretary, The Society for Advanced Legal Studies, London
The Secretary, The Statute Law Society*