

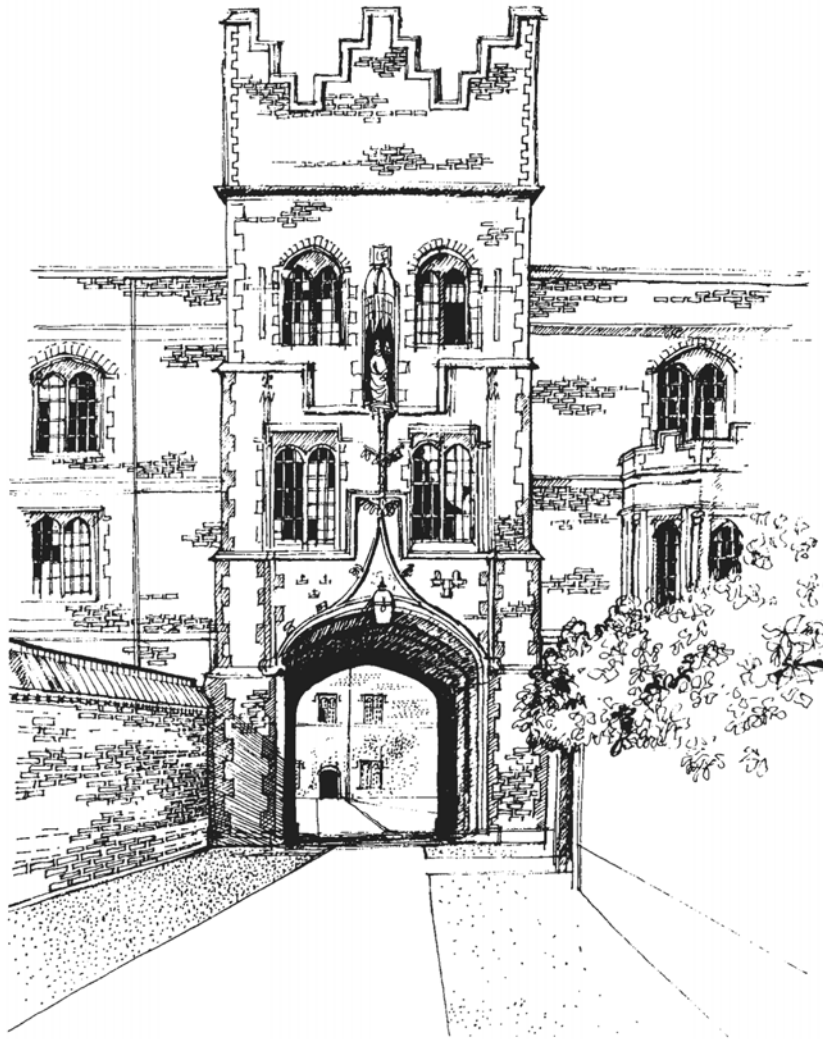
TWENTY-FIRST INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 7TH - SUNDAY 14TH SEPTEMBER 2003

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Financial Crime, Terror and Subversion

The Control of Risk in a Destabilised World Economy



CITY Cass Business School
City of London



The 21st Cambridge International Symposium on Economic Crime

Financial Crime, Terror and Subversion The Control of Risk in a Destabilised World Economy

The annual Cambridge International Symposium on Economic Crime, which is now in its twenty-first year, is a unique event. It is unrivalled in the contribution that it makes to promoting understanding of the issues involved in successfully preventing and controlling economically motivated serious crime and thereby facilitating meaningful and practical responses, including fostering international co-operation. The Symposium brings together, in one of the oldest medieval Colleges of the University of Cambridge, ministers, senior officials, diplomats, judges, regulators, law enforcement and security officers, the intelligence community, financial intermediaries, bankers, professional advisers, compliance officers and scholars from around the world. It has attracted well over 900 participants from over 80 countries each year and many return year after year.

The Twenty-first Symposium will focus on the practical issues facing all those who are involved, in whatever capacity, in protecting and promoting the stability and integrity of the financial sector, in dealing with the risks presented by serious crime and, in particular, organised crime and terrorists. The extensive programme will address a wide range of issues, some old – but many new – which need to be considered in ensuring the stability and health of national economies as well as the world order. While analysing these issues in the context of the global economy, the symposium will focus on the practical problems facing those responsible for the detection, prevention and control of these risks. It will also address the many legal and other risks facing those responsible for compliance with the various legal and regulatory regimes that have been developed to impede the laundering of the proceeds of crime and the funding of terrorist organisations. Particular emphasis will be placed on the implications of the ‘Financial War on Terror’ for those who handle other people’s wealth in the course of their business, and for those who advise them.

Drawing on a unique network of real experts, from around the world, the Organising Institutions have, with the advice and involvement of governments and inter-governmental agencies, constructed a programme which is unparalleled in its breadth and depth. Over two hundred experts, through keynote addresses, lectures, plenary and practical workshops focus not only on the real issues relating to the prevention, interdiction and disruption of serious crime and terrorist activity, but also the implications that such initiatives have on the conduct of banking and financial business. A glance at the programme will manifest not only the level of support that the annual Cambridge Symposium receives from the world’s leading agencies, but also the practical orientation of the deliberations.

The Cambridge Symposium has never been just a conference! It is organised on a non-profit making basis by some of the world’s most distinguished academic and research institutions, with the active involvement and support of many governmental organisations. It was founded, twenty-one years ago, to promote international co-operation in the fight against serious economic crime and its record is its best testament. The opportunity for making new contacts and renewing old friendships is second to none. Those who are concerned, at whatever level, to protect and promote the integrity, stability and security of their national economy, enterprise or institution, cannot afford to miss this unique event.

Professor Barry AK Rider
Symposium Director and Co-Chairman

The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
The International Chamber of Commerce
The British Institute of International and Comparative Law
Cass Business School, City University, London
Centre for European and International Financial Law, The University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
The Computer Security Research Centre, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, The Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute of Latin American Studies, School of Advanced Study, University of London
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, The University of Hong Kong

In association with: Jesus College, University of Cambridge

Sunday, 7th September 2003

12:00-

16:30

Registration in the Marquee, Jesus College, Cambridge

18:30

Cocktails in the Marquee generously hosted by *The Journal of Financial Crime*

19:30

Dinner in Hall and Upper Hall: Welcome by **Professor Barry AK Rider**, The Director, Institute of Advanced Legal Studies, University of London, Professor of Law, University of London, Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Barrister

After-dinner Addresses by **Mr John McFall MP**, Chairman, House of Commons Treasury Select Committee and **Mr Jonathan Charkham**, Former Chief Commoner of the Corporation of London, Visiting Professor, Cass Business School, City University and former Adviser to the Governor of the Bank of England, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation, Cass Business School, City University with a vote of thanks by **Mr Saul Froomkin QC**, Symposium Chairman and Chairman of CIDOEC, Senior Litigation Partner, Mello Jones & Martin (Bermuda), and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada

Monday, 8th September 2003

08:30

Opening Keynote Addresses

Chair: **Mr Saul Froomkin QC**, Symposium Chairman

- **The Rt Hon The Lord Goldsmith QC**, The Attorney General for England and Wales
- **The Hon Mr Michael Chertoff**, Assistant Attorney General and Head of the Criminal Division, US Department of Justice
- **Mr Robert Wardle**, The Director, Serious Fraud Office of England and Wales
- **The Hon Dr Miguel Urrutia**, Governor, Central Bank of Colombia
- **Mr Claes Norgren**, President, Financial Action Task Force XV and Director General, Competition Authority, Sweden
- **Professor Mario Serio**, Il Consigliere, Consiglio Nazionale della Magistratura, Rome

Coffee

- **Mr Cherng-Maw Yeh**, Director General, Investigation Bureau, Ministry of Justice, Taiwan
- **Mr Horst Intscher**, Director, Financial Transactions and Reports Analysis Centre of Canada
- **The Hon Judge Hancke**, High Court, Bloemfontein and Chairman of the Council, University of the Free State, Republic of South Africa
- **Mr Raymond Kendall**, The Honorary Secretary General, Interpol and President, Supervisory Commission, European Anti-Fraud Office (OLAF)
- **Dato' Seri Salleh Mat Som**, Commissioner of Police and Director of Criminal Investigation Department, Royal Malaysian Police
- **The Hon Judge Gabriel Cavallo**, Federal Judge, Chamber of Appeal, Argentina

12:45

Group Photograph, Jesus College

13:15

Lunch in Hall and Upper Hall, Jesus College

14:30

Session I: Stability as a Policy and Enforcement Objective

Chair: **The Rt Hon The Lord Hacking**, Barrister, Littleton Chambers, London

- **Mr Creon Butler**, Chief Economist, HM Foreign and Commonwealth Office, UK
- **Ms Patricia Jackson**, Special Advisor on Financial Stability, Bank of England
- **Dr William Witherell**, The Director, Financial, Fiscal and Enterprise Affairs, Organisation for Economic Co-operation and Development (OECD), Paris
- **Professor Jon Mills**, Dean and Professor of Law, Levin College of Law, University of Florida and former Speaker of the Florida Legislature

Tea

- **Mr Peter Neville**, The Director General, Guernsey Financial Services Commission
- **Professor Mark Pieth**, University of Basel and Chair, OECD Working Group on Corruption
- **Dr Mads Andenas**, The Director, The British Institute of International and Comparative Law and Senior Research Fellow, University of Oxford
- **Professor Donato Masciandaro**, Professor of Monetary Economics, The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
- **Professor Peter Nolan**, Judge Institute, University of Cambridge and Fellow of Jesus College, Cambridge

18:45

Cocktails in the Marquee

19:30 **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **The International Compliance Association**

After-dinner Addresses by **HE Mr Masaki Orita**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **Ms Joyce Rabens**, Minister Counsellor for Economic Affairs, US Embassy, London introduced by **Professor John Maher**, Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, The Dickinson School of Law, The Pennsylvania State University, and immediate past Chairman, Atlantic Liberty Savings, FA with a vote of thanks by **Dr Chizu Nakajima**, Symposium Co-Director.

Tuesday, 9th September 2003

SPECIAL BREAKFAST WORKSHOP – 8:00–9:00

International Co-operation in Tax Matters

Mr William Frei, Deputy Head of the Economic and Financial Affairs Division, Federal Department of Foreign Affairs, Switzerland

Mr John Middleton, Director, Compliance Risk Management Strategy, HM Inland Revenue, UK

Mr James Springer, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice

09:05 **Keynote Addresses**

Chair: **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva

- **The Hon Dr Giuseppe Lumia**, Member of the Italian Parliament, Member and former Chairman, Anti-Mafia Commission, Italian Parliament
- **The Hon Mr John A Maher III, CPA**, Member, Pennsylvania House of Representatives and Chairman, Subcommittee on Public Transportation, and Founder of Maher Duessel CPAs

09:45 **Session II: Organised Crime - the risks of penetration, subversion and corruption**

Chair: **Mr David Lock**, Chairman, Service Authority for the National Criminal Intelligence Service and the National Crime Squad, UK and former Parliamentary Secretary, Lord Chancellor's Department

- **Mr Terry Burke**, Head of Financial OCU, National Crime Squad, UK
- **Mr Willie Hofmeyr**, Head of Asset Forfeiture Special Unit, Republic of South Africa
- **Mr Kevin Carwile**, Deputy Chief, Organized Crime and Racketeering Section, US Department of Justice
- **Dr George Henry Millard**, Special Adviser to the Commissioner of Police, Brazilian Civil Police
- **Professor Hisao Katoh**, Professor of Criminal Law and Criminology, Keio University, Japan

Coffee

11:30 **Session III: Terrorist Activity in the Financial Sector – direct and indirect risks**

Chair: Professor Barry Rider, **Symposium Director and Co-Chairman**

- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice
- **Mr Koji Kishima**, Deputy Director, Financial Intelligence Office, Financial Services Agency of Japan
- **Mr Sarabjit Singh**, Director General of Police, Punjab, India
- **Dr Evan Bell**, Senior Legal Assistant, Department of Public Prosecutions for Northern Ireland
- **Mr Alistair Munro**, Director, Investigation Services, Deloitte & Touche
- **Professor Leonid Fituni**, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Dr Margaret Beare**, The Director, The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Toronto

13:15 **Lunch** in Hall and Upper Hall, Jesus College

14:00 **Session IV: Economic Crime – the financial system as victim**

Chair: **Professor Michael Levi**, Professor of Criminology, Cardiff University

- **Mr Stefan Gannon**, General Counsel, The Hong Kong Monetary Authority
- **Mr Eisuke Nagatomo**, Executive Officer, Compliance and Market Surveillance, Tokyo Stock Exchange
- **Mr John Moscow**, Assistant District Attorney, Office of the District Attorney of New York
- **Mr Richard Pratt**, The Director General, Jersey Financial Services Commission
- **Mr Nicolas Burbidge**, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Canada
- **Mr Martyn Bridges**, Partner, Investigation Services, Deloitte & Touche

PLENARY WORKSHOP I – 15:45-17:15**Does the Punishment Fit the Crime? Financial Institutions and Punishment under the Patriot Act**

Mr Ian Comisky, Partner, Blank, Rome LLP, Philadelphia
Mr Plato Cacheris, Partner, Baker & McKenzie, Washington DC
Mr Harvey Silets, Senior Partner and General Counsel, Katten Muchin Zavis Rosenman, Chicago
Judge Lurana S Snow, Magistrate-Judge for the Southern District of Florida
Ms Carmina Hughes, Special Counsel, Division of Banking Supervision and Regulation,
Board of Governors of the Federal Reserve System, USA

PARALLEL PLENARY WORKSHOP I – 15:45-17:15**Jurisdictional Co-operation in Tackling Money Laundering and Terrorist Financing: the advantages of working together under a common approach**

Mr Paul Heckles, Head of Enforcement, Isle of Man Financial Supervision Commission
Mr Peter Neville, The Director General, Guernsey Financial Services Commission
Mr Richard Pratt, The Director General, Jersey Financial Services Commission

PLENARY WORKSHOP II – 17:20-18:50**Accounting for Integrity**

Mr John Friedland, General Editor, *International Journal of Disclosure and Governance*
The Hon Mr John A Maher III, CPA, Member, Pennsylvania House of Representatives and Chairman, Subcommittee on Public Transportation, and Founder of Maher Duessels CPAs
Professor Larry Cata Backer, Professor of Law, Dickinson School of Law, The Pennsylvania State University
Professor Georges Selim, Chair, Centre for Research in Corporate Governance, Cass Business School, City University, London
Mr Nobuo Tateisi, Chairman, OMRON Corporation, Japan and Member of the Board, Tokyo Stock Exchange

PARALLEL PLENARY WORKSHOP II – 17:20-18:50**The Bank of England and HM Treasury: ‘Freezing of Terrorist Assets in the UK’**

Mr Tom Dawlings, Financial Sanctions Unit, Bank of England
Mr David Faulkner, International Financial Services, HM Treasury, UK

WORKSHOP 1 – 17:20-18:50**Taxing the Proceeds of Crime**

Mr Martyn Bridges, Partner, Investigation Services, Deloitte & Touche
Mr Alistair Munro, Director, Investigation Services, Deloitte & Touche

WORKSHOP 2 – 17:20-18:50**Compliance – The Practical Skills**

Mr Stuart Bazley, Head of Compliance and Legal Counsel, Edward Jones Ltd, London
Mr Jason Haines, Research Fellow in Financial Compliance, Institute of Advanced Legal Studies, University of London
Mr David Maxwell, Senior Policy Adviser, APCIMS (Association of Private Client Investment Managers and Stockbrokers)

WORKSHOP 3 – 17:20-18:50**Hundi and Hawala – ‘underground banking systems’**

Dr Mahmood Bagheri, Lecturer in International Economic Law, Brunel University
Mr David Copley, Regional Financial Intelligence Officer, National Criminal Intelligence Service, UK
Dr Fath El-Rahman El Sheikh, Legal Adviser, Kuwait Investment Authority

WORKSHOP 4 – 17:20-18:50**Curtailing Economic Crime and Terrorism – The Balance of Reputation, Appropriateness and Law**

Mr Simon Gleeson, Partner, Allen & Overy, Solicitors, London
Mr John Mair, Group Financial Crime Director, Group Compliance, Lloyds TSB Bank plc
Mr Mark Tantum, Head of Forensic Investigators, UK and Europe and Leader, European Financial Crime Campaign, Deloitte & Touche

<p align="center"><u>WORKSHOP 5 - 17:20-18:50</u></p> <p align="center">Initiatives Against Organised Crime (Case Studies)</p> <p>Professor Takeyoshi Imai, Faculty of Law, Hosei University, Japan Mr Alain Chung Ping Sham, Head of Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong Dr Constantin Stefanou, Fellow, Institute of Advanced Legal Studies, University of London Dr Helen Xanthaki, Senior Research Fellow and Academic Director, Sir William Dale Centre for Legislative Studies, Institute of Advanced Legal Studies, University of London</p>	<p align="center"><u>WORKSHOP 6 – 17:20-18:50</u></p> <p align="center">Economic Crime in Central and Eastern Europe</p> <p>Mr Richard Alexander, Research Officer in European Financial Law, Institute of Advanced Legal Studies, University of London Dr Janusz Bojarski, Chair of Criminal Law and Criminal Policy, Nicholas Copernicus University, Torun, Poland Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow Mrs Svetla Konstantinova, Attorney at Law, Bulgaria and former Counsellor, Bulgarian Embassy, London Ms Anna Markovskaya, Cass Business School, City University, London Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter</p>
<p align="center"><u>WORKSHOP 7 – 17:20-18:50</u></p> <p align="center">Establishing and Running FIUs</p> <p>Mr Alan Lambert, Consultant, Caribbean Anti-Money Laundering Programme and former Head of Hertfordshire Police Financial Investigation Unit</p>	<p align="center"><u>WORKSHOP 8 – 17:20-18:50</u></p> <p align="center">‘ESRC Study on Following the Money Trail’ What the changes in FATF, IMF, anti-terrorism measures have done for international governance</p> <p>Professor Michael Levi, Professor of Criminology, Cardiff University</p>
<p align="center"><u>WORKSHOP 8a – 17:20-18:50</u></p> <p align="center">Transparency and the Media Sanction: Does Public Exposure Defeat Crime?</p> <p align="center">Mr Stephen Grey, Investigative Journalist, <i>The Sunday Times</i> Mr Raymond Kendall, The Honorary Secretary General, Interpol and President, Supervisory Commission, European Anti-Fraud Office (OLAF)</p>	

18:50 **Cocktails** in the Marquee

19:30 **Dinner** in Hall and Upper Hall

Both generously hosted by **Lloyds TSB Bank plc**

After-dinner Addresses by **The Rt Hon Lord Justice Brooke**, Court of Appeal, England and Wales and former Chairman of the Law Commission for England and Wales and **Mrs Rosalind Wright**, Chair, Fraud Advisory Panel and former Director, Serious Fraud Office of England and Wales and former General Counsel, Securities and Futures Association, introduced by **Mr Saul Froomkin QC**, Symposium Chairman with a vote of thanks proposed by **Mr Christopher Wiscarson**, Managing Director, International Banking Division, Lloyds TSB Bank plc

Wednesday, 10th September 2003

SPECIAL BREAKFAST WORKSHOP – 8:00-9:00

The US Department of Justice, ‘The Patriot Act’

Mr Kevin Carwile, Deputy Chief, Organized Crime and Racketeering Section, US Department of Justice

Mr Lee Radek, Special Counsel for International Money Laundering, US Department of Justice

09:05 **Keynote Addresses**

Chair: **Dr Chizu Nakajima**, Co-Director of the Symposium

- **Ms Carol Sergeant**, Managing Director, Regulatory Processes and Risk Directorate, Financial Services Authority, UK
- **Dr Carlo Santini**, Director General, Ufficio Italiano dei Cambi, Italy

09:45 **Session V: The Banking System at Risk**

Chair: **Professor Alec Chrystal**, Associate Dean, Head of Faculty of Finance and Professor of Money and Banking, Cass Business School, City University, London and formerly Senior Adviser, Bank of England

- **Mr Charles Freeland**, Deputy Secretary General, Bank of International Settlements, Basle
- **Ms Carmina Hughes**, Special Counsel, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, USA
- **Mr Philip Schädler**, Director, Liechtenstein Bankers’ Association
- **Mr Jeremy Thorp**, Director, Financial Crime, British Bankers’ Association
- **Dr Fath El-Rahman El Sheikh**, Legal Adviser, Kuwait Investment Authority

- **Mr Richard Collins**, Group Compliance Director, Lloyds TSB Bank plc, London
- **Mr Thomas Roche**, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York

Coffee

11:30 Session VI: The Financial Markets at Risk

Chair: **Mr Andrew Whittaker**, General Counsel to the Board, Financial Services Authority, UK

- **Mr Thomas Newkirk**, Associate Director of Enforcement, US Securities and Exchange Commission
- **Mr Neil Stocks**, Managing Director and Head of Group Compliance, UBS
- **Mr Paul Heckles**, Head of Enforcement, Isle of Man Financial Supervision Commission
- **Mr Geoff Mhlanga**, Managing Director, Zimbabwe Stock Exchange and Chairman, African Association of Stock Exchanges
- **Mr Lawrence Boyce**, Vice President, Sales Compliance and Registration, Investment Dealers' Association of Canada
- **Mr Peter van den Broeke**, Chair, Fraud Sub-Committee, International Association of Insurance Supervisors
- **Ms Joan Manley**, Deputy Director, Division of Enforcement, Commodity Futures Trading Commission

13:00 Lunch in Hall and Upper Hall

13:45 Session VII: 'Techno – Risk'

Chair: **Dr Michael Taylor**, The Intelligence and Security Secretariat, Cabinet Office, UK

- **Mr Robert Pocica**, Director of Corporate Security, Pfizer Inc and former Supervisory Special Agent, Internet Fraud Complaint Center, Federal Bureau of Investigation, US Department of Justice
- **Mr Tony Hutchings**, Assistant Detective Inspector, National Hi-Tech Crime Unit, National Crime Squad, UK
- **Mr John Sliter**, Detective Inspector, Commercial Crime Branch, Royal Canadian Mounted Police
- **Mr G Philip Rutledge**, Chief Counsel, Pennsylvania Securities Commission
- **Mr Richard Clayton**, Computer Security Group, University of Cambridge
- **Dr Massimo Nardo**, Manager, Ufficio Italiano dei Cambi, Italy
- **Dr James Backhouse**, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London
- **Mr Alexander Drobik**, Vice President, Research, Gartner Group

15:30 Tea

PLENARY WORKSHOP III – 15:45-17:15

Fighting Cybercrime

Supervisory Special Agent Dale Miskell, Internet Fraud Complaint Center, the Federal Bureau of Investigation, US Department of Justice

WORKSHOP 9 – 15:45-17:15

Taxation and Money Laundering

Mr Pietro Sansonetti, Partner, Schellenberg Wittmer, Geneva

WORKSHOP 10– 15:45-17:15

The Patriot Act and its Implications for Non-US Jurisdictions

Mr John Broome, Consultant, Visiting Professorial Fellow, University of Wollongong and former Chairman, National Crime Authority, Australia

Mr John McFarlane, Director, Transnational and Homeland Security Program, Australian Defence Studies Centre, Australian Defence Force Academy

WORKSHOP 11 -15:45-17:15

Moving Targets? - Adapting Profiles for Global Anti-Money Laundering Systems

Dr James Backhouse, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London

Mr Bernard Dyer, Computer Security Research Centre, London School of Economics and Political Science, University of London

Dr Massimo Nardo, Manager, Ufficio Italiano dei Cambi, Italy

WORKSHOP 12 – 15:45-17:15

Control of Money Laundering and Human Rights

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and The Director, Center for International Financial Crimes Studies, University of Florida

Mr David Corker, Partner, Corker Binning Solicitors

Dr Michelle Gallant, Assistant Professor of Law, Faculty of Law, University of Manitoba

Mr Monty Raphael, Senior Partner, Peters & Peters, Solicitors, London

<u>WORKSHOP 13 -15:45-17:15</u>	<u>WORKSHOP 14 -15:45-17:15</u>
The Control of Economic Crime - a Nigerian Perspective Dr Dennis Ude Ekumankama , The Director and Secretary, Corporate Affairs Commission, Nigeria	Recent Developments in EU Financial Services Law Mr Richard Alexander , Research Officer in European Financial Law, Institute of Advanced Legal Studies, University of London Dr Andrew Haynes , Head, Institute of Finance, University of Wolverhampton

<u>WORKSHOP 15 - 15:45-17:15</u>
APCIMS-EASD (Association of Private Client Investment Managers and Stockbrokers-European Association of Securities Dealers): ‘Issues in Corporate Governance’ Chair: Mr Leo Goldschmidt , Chairman, Corporate Governance Committee and Director, APCIMS-EASD Mr Stilpon Nestor , Principal, Nestor Advisors Ltd

<u>PLENARY WORKSHOP IV – 17:20-18:50</u>
Co-ordination of Fraud Investigation in the UK- The Case for a UK White Collar Crime Centre Chair: Mr Ken Farrow , Detective Chief Superintendent, City of London Police Mr Dave Churchill , Detective Inspector, West Midlands Police Mr Mike Dixon , Detective Sergeant, Metropolitan Police and formerly ICPO Interpol Liaison, National Criminal Intelligence Service Mr Hank Jenson , National White Collar Crime Center, Canada Ms Helen Shwery , Executive Officer, Canadian Health Care Anti Fraud Association Mr John Sliter , Detective Inspector, Commercial Crime Branch, Royal Canadian Mounted Police

<u>PARALLEL PLENARY WORKSHOP III – 17:20-18:50</u>
Exchange of Information between Financial Regulators Dr George Gilligan , Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia Ms Elizabeth Jacobs , Assistant Director, Office of International Affairs, US Securities & Exchange Commission Mr G Philip Rutledge , Chief Counsel, Pennsylvania Securities Commission Mr Toru Shikibu , Financial Minister, Embassy of Japan, London and former Director, Financial Services Agency, Japan

<u>WORKSHOP 16 –17:20-18:50</u>	<u>WORKSHOP 17 – 17:20-18:50</u>
The International Criminal Court – a new dimension? Dr Kern Alexander , Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London Dr Mads Andenas , The Director, The British Institute of International and Comparative Law and Senior Research Fellow, University of Oxford Mr Khawar Qureshi , Barrister, Serle Court, London	Insurance Frauds Dr George Henry Millard , Special Adviser to the Commissioner of Police, Brazilian Civil Police Dr Christopher Parsons , Reader in Insurance, Cass Business School, City University, London

<u>WORKSHOP 18 - 17:20-18:50</u>	<u>WORKSHOP 19 – 17:20-18:50</u>
Financial Privacy after 9/11 Dr Nancy Baldwin , Attorney at Law, Florida Mr Anton Keller , The Secretary, Swiss Investors Protection Association, Geneva Mr G Montgomery Rankin , Attorney at Law, Florida Professor Ziqiang Ye , Associate Professor, Institute of Law, Chinese Academy of Social Sciences, Beijing	Interception and Electronic Evidence Collection Mr Peter German , Chief Superintendent and Officer in Charge, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa

<u>WORKSHOP 20 – 17:20-18:50</u>
The Business of Terrorism Mr William Tupman , Senior Lecturer, Department of Politics, University of Exeter, Director, Unit for Research on Community Safety, member of advisory boards of Intercentre, University of Messina, Institute of Risk and Security Management, Rome and Center for International Financial Crimes Studies, University of Florida

18:50 **Cocktails** in the Marquee
19:30 **Dinner** in Hall and Upper Hall
Both generously hosted by **Citigroup Inc**, New York

After-dinner Addresses by **HE Tarald Osnes Brautaset**, Ambassador Extraordinary and Plenipotentiary of the Royal Norwegian Embassy to the Court of St James and **The Hon Mr Justice Toulson**, Chairman of the Law Commission for England and Wales, introduced by **Dr Chizu Nakajima**, Symposium Co-Director, with a vote of thanks proposed by **Professor Johan Henning**, The Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Free State, Republic of South Africa

Thursday, 11th September 2003

SPECIAL BREAKFAST WORKSHOP – 8:00-9:00

The Financial Action Task Force’s Initiatives Relating to Terrorist Financing

Mr John Broome, Consultant, Visiting Professorial Fellow, University of Wollongong, former Chairman, National Crime Authority, Australia and former Co-Chair, Asia-Pacific Group on Money Laundering

Mr John McFarlane, Director, Transnational and Homeland Security Program, Australian Defence Studies Centre, Australian Defence Force Academy

Dr Riccardo Sansonetti, Deputy Head of the Financial Markets Section, Federal Finance Administration, Switzerland

09:05 **Keynote Addresses**

Chair: **Professor Barry Rider**, Symposium Director and Co-Chairman

- **Mr Edward H Jurith**, The General Counsel, Office of National Drug Control Policy, The Executive Office of the President of the United States
- **Ms Jane Earl**, The Director, Assets Recovery Agency, UK

09:45 **Session VIII: Interdicting Tainted Wealth – developments from national perspectives**

Chair: **Professor David Hayton**, Professor of Law, King’s College, University of London, Barrister, 5 Stone Buildings, Lincoln’s Inn, Acting Justice, Supreme Court of the Bahamas and formerly one of HM Recorders

- **Mr David Hartnett**, Head of Revenue Policy, Board of Inland Revenue, UK
- **Mr Peter German**, Chief Superintendent and Officer in Charge, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa
- **Mr Richard Jones QC**, Barrister, No 5 Chambers, Birmingham and London
- **Dr Katlen Blöcker**, Lovells Solicitors, Berlin
- **Ms Judith Schmidt**, Deputy Head, Anti-Money Laundering Control Authority, Switzerland
- **Mrs Sara Dayman**, Partner, BDO Stoy Hayward, London
- **Mr Lee Radek**, Special Counsel for International Money Laundering, US Department of Justice
- **Ms Chi-Jen Ching**, Prosecutor, Ministry of Justice, Taiwan
- **Mr Darryl Saw SC**, Deputy Director of Public Prosecutions, Hong Kong

Coffee

11:00 **Session IX: Interdicting Tainted Wealth – developments from an international perspective**

Chair: **Sir Ivan Lawrence QC**, one of HM Recorders and former Chairman, Home Affairs Committee, House of Commons

- **Mr Jürgen Storbeck**, The Director, Europol
- **Mr Simon Regis**, Head, UK Central Authority, Judicial Co-operation Unit, HM Home Office
- **Mr Jean-François Thony**, Senior Judge, Court of Appeal of Versailles and Assistant General Counsel, International Monetary Fund, Washington DC
- **Ms Kimberley Prost**, Head, Criminal Law Section and Deputy Director, Legal and Constitutional Affairs Division, Commonwealth Secretariat
- **Mr Jonathan Winer**, Counsel, Financial Services Group, Alston & Bird LLP, Washington DC and former Deputy Assistant Secretary of State, International Narcotics and Law Enforcement, US Department of State
- **Ms Elizabeth Jacobs**, Associate Director, Office of International Affairs, US Securities and Exchange Commission

13:00 **Lunch** in Hall and Upper Hall

14:00 **Session X: Protecting the Integrity of the International Financial System – perspectives from offshore financial centres and private banking**

Chair: **Mr Saul Froomkin QC**, Symposium Chairman and former Attorney General of Bermuda

- **Mr Reginald Rhoda QC**, The Attorney General, Gibraltar

- **Mrs Judith Jones-Morgan**, The Attorney General, St Vincent and Grenadines
- **Dr Kern Alexander**, Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London
- **Dr Shazeeda Ali**, Assistant Attorney General, Attorney General's Department, Jamaica and Lecturer in Law, Faculty of Law, University of the West Indies, Jamaica
- **Mr Ricardo Alba**, President, Agrica-Lex, Panama

15:30

Tea

PLENARY WORKSHOP V – 15:45-17:15

The Wolfsberg Group – Monitoring for Suspicious Transactions: Reality or Myth?

Mr Matthew Cooper, Group Money Laundering Reporting Officer, Barclays Bank plc
Mr Pierre Grumbacher, Executive Director, Market Strategy & Development, IT & Resources, UBS AG
Mr James McGinnis, Managing Director, JP Morgan Securities Inc, New York
Mr Richard Small, Director of Global Anti Money Laundering, Citigroup Inc, New York

WORKSHOP 21 – 15:45-17:15

The International Association of Prosecutors

Prosecuting Financial Crime and Terror – a forum

Chair: Mr Barry Hancock, General Counsel, International Association of Prosecutors and Associate Research Fellow, Institute of Advanced Legal Studies, University of London

Mr Alan McQuillan, Assets Recovery Agency, Northern Ireland

Ms Kimberly Prost, Head, Criminal Law Section and Deputy Director, Legal and Constitutional Affairs Division, Commonwealth Secretariat

Ms Susan Taylor, Crown Prosecution Service of England and Wales

Ms Mary Troland, US Department of Justice, US Embassy, London

Mr Paul Wilkins, Attorney General's Office, Guernsey

WORKSHOP 22 - 15:45-17:15

Civil Forfeiture

Dr Evan Bell, Senior Legal Assistant, Department of Public Prosecutions for Northern Ireland

Mrs Sarah Dayman, Partner, BDO Stoy Hayward, London

WORKSHOP 23 – 15:45-17:15

Due Diligence in Practice

Mr G Montgomery Rankin, Attorney at Law, Florida
Mr Michael Ricks, Michael Ricks & Associates
Mr Graham Ritchie, Director, International Professional Research and Training Unit, Institute of Advanced Legal Studies, University of London

WORKSHOP 24 – 15:45-17:15

Telemarketing Fraud

Mr Mike Dixon, Detective Sergeant, Metropolitan Police and formerly ICPO Interpol Liaison, National Criminal Intelligence Service, UK

Mr Mike Hayley, Office of Fair Trading, UK

Mr Duncan McKelvie, West African Organised Crime Section, National Criminal Intelligence Service, UK

Mr John Sliter, Detective Inspector, Commercial Crime Branch, Royal Canadian Mounted Police

WORKSHOP 25 – 15:45-17:15

Making Corporations Accountable and the Attribution of ‘Knowledge’ within an Organisation

Professor Johan Henning, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Free State, Republic of South Africa

Professor Paul Latimer, Department of Business Law and Taxation, Monash University, Australia

Dr Cheong Ann Png, International Monetary Fund

WORKSHOP 26 – 15:45-17:15

Civil Asset Tracing: the efficacy of trust law

Mr Andrew Goodman, Associate, Private Client Team, Taylor Wessing, Solicitors, London

Mr Toby Graham, Partner, Taylor Wessing, Solicitors, London

WORKSHOP 27– 15:45-17:15

‘Whistleblowing’

Mr Peter German, Chief Superintendent and Officer in Charge, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa

Ms Sandradee Joseph, King’s College, University of London

PLENARY WORKSHOP VI – 17:20-18:50

Drug Trafficking, Financial Crime and Terrorism

Chair: Mr Edward H Jurith, The General Counsel, Office of National Drug Control Policy, The Executive Office of the President of the United States

Dr Albert E Brandenstein, Director of Technology, Counter-Drug Technology Assessment Center, Office of National Drug Control Policy, The Executive Office of the President of the United States

Mr Brian R Coleman, Director, Police Scientific Research Branch, Home Office, UK

Mr Chris Westphal, Chief Executive Officer, Visual Analytics, Inc, Maryland

SPECIAL WORKSHOP – 17:20-18:50

The US Securities and Exchange Commission – fighting financial crime

Mr Thomas Newkirk, Associate Director of Enforcement, US Securities and Exchange Commission

WORKSHOP 29 – 17:20-18:50

Correspondent Banking and Money Laundering – Practical Issues

Dr Joachim Kaetzler, Attorney, CMS Hasche Sigle, Frankfurt

WORKSHOP 30 - 17:20-18:50

Financial Action Task Force’s Initiatives in Regard to Non-Co-operative Countries and Territories (NCCT)

Mr John McFarlane, Director, Transnational and Homeland Security Program, Australian Defence Studies Centre, Australian Defence Force Academy

Professor Wassim Shahin, Dean, School of Business, Byblos, Lebanese American University

WORKSHOP 31 - 17:20-18:50

The Accountability at Law of Financial Regulatory and Banking Authorities – making them pay for ‘their’ mistakes

Dr Mads Andenas, The Director, The British Institute of International and Comparative Law and Senior Research Fellow, University of Oxford

Mr Saul Fromkin QC, Symposium Chairman

Dr Dalvinder Singh, Senior Lecturer in Law, Department of Law, Oxford Brookes University

WORKSHOP 32 - 17:20-18:50

Recent Terrorism in Malaysia, Including Links to Al Qaeda

Mr Mohammed Shah, Superintendent of Police, Royal Malaysian Police and Lecturer, Senior Police Officers’ College, Malaysia

Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter

WORKSHOP 33 – 17:20-18:50

Lawyers As Launderers

Dr Nancy Baldwin, Attorney at Law, Florida

Mr Andrew Campbell, Department of Law, University of Wales, Aberystwyth

Mr Henry Rossbacher, Attorney at Law, The Rossbacher Firm, Los Angeles

Professor Avrom Sherr, Woolf Professor of Legal Education, Institute of Advanced Legal Studies, University of London

18:50 **Cocktails** in the Marquee

19:30 **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by the **Association of Certified Fraud Examiners**

Addresses by **HE Shri Ranendra Sen**, High Commissioner for India and **The Hon Justice Dame Heather Steel**, Royal Courts of Justice and Master of the Worshipful Company of Pattenmakers, introduced by **Mr Graham Ritchie**, Director, International Professional Research and Training Unit, Institute of Advanced Legal Studies, University of London, with a vote of thanks by **Mr Robert Marsh Tipping**, Court of Assistants, Worshipful Company of Pattenmakers

Friday, 12th September 2003

SPECIAL BREAKFAST WORKSHOP – 8:00-9:00

Civil Enforcement under the Financial Services and Markets Act 2000

Mr Roger Best, Partner, Clifford Chance

Mr Martin Saunders, Partner, Clifford Chance

9:05 Keynote Addresses

Chair: **Dr Mads Andenas**, The Director, The British Institute of International and Comparative Law and Senior Research Fellow, University of Oxford

- **Mr Saul Froomkin QC**, Symposium Chairman and Senior Litigation Partner, Mello Jones & Martin (Bermuda)
- **Mr Nicholas Ilett**, Director of Directorate C “Intelligence, Operational Strategy and Information Technology”, European Anti-Fraud Office (OLAF)

09: 45 Session XI: Exposure to Legal Risk – the Civil Law

Chair: **Mr Geoffrey Rowland QC**, The Deputy Bailiff, Guernsey

- **Mr Nicholas Davidson QC**, Barrister, New Zealand
- **Mr Henry Rossbacher**, Attorney at Law, The Rossbacher Firm, Los Angeles
- **Avv Alessandro Napolitano**, Lovells Studio Legale, Rome
- **Ms Marie-Claire Sparrow**, Barrister, 118 Chancery Lane and Legal Adviser to the French Embassy, London
- **Dr Katlen Blöcker**, Lovells Solicitors, Berlin
- **Professor Johan Henning**, The Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Free State, Republic of South Africa

Coffee

11:15 Session XII: Exposure to Legal Risk – the Criminal Law

Chair: **Mr Tom Lloyd**, Chief Constable, Cambridgeshire Constabulary

- **Mr Keith Oliver**, Partner, Peters & Peters, Solicitors, London
- **Mr Marcelo J Ruiz**, Pastoriza & Eviner Abogados, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Mr Saul Froomkin QC**, Symposium Chairman and Senior Litigation Partner, Mello Jones & Martin (Bermuda)
- **Professor Angela Itzikowitz**, University of Witwatersrand School of Law, Republic of South Africa
- **Dr Joachim Kaetzler**, Attorney, CMS Hasche Sigle, Frankfurt
- **Professor Paul Latimer**, Department of Business Law and Taxation, Monash University, Australia
- **Mrs Svetla Konstantinova**, Attorney at Law, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Professor Takeyoshi Imai**, Faculty of Law, Hosei University and Adviser to the Ministry of Justice of Japan

13:15 Lunch in Hall and Upper Hall, Jesus College

14:00 Session XIII: Exposure to Regulatory Risk

Chair: **Mr George Staple QC**, Consultant, former Partner, Clifford Chance, former Director of the Serious Fraud Office of England and Wales and former Chairman of the Fraud Advisory Panel

- **Dr Dayanath Jayasuriya**, Attorney-at-Law, Sri Lanka and former Director General, Sri Lanka Securities and Exchange Commission
- **Mr Frans van Proosdij**, Senior Supervisor, Dutch Central Bank
- **Dr Hans-Peter Bauer**, Managing Director, Head, Group Regulatory Strategy and Relations, UBS
- **Mr Stuart Bazley**, Head of Compliance and Legal Counsel, Edward Jones Ltd, London
- **Mr G Philip Rutledge**, Chief Counsel, Pennsylvania Securities Commission
- **Ms Susan Galli**, Senior Anti-Money Laundering Co-ordinator, Citigroup Inc, New York
- **Mr Wilmer Parker III**, Partner, Gillen Cromwell Parker & Withers LLC, Atlanta and former Assistant US Attorney, US Department of Justice

15:30 Tea

PLENARY WORKSHOP VII – 15:45-17:15

The Control of Corruption

Chair: Dr Denis Osborne, Consultant in Governance, Development and Training and former HM High Commissioner to Malawi, HM Overseas Development Administration

Mr Peter Alldridge, Drapers’ Professor of Law, Queen Mary, University of London

Dr George Gilligan, Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia

Mr Guillermo Montenegro, Federal Prosecutor, Argentina

Mr Mallam Nuhu Ribadu, Executive Chairman, Economic and Financial Crimes Commission, Nigeria

Mr Gary Scanlan, Department of Law, City University, London

<p align="center"><u>WORKSHOP 34 – 15:45-17:15</u></p> <p align="center">Financial Controls and Civil Rights</p> <p>Mr Henry Rossbacher, Attorney at Law, The Rossbacher Firm, Los Angeles</p> <p>Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter, Director, Unit for Research on Community Safety, member of advisory boards of Intercentre, University of Messina, Institute of Risk and Security Management, Rome and Center for Financial Crimes Studies, University of Florida</p>	<p align="center"><u>WORKSHOP 35 – 15:45-17:15</u></p> <p align="center">SALS Working Group on Company Law Reform</p> <p>Mr Christopher Hale, Partner and Head of Private Equity, Travers Smith Braithwaite, London</p> <p>Mr Julian Harris, Senior Information Officer, Institute of Advanced Legal Studies, University of London</p> <p>Professor Johan Henning, The Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Free State, Republic of South Africa</p>
<p align="center"><u>WORKSHOP 36 – 15:45-17:15</u></p> <p align="center">The Use of Trade Finance and other Financial Instruments for Fraud and Money Laundering – an update</p> <p>Chair: Captain P Mukundan, Director, Commercial Crime Services, The International Chamber of Commerce</p>	<p align="center"><u>WORKSHOP 37 – 15:45-17:15</u></p> <p align="center">The Bali Bombings and Asia-Pacific Co-operation: Politics or Pragmatism?</p> <p>Mr John McFarlane, Director, Transnational and Homeland Security Program, Australian Defence Studies Centre, Australian Defence Force Academy</p>

<p align="center"><u>PLENARY WORKSHOP VIII – 17:20-18:50</u></p> <p align="center">Underground Banking</p> <p>Chairman: Professor John Maher, Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, The Dickinson School of Law, The Pennsylvania State University and immediate past Chairman, Atlantic Liberty Savings, FA</p> <p>Mr Navin Beekarry, Commissioner, Independent Commission Against Corruption, Mauritius</p> <p>Mr John T Canfield, Assistant Customs Attaché, US Embassy, London</p> <p>Mr David Copley, Regional Financial Intelligence Officer, National Criminal Intelligence Service, UK</p> <p>Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow</p> <p>Dr Dayanath Jayasuriya, Attorney-at-Law, Sri Lanka and former Director General, Sri Lanka Securities and Exchange Commission</p> <p>Miss Angela Leong, Research Associate Fellow, Centre for Criminology, The University of Hong Kong</p>	
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<p align="center"><u>PARALLEL PLENARY WORKSHOP IV – 17:20-18:50</u></p> <p align="center">The OECD Harmful Tax Practices Initiative</p> <p>Mr Jeffrey Owens, Head, Centre for Tax Policy and Administration, Organisation for Economic Co-operation and Development (OECD), Paris</p> <p>Ms Grace Perez-Navarro, Centre for Tax Policy and Administration, Organisation for Economic Co-operation and Development (OECD), Paris</p>	
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<p align="center"><u>WORKSHOP 38 - 17:20-18:50</u></p> <p align="center">Money Laundering and International Commerce</p> <p>Mr Eric Ellen, Chairman of First Approach and former Director of the ICC – International Maritime Bureau and Chief Constable of the Port of London</p> <p>Ms Lin Kuo, Director, First Approach</p>	<p align="center"><u>WORKSHOP 39 -17:20-18:50</u></p> <p align="center">The Mafia and Terrorism</p> <p>Professor Hisao Katoh, Professor of Criminal Law and Criminology, Keio University, Japan</p> <p>Professor Ernesto Savona, Catholic University in Milan and Director of Transcrime, Research Centre on Transnational Crime, University of Trento, Italy</p>
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<p align="center"><u>WORKSHOP 40 - 17:20-18:50</u></p> <p align="center">Art Theft</p> <p>Mr Charles Hill, Art Risk Consultant, The Charles Hill Partnership</p>	<p align="center"><u>WORKSHOP 41 - 17:20-18:50</u></p> <p align="center">Class Actions - A Way Ahead?</p> <p>Mr Clive Wolman, Associate Research Fellow, Institute of Advanced Legal Studies, University of London</p>
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<p align="center"><u>WORKSHOP 42 - 17:20-18:50</u></p> <p align="center">The Internet and Money Laundering</p> <p>Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and The Director, Center for International Financial Crimes Studies, University of Florida</p> <p>Dr David Chaikin, Chairman and CEO, Cyberbrief PTY Ltd</p> <p>Mr Jason Haines, Research Fellow in Financial Compliance, Institute of Advanced Legal Studies, University of London</p> <p>Mr G Montgomery Rankin, Attorney at Law, Florida</p>	
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18:50

Cocktails in the Marquee

19:30 **Dinner** in Hall and Upper Hall, Jesus College

Both generous hosted by **Clifford Chance**

After-dinner Addresses by **Lieutenant General Sir Michael Willcocks, KCB**, Gentleman Usher of the Black Rod and **HE Mrs Alma Rosa Moreno**, Ambassador Extraordinary and Plenipotentiary of Mexico to the Court of St James, introduced by **Dr Chizu Nakajima**, Symposium Co-Director, with votes of thanks by **Mr Saul Froomkin QC**, Symposium Chairman and **Professor Barry Rider**, Symposium Director and Co-Chairman

Saturday, 13th September 2003

SPECIAL BREAKFAST WORKSHOP – 8:30-9:30

Economic Crime in China

Miss Angela Leong, Research Associate Fellow, Centre for Criminology, The University of Hong Kong
Mrs Ying Li, Director, Legal Development Centre, Supreme People's Procuratorate of the People's Republic of China and General Secretary, Shenzhen Legal Committee

Professor Ziqiang Ye, Associate Professor, Institute of Law, Chinese Academy of Social Sciences, Beijing, People's Republic of China and Visiting Fellow, Institute of Advanced Legal Studies, University of London

09:45 **Session XIV: Avoiding and Controlling the Risks**

Chair: **Mr Saul Froomkin QC**, Symposium Chairman

- **Mr Colin Bamford**, Barrister, 3-4 South Square and former Chief Executive, The Financial Law Panel
- **Professor John Maher**, Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, The Dickinson School of Law, The Pennsylvania State University and immediate past Chairman, Atlantic Liberty Savings, FA
- **Mr Andrew Sowter**, Compliance Officer, Bear Stearns, London
- **Mr Shiraz Mahmood**, Senior Manager, Compliance, Internet and E-Commerce, HSBC and formerly of the Financial Services Authority, UK
- **Dr George Gilligan**, Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia
- **Mr Ricardo Alba**, President, Agrica-Lex, Palma
- **Mr Saverio Mirarchi**, Managing Director, Global Compliance Division, Bank of New York

Coffee

11:30 **Session XV: The New Order!**

Chair: **Her Hon Judge Diana Faber**, Judge of the Crown Court and former Commissioner, Law Commission for England and Wales

- **Professor Ernesto Savona**, Catholic University in Milan and Director of Transcrime, Research Centre on Transnational Crime, University of Trento
- **Professor Dan Magnusson**, Swedish National Economic Crimes Bureau, Stockholm
- **Ms Wendy Tien**, Trial Attorney, Civil Division, US Department of Justice
- **Mr Mallam Nuhu Ribadu**, Executive Chairman, Economic and Financial Crimes Commission, Nigeria
- **Mr Kevin Roddy**, Partner, Hagens Berman, LLP, Los Angeles, California
- **Dr Denis Osborne**, Consultant in Governance, Development and Training and former HM High Commissioner to Malawi, HM Overseas Development Administration

13:15 **Lunch** in Hall and Upper Hall

14:15 **Session XVI: Sustaining the 'War' – human rights and proportionality**

Chair: **Sir Kenneth Warren**, Chairman of the Board of Governors, Witan Hall, Reading and former Chairman of the House of Commons Select Committee on Trade and Industry

- **Mr John Moscow**, Assistant District Attorney, Office of the District Attorney of New York
- **Mr Monty Raphael**, Senior Partner, Peters & Peters, Solicitors, London
- **Dr Dan Mitchell**, Senior Fellow, The Heritage Foundation and Founder, The Center for Freedom and Prosperity
- **Mr William Tupman**, Senior Lecturer, Department of Politics, University of Exeter, Director, Unit for Research on Community Safety, member of advisory boards of Intercentre, University of Messina, Institute of Risk and Security Management, Rome and Center for International Financial Crimes Studies, University of Florida
- **Mr Edwin Jefferson**, Police Service of Northern Ireland
- **Mr Jonathan Winer**, Counsel, Financial Services Group, Alston & Bird LLP, Washington DC and former Deputy Assistant Secretary of State, International Narcotics and Law Enforcement, US Department of State

- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and The Director, Center for International Financial Crimes Studies, University of Florida
- **Ms Claire de Than**, Lecturer in Law, Department of Law, City University, London

16:15 **Closing Remarks by Mr Saul Froomkin QC**, Symposium Chairman, **Professor Barry AK Rider**, Symposium Director and Co-Chairman and **Dr Chizu Nakajima**, Symposium Co-Director

18:45 **Cocktails and Informal Buffet Supper** in the Marquee

Workshops

Participation in the non-plenary workshops will be restricted so as to facilitate informal discussion. Registration or workshops will be undertaken on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

Official Publications

Those registering for the full programme will receive an annual subscription to both *The Journal of Financial Crime* and *The Journal of Money Laundering Control* and will on registration receive a full set of relevant background documentation on the programme.

The Symposium “Faculty”

Mr Ricardo Alba, President, Agrica-Lex, Panama
Dr Kern Alexander, Senior Research Fellow in International Financial Regulation, Institute of Advanced Legal Studies, University of London
Mr Richard Alexander, Research Officer in European Financial Law, Institute of Advanced Legal Studies, University of London
Dr Shazeeda Ali, Assistant Attorney General, Attorney General’s Department, Jamaica and Lecturer in Law, Faculty of Law, University of the West Indies, Jamaica
Mr Peter Alldrige, Drapers’ Professor of Law, Queen Mary, University of London
Dr Mads Andenas, The Director, The British Institute of International and Comparative Law and Senior Research Fellow, University of Oxford
Professor Larry Cata Backer, Professor of Law, Dickinson School of Law, The Pennsylvania State University
Dr James Backhouse, The Director, Computer Security Research Centre and Reader, London School of Economics and Political Science, University of London
Dr Mahmood Bagheri, Lecturer in International Economic Law, Brunel University
Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and The Director, Center for International Financial Crimes Studies, University of Florida
Dr Nancy Baldwin, Attorney at Law, Florida
Mr Colin Bamford, Barrister, 3-4 South Square and former Chief Executive, The Financial Law Panel
Dr Hans-Peter Bauer, Managing Director, Head, Group Regulatory Strategy and Relations, UBS
Mr Stuart Bazley, Head of Compliance and Legal Counsel, Edward Jones Ltd, London
Dr Margaret Beare, The Director, The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Toronto
Mr Navin Beekarry, Commissioner, Independent Commission Against Corruption, Mauritius
Dr Evan Bell, Senior Legal Assistant, Department of Public Prosecutions for Northern Ireland
Mr Roger Best, Partner, Clifford Chance
Dr Katlen Blöcker, Lovells Solicitors, Berlin
Dr Janusz Bojarski, Chair of Criminal Law and Criminal Policy, Nicholas Copernicus University, Torun, Poland
Mr Lawrence Boyce, Vice President, Sales Compliance and Registration, Investment Dealers’ Association of Canada
Dr Albert E Brandenstein, Director of Technology, Counter-Drug Technology Assessment Center, Office of National Drug Control Policy, The Executive Office of the President of the United States
HE Mr Tarald Osnes Brautaset, Ambassador Extraordinary and Plenipotentiary of the Royal Norwegian Embassy to the Court of St James
Mr Martyn Bridges, Partner, Investigation Services, Deloitte & Touche
The Rt Hon Lord Justice Brooke, Court of Appeal, England and Wales and former Chairman of the Law Commission for England and Wales
Mr John Broome, Consultant, Visiting Professorial Fellow, University of Wollongong, former Chairman, National Crime Authority, Australia and former Co-Chair, Asia-Pacific Group on Money Laundering
Mr Creon Butler, Chief Economist, HM Foreign and Commonwealth Office, UK
Mr Nicolas Burbidge, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Canada
Mr Terry Burke, Head of Financial OCU, National Crime Squad, UK
Mr Plato Cacheris, Partner, Baker & McKenzie, Washington DC
Mr Andrew Campbell, Department of Law, University of Wales, Aberystwyth
Mr John T Canfield, Assistant Customs Attaché, US Embassy, London
Mr Kevin Carwile, Deputy Chief, Organized Crime and Racketeering Section, US Department of Justice
Mr Stefan Cassella, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice
The Hon Judge Gabriel Cavallo, Federal Judge, Chamber of Appeal, Argentina
Dr David Chaikin, Chairman and CEO, Cyberbrief PTY Ltd
Mr Jonathan Charkham, former Chief Commoner of the Corporation of London, Visiting Professor, Cass Business School, City University and former Adviser to the Governor of the Bank of England
The Hon Mr Michael Chertoff, Assistant Attorney General and Head of the Criminal Division, US Department of Justice
Ms Chi-Jen Ching, Prosecutor, Ministry of Justice, Taiwan
Professor Alec Chrystal, Associate Dean, Head of Faculty of Finance and Professor of Money and Banking, Cass Business School, City University, London and formerly Senior Adviser, Bank of England
Mr Dave Churchill, Detective Inspector, West Midlands Police
Mr Richard Clayton, Computer Security Group, University of Cambridge
Mr Brian R Coleman, Director, Police Scientific Research Branch, Home Office, UK
Mr Richard Collins, Group Compliance Director, Lloyds TSB Bank plc, London
Mr Ian Comisky, Partner, Blank, Rome LLP, Philadelphia
Mr Matthew Cooper, Group Money Laundering Reporting Officer, Barclays Bank plc
Mr David Copley, Regional Financial Intelligence Officer, National Criminal Intelligence Service, UK
Mr Nicholas Davidson QC, Barrister, New Zealand
Mr Tom Dawlings, Financial Sanctions Unit, Bank of England
Mrs Sara Dayman, Partner, BDO Stoy Hayward, London
Ms Claire de Than, Lecturer in Law, Department of Law, City University, London
Mr Mike Dixon, Detective Sergeant, Metropolitan Police and formerly ICPO Interpol Liaison, National Criminal Intelligence Service, UK
Mr Alexander Drobik, Vice President, Research, Gartner Group
Mr Bernard Dyer, Computer Security Research Centre, London School of Economics and Political Science, University of London
Dr Dennis Ude Ekumankama, The Director and Secretary, Corporate Affairs Commission, Nigeria
Ms Jane Earl, Director, Assets Recovery Agency, UK
Dr Fath El-Rahman El Sheikh, Legal Adviser, Kuwait Investment Authority
Mr Eric Ellen, Chairman of First Approach and former Director of the ICC – International Maritime Bureau and Chief Constable of the Port of London
Her Hon Judge Diana Faber, Judge of the Crown Court and former Commissioner, Law Commission for England and Wales
Mr Ken Farrow, Detective Chief Superintendent, City of London Police
Mr David Faulkner, International Financial Services, HM Treasury, UK
Ms Silvia Fazio, Institute of Advanced Legal Studies, University of London
Professor Leonid Fituni, The Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
Mr Charles Freeland, Deputy Secretary General, Bank of International Settlements, Basle
Mr William Frei, Deputy Head of the Economic and Financial Affairs Division, Federal Department of Foreign Affairs, Switzerland
Mr John Friedland, General Editor, *International Journal of Disclosure and Governance*
Mr Saul Froomkin QC, Symposium Chairman and Chairman of CIDOEC, Senior Litigation Partner, Mello Jones & Martin (Bermuda), and former Attorney General, Bermuda and Director of Criminal Law, Federal Government of Canada
Dr Michelle Gallant, Assistant Professor of Law, Faculty of Law, University of Manitoba
Ms Susan Galli, Senior Anti-Money Laundering Co-ordinator, Citigroup Inc, New York

Mr Stefan Gannon, General Counsel, The Hong Kong Monetary Authority
Mr Peter German, Chief Superintendent and Officer in Charge, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa
Dr George Gilligan, Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia
Mr Simon Gleeson, Partner, Allen & Overy, Solicitors, London
Mr Leo Goldschmidt, Chairman, Corporate Governance Committee and Director, APCIMS-EASD
The Rt Hon The Lord Goldsmith QC, The Attorney General for England and Wales
Mr Andrew Goodman, Associate, Private Client Team, Taylor Wessing, Solicitors, London
Mr Toby Graham, Partner, Taylor Wessing, Solicitors, London
Mr Stephen Grey, Investigative Journalist, *The Sunday Times*
Mr Pierre Grumbacher, Executive Director, Market Strategy & Development, IT & Resources, UBS AG
The Rt Hon The Lord Hacking, Barrister, Littleton Chambers, London
Mr Jason Haines, Research Fellow in Financial Compliance, Institute of Advanced Legal Studies, University of London
Mr Christopher Hale, Partner and Head of Private Equity, Travers Smith Braithwaite, London
The Hon Judge Hancke, High Court, Bloemfontein and Chairman of the Council, University of the Free State, Republic of South Africa
Mr Barry Hancock, General Counsel, International Association of Prosecutors and Associate Research Fellow, Institute of Advanced Legal Studies, University of London
Mr Julian Harris, Senior Information Officer, Institute of Advanced Legal Studies, University of London
Mr David Hartnett, Head of Revenue Policy, Board of Inland Revenue, UK
Mr Mike Hayley, Office of Fair Trading, UK
Dr Andrew Haynes, Head, Institute of Finance, University of Wolverhampton
Professor David Hayton, Professor of Law, King's College, University of London, Barrister, 5 Stone Buildings, Lincoln's Inn, Acting Justice, Supreme Court of the Bahamas and formerly one of HM Recorders
Mr Paul Heckles, Head of Enforcement, Isle of Man Financial Supervision Commission
Professor Johan Henning, The Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and The Dean of the Faculty of Law, The University of the Free State, Republic of South Africa
Mr Charles Hill, Art Risk Consultant, The Charles Hill Partnership
Mr Willie Hofmeyr, Head of Asset Forfeiture Special Unit, Republic of South Africa
Ms Carmina Hughes, Special Counsel, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, USA
Mr Tony Hutchings, Assistant Detective Inspector, National Hi-Tech Crime Unit, National Crime Squad, UK
Mr Nicholas Ilett, Director of Directorate C "Intelligence, Operational Strategy and Information Technology", European Anti-Fraud Office (OLAF)
Professor Takeyoshi Imai, Faculty of Law, Hosei University and Adviser to the Ministry of Justice, Japan
Mr Horst Intscher, Director, Financial Transactions and Reports Analysis Centre of Canada
Professor Angela Itzikowitz, University of Witwatersrand School of Law, Republic of South Africa
Ms Patricia Jackson, Special Advisor on Financial Stability, Bank of England
Ms Elizabeth Jacobs, Assistant Director, Office of International Affairs, US Securities & Exchange Commission
Dr Dayanath Jayasuriya, Attorney-at-Law, Sri Lanka and former Director General of the Sri Lanka Securities and Exchange Commission
Mr Edwin Jefferson, Police Service of Northern Ireland
Mr Hank Jensen, National White Collar Crime Center, Canada
Mr Richard Jones QC, Barrister, No 5 Chambers, Birmingham and London
Mrs Judith Jones-Morgan, The Attorney General, St Vincent and Grenadines
Ms Sandradee Joseph, King's College, University of London
Mr Edward H Jurith, The General Counsel, Office of National Drug Control Policy, The Executive Office of the President of the United States
Dr Joachim Kaetzler, Attorney, CMS Hasche Sigle, Frankfurt
Professor Hisao Katoh, Professor of Criminal Law and Criminology, Keio University, Japan
Mr Anton Keller, The Secretary, Swiss Investors Protection Association, Geneva
Mr Raymond Kendall, The Honorary Secretary General, Interpol and President, Supervisory Commission, European Anti-Fraud Office (OLAF)
Mr Giannis Keramidas, Lecturer in Law, University of Westminster
Mr Koji Kishima, Deputy Director, Financial Intelligence Office, Financial Services Agency of Japan
Mrs Svetla Konstantinova, Attorney at Law, Bulgaria and former Counsellor, Bulgarian Embassy, London
Ms Lin Kuo, Director, First Approach
Professor Paul Latimer, Department of Business Law and Taxation, Monash University, Australia
Mr Alan Lambert, Consultant, Caribbean Anti-Money Laundering Programme and former Head of Hertfordshire Police Financial Investigation Unit
Sir Ivan Lawrence QC, one of HM Recorders and former Chairman, Home Affairs Committee, House of Commons
Miss Angela Leong, Research Associate Fellow, Centre for Criminology, The University of Hong Kong
Professor Michael Levi, Professor of Criminology, Cardiff University
Mrs Ying Li, Director, Legal Development Centre, Supreme People's Procuratorate of the People's Republic of China and General Secretary, Shenzhen Legal Committee
Mr Tom Lloyd, Chief Constable, Cambridgeshire Constabulary
Mr David Lock, Chairman, Service Authority for the National Criminal Intelligence Service and the National Crime Squad, UK and former Parliamentary Secretary, Lord Chancellor's Department
The Hon Dr Giuseppe Lumia, Member of the Italian Parliament, Member and former Chairman, Anti-Mafia Commission, Italian Parliament
Professor Dan Magnusson, Swedish National Economic Crimes Bureau, Stockholm
Professor John Maher, Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, The Dickinson School of Law, The Pennsylvania State University and immediate past Chairman, Atlantic Liberty Savings, FA
The Hon Mr John A Maher III, CPA, Member, Pennsylvania House of Representatives and Chairman, Subcommittee on Public Transportation, and Founder of Maher Duessels CPAs
Mr Shiraz Mahmood, Senior Manager, Compliance, Internet and E-Commerce, HSBC and formerly of the Financial Services Authority, UK
Mr John Mair, Group Financial Crime Director, Group Compliance, Lloyds TSB Bank plc
Ms Joan Manley, Deputy Director, Division of Enforcement, Commodity Futures Trading Commission
Ms Anna Markovskaya, Cass Business School, City University, London
Professor Donato Masciandaro, Professor of Monetary Economics, The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
Ms Thapi Matsaneng, Lecturer in Law, University of the Free State, Republic of South Africa
Mr David Maxwell, Senior Policy Adviser, APCIMS (Association of Private Client Investment Managers and Stockbrokers)
Mr James McGinnis, Managing Director, JP Morgan Securities Inc, New York
Mr Duncan McKelvie, West African Organised Crime Section, National Criminal Intelligence Service, UK
Mr John McFall MP, Chairman, House of Commons Treasury Select Committee
Mr John McFarlane, Director, Transnational and Homeland Security Program, Australian Defence Studies Centre, Australian Defence Force Academy

Mr Alan McQuillan, Assets Recovery Agency, Northern Ireland
Mr Geoff Mhlanga, Managing Director, Zimbabwe Stock Exchange and Chairman, African Association of Stock Exchanges
Mr John Middleton, Director, Compliance Risk Management Strategy, HM Inland Revenue, UK
Professor Jon Mills, Dean and Professor of Law, Levin College of Law, University of Florida and former Speaker of the Florida Legislature
Dr George Henry Millard, Special Adviser to the Commissioner of Police, Brazilian Civil Police
Mr Saverio Mirarchi, Managing Director, Global Compliance Division, Bank of New York
Supervisory Special Agent Dale Miskell, Internet Fraud Complaint Center, the Federal Bureau of Investigation, US Department of Justice
Dr Dan Mitchell, Senior Fellow, The Heritage Foundation and Founder, The Center for Freedom and Prosperity
Mr Noriaki Mizuno, Director, Financial Intelligence Office, Financial Services Agency of Japan
Mr Guillermo Montenegro, Federal Prosecutor, Argentina
HE Mrs Alma Rosa Moreno, Ambassador Extraordinary and Plenipotentiary of Mexico to the Court of St James
Mr John Moscow, Assistant District Attorney, Office of the District Attorney of New York
Captain P Mukundan, Director, Commercial Crime Services, The International Chamber of Commerce
Mr Alistair Munro, Director, Investigation Services, Deloitte & Touche
Mr Eisuke Nagatomo, Executive Officer, Compliance and Market Surveillance, Tokyo Stock Exchange
Dr Chizu Nakajima, Symposium Co-Director, The Director, Centre for Financial Regulation, Cass Business School, City University, London and Associate Senior Research Fellow, Institute of Advanced Legal Studies, University of London
Avv Alessandro Napolitano, Lovells Studio Legale, Rome
Dr Massimo Nardo, Manager, Ufficio Italiano dei Cambi, Italy
Mr Stilpon Nestor, Principal, Nestor Advisors Ltd
Mr Peter Neville, The Director General, Guernsey Financial Services Commission
Mr Thomas Newkirk, Associate Director of Enforcement, US Securities and Exchange Commission
Professor Peter Nolan, Judge Institute, University of Cambridge and Fellow of Jesus College, Cambridge
Mr Claes Norgren, President, Financial Action Task Force XV and Director General, Competition Authority, Sweden
Mr Keith Oliver, Partner, Peters & Peters, Solicitors, London
HE Mr Masaki Orita, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James
Dr Denis Osborne, Consultant in Governance, Development and Training and former HM High Commissioner to Malawi, HM Overseas Development Administration
Mr Jeffrey Owens, Head, Centre for Tax Policy and Administration, Organisation for Economic Co-operation and Development (OECD), Paris
Mr Wilmer Parker III, Partner, Gillen Cromwell Parker & Withers LLC, Atlanta and former Assistant US Attorney, US Department of Justice
Dr Christopher Parsons, Reader in Insurance, Cass Business School, City University, London
Ms Grace Perez-Navarro, Centre for Tax Policy and Administration, Organisation for Economic Co-operation and Development (OECD), Paris
Professor Mark Pieth, University of Basel and Chair, OECD Working Group on Corruption
Dr Cheong Ann Png, International Monetary Fund
Mr Robert Pocica, Director of Corporate Security, Pfizer Inc and former Supervisory Special Agent, Internet Fraud Complaint Center, Federal Bureau of Investigation, US Department of Justice
Mr Richard Pratt, The Director General, Jersey Financial Services Commission
Ms Kimberley Prost, Head, Criminal Law Section and Deputy Director, Legal and Constitutional Affairs Division, Commonwealth Secretariat
Mr Khawar Qureshi, Barrister, Serle Court, London
Ms Joyce Rabens, Minister Counsellor for Economic Affairs, US Embassy, London
Mr Lee Radek, Special Counsel for International Money Laundering, US Department of Justice
Mr G Montgomery Rankin, Attorney at Law, Florida
Mr Monty Raphael, Senior Partner, Peters & Peters, Solicitors, London
Mr Simon Regis, Head, UK Central Authority, Judicial Co-operation Unit, HM Home Office
Mr Reginald Rhoda QC, The Attorney General, Gibraltar
Mr Robert Rhodes QC, 35 Essex St, London and former Head of Chambers, 4 King's Bench Walk
Mr Mallam Nuhu Ribadu, Executive Chairman, Economic and Financial Crimes Commission, Nigeria
Mr Michael Ricks, Michael Ricks & Associates
Professor Barry AK Rider, Symposium Director and Co-Chairman, The Director, Institute of Advanced Legal Studies, University of London, Professor of Law, University of London, Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Barrister
Dr Cécile Ringgenberg, Senior Partner, Ringgenberg & Schulthess, Geneva
Mr Graham Ritchie, Director, International Professional Research and Training Unit, Institute of Advanced Legal Studies, University of London
Mr Thomas Roche, Deputy General Counsel and Senior Vice President, Federal Reserve Bank of New York
Mr Kevin Roddy, Partner, Hagens Berman, LLP, Los Angeles, California
Mr Henry Rossbacher, Attorney at Law, The Rossbacher Firm, Los Angeles
Mr Geoffrey Rowland QC, The Deputy Bailiff, Guernsey
Mr Marcelo J Ruiz, Pastoriza & Eviner Abogados, Buenos Aires and former Counsellor, Argentine Embassy, London
Mr G Philip Rutledge, Chief Counsel, Pennsylvania Securities Commission
Mr Pietro Sansonetti, Partner, Schellenberg Wittmer, Geneva
Dr Riccardo Sansonetti, Deputy Head of the Financial Markets Section, Federal Finance Administration, Switzerland
Dr Carlo Santini, Director General, Ufficio Italiano dei Cambi, Italy
Mr Martin Saunders, Partner, Clifford Chance
Professor Ernesto Savona, Catholic University in Milan and Director of Transcrime, Research Centre on Transnational Crime, University of Trento, Italy
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Mr Gary Scanlan, Department of Law, City University, London
Mr Philip Schädler, Director, Liechtenstein Bankers' Association
Ms Judith Schmidt, Deputy Head, Anti-Money Laundering Control Authority, Switzerland
Professor Georges Selim, Chair, Centre for Research in Corporate Governance, Cass Business School, City University, London
HE Shri Ranendra Sen, High Commissioner for India
Ms Carol Sergeant, Managing Director, Regulatory Processes and Risk Directorate, Financial Services Authority, UK
Professor Mario Serio, Il Consigliere, Consiglio Nazionale della Magistratura, Rome
Mr Mohammed Shah, Superintendent of Police, Royal Malaysian Police and Lecturer, Senior Police Officers' College, Malaysia
Professor Wassim Shahin, Dean, School of Business, Byblos, Lebanese American University
Mr Alain Chung Ping Sham, Head of Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
Professor Avrom Sherr, Woolf Professor of Legal Education, Institute of Advanced Legal Studies, University of London
Mr Toru Shikibu, Financial Minister, Embassy of Japan, London and former Director, Financial Services Agency, Japan
Ms Helen Shwery, Executive Officer, Canadian Health Care Anti Fraud Association

Mr Harvey Silets, Senior Partner and General Counsel, Katten Muchin Zavis Rosenman, Chicago
Dr Dalvinder Singh, Senior Lecturer in Law, Department of Law, Oxford Brookes University
Mr Sarabjit Singh, Director General of Police, Punjab, India
Mr John Sliter, Detective Inspector, Commercial Crime Branch, Royal Canadian Mounted Police
Dato' Seri Salleh Mat Som, Commissioner of Police and The Director, Criminal Investigation Department, Royal Malaysian Police
Mr Andrew Sowter, Compliance Officer, Bear Stearns, London
Mr Richard Small, Director of Global Anti Money Laundering, Citigroup Inc, New York
Judge Lurana S Snow, Magistrate-Judge for the Southern District of Florida
Mr James Springer, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice
Ms Marie-Claire Sparrow, Barrister, 118 Chancery Lane and Legal Adviser to the French Embassy, London
Mr George Staple QC, Consultant, former Partner, Clifford Chance, former Director of the Serious Fraud Office of England and Wales and former Chairman of the Fraud Advisory Panel
The Hon Justice Dame Heather Steel, Royal Courts of Justice and Master of the Worshipful Company of Pattenmakers
Dr Constantin Stefanou, Fellow, Institute of Advanced Legal Studies, University of London, Lecturer, International Study Center, Queen's University, Canada and Senior Project Officer, Ukraine Legislative Drafting Project, Department for International Development, UK
Mr Neil Stocks, Managing Director and Head of Group Compliance, UBS
Mr Jürgen Storbeck, The Director, Europol
Mr Kludijko Stroligo, The Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia
Mr Mark Tatum, Head of Forensic Investigators, UK and Europe and Leader, European Financial Crime Campaign, Deloitte & Touche
Mr Nobuo Tateisi, Chairman, OMRON Corporation, Japan and Member of the Board, Tokyo Stock Exchange
Dr Michael Taylor, The Intelligence and Security Secretariat, Cabinet Office, UK
Ms Susan Taylor, Crown Prosecution Service of England and Wales
Mr Jean-François Thony, Senior Judge, Court of Appeal of Versailles and Assistant General Counsel, International Monetary Fund, Washington DC
Mr Jeremy Thorp, Director, Financial Crime, British Bankers' Association
Ms Wendy Tien, Trial Attorney, Civil Division, US Department of Justice
Mr Robert Marsh Tipping, Court of Assistants, Worshipful Company of Pattenmakers
The Hon Mr Justice Toulson, Chairman of the Law Commission for England and Wales
Ms Mary Troland, US Department of Justice, US Embassy, London
Mr William Tupman, Senior Lecturer, Department of Politics, University of Exeter, Director, Unit for Research on Community Safety, member of advisory boards of Intercentre, University of Messina, Institute of Risk and Security Management, Rome and Center for International Financial Crimes Studies, University of Florida
Mr Peter van den Broeke, Chair, Fraud Sub-Committee, International Association of Insurance Supervisors
The Hon Dr Miguel Urrutia, Governor, Central Bank of Colombia
Mr Frans van Proosdij, Senior Supervisor, Dutch Central Bank
Mr Joey Wang, Assistant Director, Money Laundering Prevention Center, Investigation Bureau, Ministry of Justice, Taiwan
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Mr Paul Wilkins, Attorney General's Office, Guernsey
Lieutenant General Sir Michael Willcocks, KCB, Gentleman Usher of the Black Rod
Mr Jonathan Winer, Counsel, Financial Services Group, Alston & Bird LLP, Washington DC and former Deputy Assistant Secretary of State, International Narcotics and Law Enforcement, US Department of State
Mr Christopher Wiscarson, Managing Director, International Banking Division, Lloyds TSB Bank plc
Dr William Witherell, The Director, Financial, Fiscal and Enterprise Affairs, Organisation for Economic Co-operation and Development (OECD), Paris
Mr Clive Wolman, Associate Research Fellow, Institute of Advanced Legal Studies, University of London
Mrs Rosalind Wright, Chair, Fraud Advisory Panel and former Director, Serious Fraud Office of England and Wales and former General Counsel, Securities and Futures Association
Dr Helen Xanthaki, Senior Research Fellow and Academic Director, Sir William Dale Centre for Legislative Studies, Institute of Advanced Legal Studies, University of London and Co-ordinator, Ukraine Legislative Drafting Project, Department for International Development, UK
Ms Li Hong Xing, Lecturer in Chinese Business, Witan Hall, Reading
Mr Cherng-Maw Yeh, Director General, Investigation Bureau, Ministry of Justice, Taiwan
Professor Ziqiang Ye, Associate Professor, Institute of Law, Chinese Academy of Social Sciences, Beijing, People's Republic of China and Visiting Fellow, Institute of Advanced Legal Studies, University of London

** Subject to confirmation*

Please note that, having regard to the number and calibre of the speakers involved, it is inevitable that changes in the programme will take place.

Jesus College, Cambridge

Jesus College was founded as a college, in the University of Cambridge, in 1496, by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

Principal Organising Institutions

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge and Reading, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues, including the prevention and control of economic crime. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary.

The Society for Advanced Legal Studies

The Society is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. Membership of the Society as a Fellow or Associate Fellow is open to anyone who has a post-graduate degree in law or a related discipline and or who has the right to practise law in any jurisdiction. The Society's Advisory Council is chaired by The Rt Hon The Lord Scott of Foscote, Lord of Appeal in Ordinary.

The Centre for Financial Regulation, Cass Business School, City University, London

Cass Business School is one of the leading business and management schools in the UK and has the advantage of being based in the City of London, with the Lord Mayor as the University's Chancellor. The Centre for Financial Regulation was established to promote and conduct research, across a broad spectrum of disciplines, in the area of financial regulation. The Chairman of the Centre's Advisory Council is Sir Kenneth Warren.

Symposium Chairman

Mr Saul Froomkin QC

Chairman of CIDOEC and Senior Litigation Partner, Mello Jones & Martin (Bermuda), former Attorney General, Bermuda and Director of Criminal Law, Federal Government of Canada

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Professor Barry AK Rider

The Director, Institute of Advanced Legal Studies, University of London, Professor of Law, University of London, Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Barrister

Symposium Co-Director and Presiding Convenor

Dr Chizu Nakajima

The Director, Centre for Financial Regulation, Cass Business School, City University, London

For further information please contact

Ms Catherine Stokes

Symposium Manager

Jesus College, Cambridge CB5 8BL, UK

Tel: +44-(0)20 7040 0166

Fax: +44-(0)20 7040 8700

Email: symposium@jesus.cam.ac.uk

or visit

www.crimesymposium.org