

# TWENTY-THIRD INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 4TH - SUNDAY 11TH SEPTEMBER 2005

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

## The Business of Crime

The Enterprise of Crime and Terror - The Implications  
for Good Business



## **The Organising Institutions**

The Centre for International Documentation on Organised and Economic Crime  
The Institute of Advanced Legal Studies, University of London  
The Society for Advanced Legal Studies  
Cass Business School, City University, City of London  
The International Chamber of Commerce  
The British Institute of International and Comparative Law  
Centre for European and International Financial Law, The University of Siena, Italy  
The Australian Institute of Criminology  
The Centre for Strategic and Global Studies, Russian Academy of Sciences  
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada  
IS Integrity Group, London School of Economics and Political Science, University of London  
The British Institute of Securities Laws  
Financial and Regulatory Crime Club, UK  
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan  
The Dickinson School of Law, The Pennsylvania State University, USA  
The Stockholm School of Economics, Sweden  
Keio University, Japan  
Department of Business Law and Taxation, Monash University, Australia  
Saint Louis University, USA  
University of the Free State, Republic of South Africa  
Center for International Financial Crimes Studies, University of Florida, USA  
Hungarian Academy of Sciences  
Institute for Legal Studies, Bulgarian Academy of Sciences  
Computer Security Group, University of Cambridge  
Centre for Criminology, The University of Hong Kong  
Development Studies, University of Cambridge

*In association with:* Jesus College, University of Cambridge

# *The 23<sup>rd</sup> Cambridge International Symposium on Economic Crime*

## **THE BUSINESS OF CRIME: *THE ENTERPRISE OF CRIME AND TERROR - THE IMPLICATIONS FOR GOOD BUSINESS***

The annual Cambridge International Symposium on Economic Crime is now in its twenty-third year. It is a truly unique event which over the years has made an unrivalled contribution in promoting understanding of the real issues involved in successfully preventing and controlling economically motivated serious crime and thereby promotes and facilitates meaningful international co-operation. The Cambridge Symposium brings together, in one of the oldest medieval Colleges of the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts over 900 participants from 80 or so different countries – many of whom return year after year.

The Organising Committee of the Symposium gives careful consideration, after consultation with governmental and other agencies, to the crafting of each programme. The Twenty-Third Symposium will focus on the 'Business of Crime' and in particular address the impact of enterprise crime and subversive activity on the conduct of financial and other business. The main programme will examine a host of practical issues relevant to identifying and controlling the risk of serious crime and terrorist activity undermining business and the exposure of those responsible for protecting other people's wealth to new and often unappreciated legal and regulatory risks. The main programme is supported by a number of specialist workshops which will deal with very real and practical issues of concern to all those who have a responsibility to protect the integrity and reputation of their business or economy. The breadth and depth of the symposium can only be properly appreciated by examining the programme and considering the calibre of speakers and panellists.

The Symposium is able to draw upon a unique network of recognised experts from around the world. Well over two hundred speakers and panellists will address a wide range of issues of special relevance to those concerned with the prevention and control of serious crime and in particular the funding of criminal organisations and the laundering of the proceeds of crime. A glance at the programme will clearly manifest not only the level of support that the annual Cambridge Symposium receives from the world's leading agencies, but also the practical orientation of the deliberations. Through the forum and workshops those attending the programme are able to contribute themselves to the discussion of issues of particular concern to them. There is also, and perhaps most importantly, considerable opportunity for meeting and engaging colleagues in conversation at meals in the medieval halls of the College and during many other social occasions. The opportunities for establishing new contacts and renewing old friendships are second to none.

The Cambridge Symposium is not and has never been merely a conference! It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of many governmental and inter-governmental organisations. It was first established some twenty three years ago to promote meaningful international co-operation in the fight against serious economic crime and its record is its best testament! Successive symposia have made a real contribution – at all levels to promoting stability, good governance and the control of criminal activity. Those who are concerned to protect and promote the integrity and security of their national economy, enterprise or institution – or who are concerned to better appreciate and manage the risks facing business today, cannot afford to miss this very special event.



Professor Barry A.K. Rider  
Symposium Director and Co-Chairman  
Jesus College  
Cambridge

*Sunday, 4<sup>th</sup> September 2005*

**13:00-**

**16:30**

Registration in the Marquee, Jesus College, Cambridge

**18:30**

**Cocktails** in the Marquee

**19:45**

**Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Professor Jonathan Charkham**, former Advisor to the Governor of the Bank of England and Sheriff of the City of London, and **The Rt Hon The Lord Hunt**, Senior Partner, Beachcroft Wansbroughs and former Secretary of State for Wales; former Secretary of State for Employment and former Chancellor of the Duchy of Lancaster and Minister for the Public Services, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University with welcoming addresses by **Mr Saul M Fromkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium; Fellow Commoner of Jesus College, Cambridge; Honorary Senior Research Fellow and former Director of the Institute of Advanced Legal Studies, University of London; President of the British Institute of Securities Laws; Executive Director of CIDOEC and Consultant to Beachcroft Wansbroughs

*Monday, 5<sup>th</sup> September 2005*

**08.15**

**Inaugural Session and Keynote Addresses**

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **The Rt Hon The Lord Goldsmith QC**, Attorney General for England and Wales
- **YB Tan Sri Datuk Seri Panglima Abdul Gani Patail**, Attorney General of Malaysia
- **Mr William J Fox**, Director of the Financial Crimes Enforcement Network, US Treasury
- **The Hon Allyson Maynard-Gibson**, Minister of Financial Services and Investments, The Bahamas
- **Dr Daynath Jayasuriya**, Chairman of the Securities and Exchange Commission and Insurance Board of Sri Lanka
- **Ms Jane Earl**, Director of the Assets Recovery Agency, UK
- **Mr Toru Shikibu**, Deputy Commissioner of the Financial Services Agency of Japan
- **Professor Kader Asmal**, President-designate, Financial Action Task Force

**10.30**

**Coffee**

**10.45**

**Inaugural Session and Keynote Addresses (continued)**

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **Dr Antonello Biagioli**, Alternate Director-General, Ufficio Italiano dei Cambi, Italy
- **Dr James Hart**, Commissioner of Police for the City of London
- **Mrs Rosalind Wright**, Chair, Fraud Advisory Panel, former Director, Serious Fraud Office of England and Wales and former General Council, Securities and Futures Association
- **Mrs Obla V Ojeke-Eje**, Head of Intergovernmental Action Group Against Money Laundering, Senegal
- **Mr David Bradshaw**, Director of the Serious Fraud Office, New Zealand
- **Mr Paul Evans**, Executive Director of Intervention, Serious Organised Crime Agency, UK
- **Mr Monty Raphael**, Senior Partner and Member of Money Laundering Compliance Unit, Peters & Peters Solicitors, London and Director, Fraud Advisory Panel

**12.45**

**Group Photograph**, Jesus College

**13.00**

**Lunch** in Hall and Upper Hall, Jesus College

**14:30**

**Session I: Organised Crime and Terror – The Risks to the Business Environment**

Chair: **Professor Barry Rider**, Director and Co-Chairman of the Symposium

- **The Hon Professor G Peiris**, Member of Parliament, former Minister of Justice & Constitutional Affairs and Deputy Minister of Finance, Sri Lanka, and former Vice-Chancellor of University of Colombo
- **Mr Philip Robinson**, Director of Regulatory Transactions and Sector Leader for Financial Crime, Financial Services Authority, UK
- **The Hon John Maher III**, Speaker pro tempore of the Pennsylvania House of Representatives and founder of Maher Duessel Certified Public Accountants
- **Ms Susan Galli**, Senior Anti-Money Laundering Co-ordinator, Citigroup Inc. New York

- **Professor Ernesto Savona**, Catholic University of Milan and Director of Transcrime, The Research Centre of Transnational Crime, University of Trento, Italy
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Mr Thomas Newkirk**, former Associate Director, Division of Enforcement, US Securities and Exchange Commission
- **Ms Lucy Makinson**, Head of Financial Systems and International Standards, HM Treasury, UK
- **Mr Gregory Mitchell QC**, Barrister, 3 Verulam Buildings, Gray's Inn, London
- **Mr John Mair**, Group Financial Crime Director, Lloyds TSB Bank Plc
- **Professor John Maher**, immediate past Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, Dickinson School of Law, Pennsylvania State University
- **Dr Ye Feng**, Director-General, Supreme People's Procuratorate of the People's Republic of China
- **Dr Margaret Beare**, Director, The Nathanson Centre for the Study of Organised Crime, Faculty of Law, York University, Toronto
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

**18:45**      **Cocktails** in the Marquee

**19:45**      **Dinner** in Hall and Upper Hall, Jesus College,

**After-dinner Addresses** by **The Hon Mrs Jennifer Dilbert**, representative of the Cayman Islands to the UK and **The Rt Hon Lord Justice Mummery**, Lord Justice of Appeal introduced by **Mr Michael Chan**, Solicitor, Lovells with a vote of thanks by **Mr Marcelo Ruiz**, Estudio Canguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London

**Tuesday, 6<sup>th</sup> September 2005**

**BREAKFAST WORKSHOP I: 07:15 – 08:15**

**Money Laundering – typologies**

**Mr Peter German**, Director General, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa  
**Mr John Sliter**, Director Intergrated Market Enforcement Team Program, Royal Canadian Mounted Police

**BREAKFAST WORKSHOP II: 07:15 – 08:15**

**Conflicts of Interest and Duty in the Financial Sector**

**Mr G. Montgomery Rankin**, Attorney at Law, Florida  
**Mr Richard Pratt**, Richard Pratt & Partners and former Director-General, Jersey Financial Services Commission  
**Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

**08.30**      **Session II: Organised Crime - Today**

Chair: **Mr Stephen Lovelock**, Director of the UK Crime Academy and Detective Chief Superintendent, Metropolitan Police Service

- **Mr Jyoti Trehan**, Additional Director General of Police, Punjab
- **Mr Nick Ridley**, Intelligence Analysis, allocated to Anti-Terrorism Section, Europol
- **Mr Nigel Coles**, Assistant Director, Financial Intelligence Division, National Criminal Intelligence Service, UK
- **Judge Antonio Balsamo**, Judge of the Court of Assizes, Palermo, Sicily and Member of the Scientific Committee, of the Consiglio, Superiore della Magistratura, Italy
- **Mr Alain Chung Ping Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Mr Ko Shikata**, Deputy Director, Police Policy Research Centre, National Police Academy of Japan
- **Profesor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Mr Tony Noble**, Head of the Financial Intelligence Unit, British Virgin Islands

**10.30**      **Coffee**

**10:45 Session III: Organised Crime and Business**

Chair: **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

- **Mr Claude Nicati**, Deputy Attorney-General of Switzerland
- **Mr Willie Hofmeyr**, Head of Asset Forfeiture Special Unit, Director of Public Prosecutions, Republic of South Africa
- **Mr Frank Marine**, Senior Litigation Counsel, Organised Crime and Racketeering Section, US Department of Justice
- **Mr Stephen Webb**, Head, Policing Organised Crime Unit, Home Office, UK
- **The Hon Giuseppe Lumia**, Member of the Italian Parliament, Member and former Chairman, Anti-Mafia Commission, Italian Parliament
- **Mr John Moscow**, Former Assistant District Attorney, Office of the District Attorney of New York
- **Mr Ng Sai-keun**, Chief Superintendent, Hong Kong Police Service
- **Professor Petrus Van Duyne**, Professor of Empirical Penal Science, Tilburg University, Netherlands
- **Mr Tony Neate**, Head, Industry Liaison, National Hi-Tech Crime Unit, UK
- **Mr John Sliter**, Director, Integrated Market Enforcement Team Program, Royal Canadian Mounted Police
- **Professor Hisao Katoh**, Professor of Law and Criminology, Keio University, Tokyo

**12:45 Lunch** in Hall and Upper Hall, Jesus College

**13:45 Session IV: Terrorism and Business**

Chair: **Professor Christopher Brady**, Associate Dean, Cass Business School and former Commander, HM Royal Navy

- **Dr Patrick Hardouin**, Deputy Assistant Secretary-General for Regional, Economic and Security Affairs, NATO
- **Ms Cheryl-Ann Lister**, Chair, Bermuda Monetary Authority, Bermuda
- **Mr Edwin Jefferson**, Police Service of Northern Ireland
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority
- **Mr Sarabjit Singh**, former Director-General, Bureau of Police Research and Development, New Delhi, India
- **Mr John McFarlane**, Strategic and Defence Studies Centre, Research School of Pacific and Asian Studies, Australian National University
- **Dr Sally Leivesley**, Newrisk Ltd
- **Mr Peter Lowe**, Director, Commercial Crime Services, International Chamber of Commerce
- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow

**15:45 Tea**

**PLENARY WORKSHOP I – 16:15-17:15**

**Civil Enforcement – Reinforcing the Criminal Law**

**Mr Ian Comisky**, Partner, Blank, Rome LLP, Philadelphia

**Ms Nina Nichols**, Special Counsel, Supervision & Regulation, Board of Governors, US Federal Reserve

**Mr Harvey Silets**, Partner, Katten Muchin & Zavis

**Mr Michael Shepard**, Senior Vice President, Commerce Bank, NA

**Mr Robert Kim**, Senior Regulatory Enforcement Specialist, FinCEN, US Treasury

**Mr Lester Joseph**, Principal Deputy Chief of the Asset Forfeiture and Money Laundering Section, Criminal Division, US Department of Justice

**PLENARY WORKSHOP II – 16:15-17:15**

**AML - Legal, Ethical and Practical Issues**

**Ms Karen Silcock**, Chairman, Money Laundering Working Party, Institute of Chartered Accountants in England and Wales, and Partner, Deloitte & Touche LLP

**Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales

**Mr Steve Plumridge**, Money Laundering Reporting Officer, Institute of Chartered Accountants in England and Wales

**Mr Nigel Haskins**, Head of Advisory Services, Institute of Chartered Accountants in England and Wales

<p><b><u>WORKSHOP 1 – 17:30-18:30</u></b></p> <p><b>US Sarbanes – Oxley: Overview and Update</b></p> <p><b>Mr Alex Cohen</b>, Partner, Latham &amp; Watkins</p>	<p><b><u>WORKSHOP 2 – 17:30-18:30</u></b></p> <p><b>Modernising the Law of Fraud</b></p> <p><b>Professor Peter Alldridge</b>, Drapers Professor of Law, Queen Mary College, University of London</p>
<p><b><u>WORKSHOP 3 – 17:30-18:30</u></b></p> <p><b>Underground, Offshore and Correspondent Banking – AML Technology and Detection</b></p> <p><b>Mr Rob McCusker</b>, Research Analyst in Transnational Crime Australian Institute of Criminology, Canberra</p> <p><b>Mr Joyti Trehan</b>, Inspector-General of Police, Indian Police Service</p> <p><b>Mr Nick Ridley</b>, Intelligence Analysis, Serious Crime Department, Europol</p>	<p><b><u>WORKSHOP 4 – 17:30-18:30</u></b></p> <p><b>Corruption Control – The Pro’s and Con’s</b></p> <p><b>Mr Chris Blackhurst</b>, City Editor, London Evening Standard</p> <p><b>Mr Michael Ricks</b>, Managing Director, Enquire International</p> <p><b>Mr Graham Rodmell</b>, Director of Corporate and Regulatory Affairs, Transparency International UK.</p>
<p><b><u>WORKSHOP 5 – 17:30-18:30</u></b></p> <p><b>Police Peace Operations in Disrupted States: The South Pacific Experience</b></p> <p><b>Mr John McFarlane</b>, Strategic and Defence Studies Centre Research School of Pacific and Asian Studies Australian National University</p> <p><b>Mr William Tupman</b>, Senior Lecturer, University of Exeter</p>	<p><b><u>WORKSHOP 6 – 17:30-18:30</u></b></p> <p><b>Market Abuse</b></p> <p><b>Mr Peter Richards-Carpenter</b>, Partner, May Brown Rowe &amp; Maw</p> <p><b>Ms Mandy Warnford-Davis</b>, Consultant, May Brown Rowe &amp; Maw</p> <p><b>Ms Stephanie Bates</b>, Partner, May Brown Rowe &amp; Maw</p> <p><b>Mr Ed Sautter</b>, Partner, May Brown Rowe &amp; Maw</p>
<p><b><u>WORKSHOP 7 – 17:30-18:30</u></b></p> <p><b>D &amp; O Cover for Fraud and Misconduct</b></p> <p><b>Professor Barry Rider</b>, Director and Co-Chairman of the Symposium</p> <p><b>Mr John Friedland</b>, General Editor of the International Journal of Disclosure and Governance</p> <p><b>Mr Jullian Miller</b>, Partner, Beachcroft Wansbroughs</p>	<p><b><u>WORKSHOP 8 – 17:30 -18:30</u></b></p> <p><b>Assessing Readiness to Combat Economic Crime: PCIME Framework</b> <b>Presented by RSM Robson Rhodes</b></p> <p><b>Mr Jack Berkovi</b>, Head of Firm-wide Campaigns, RSM Robson Rhodes</p>

**19:00**            **Cocktails** in the Marquee,

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **The Hon John Maher III**, Speaker pro tempore of the Pennsylvania House of Representatives and founder of Maher Duessel Certified Public Accountants, and **Mr Michael Eland**, Commissioner, HM Customs & Excise, Introduced by **Mr Jason Haines**, Consultant, Financial Services Division, Beachcroft Wansbroughs, with a vote of thanks proposed by **Professor Johan Henning**, Dean, Faculty of Law, University of the Free State and Senior Research Fellow, Institute of Advanced Legal Studies, University of London

Wednesday, 7<sup>th</sup> September 2005

**BREAKFAST WORKSHOP III-07:15 - 08:15**

**Smuggling and Organised Crime**

**Mr John Canfield**, US Customs Attaché, US Embassy, London  
**Dr Irina Abramova**, Deputy Director, Institute for African and Arab Studies, Russian Academy of Sciences, Moscow  
**Professor Klaus von Lampe**, Freie Universität, Berlin

**BREAKFAST WORKSHOP IV-07:15 - 08:15**

**KYC – AML and Risk Management**

**Mr Jason Haines**, Consultant, Financial Services Division, Beachcroft Wansbroughs  
**Mr Ray Jackson**, Managing Director, Solcara Ltd  
**Mr William Tupman**, Senior Lecturer, University of Exeter

**BREAKFAST WORKSHOP V-07:15 - 08:15**

**Anti-trust and Trade Crimes – The International Dimension**

**Professor Susan Beth Farmer**, Professor of Law, Dickinson Law School, Penn State University

**08:30 Session V: The Financial War on Organised Crime**

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Center for International Financial Crimes Studies, University of Florida

- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice
- **Mr Charles Freeland**, Deputy Secretary-General, Bank of International Settlements, Basel
- **Mr David Hartnett**, Head of Revenue Policy, Board of Inland Revenue, UK
- **Mr David Copley**, National Criminal Intelligence Service, UK
- **Mr Peter German**, Director General, Financial Crime, Royal Canadian Mounted Police Headquarters, Ottawa
- **Mr Rajesh Ranjan**, Assistant Director, Financial & Hi-Tech Crime, ICPO-Interpol General Secretariat
- **Mr Yehuda Shaffer**, Director, Israel Money laundering Prohibition Authority
- **Mr William Frei**, Deputy Head of the Economic and Financial Affairs Division, Federal Department of Foreign Affairs, Switzerland
- **Dr Massimo Nardo**, Manager, Ufficio Italiano dei Cambi, Italy
- **Mr Joey Wang**, Assistant Director, Money laundering Prevention Centre, Investigation Bureau, Ministry of Justice, Taiwan
- **Mr Alwyn D Herriman**, Financial Investigations Division, Jamaica

**10:30 Coffee**

**10:45 Session VI: The Financial War on Terror**

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Mr Theodore Greenberg**, Senior Counsel, World Bank and Former Special Counsel for International Money Laundering, US Department of Justice
- **Ms Marcy M Forman**, Director of Investigations, Immigration and Customs Enforcement (ICE), Department of Homeland Security, USA
- **Mr Jean-François Thony**, Assistant General Counsel, International Monetary Fund, Washington DC
- **Mr Peter Neville**, Director-General, Guernsey Financial Services Commission
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice-President, US Federal Reserve Bank of New York
- **Mr Debi Prasad Dash**, Consultant, Counter Terrorism Legal and Constitutional Affairs Division, Commonwealth Secretariat, London
- **Mr Mike Needham**, Superintendent of Police and Head of Economic Crime Section, Cayman Islands



- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana

**13:00** Lunch in Hall and Upper Hall, Jesus College

**14:00** **Session VII: The Business of Corruption**

Chair: **Mr Saul M Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada

- **Mr Hans Peter Bauer**, Consultant
- **Ms Kimberly Prost**, Head, Commercial Crime Unit, Commonwealth Secretariat
- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles
- **Mr Arthur Middlemiss**, Assistant District Attorney, New York
- **Professor Michael Levi**, Professor of Criminology, Cardiff University
- **Mr Andrew Clark**, Partner, Forensic Services, Price Waterhouse Coopers
- **Hon Justice Rose Ukeje**, Chief Judge, Federal High Court, Nigeria
- **The Hon Judge Dr Gabriel Cavallo**, Federal Judge, Chamber of Appeal, Argentina
- **Dr Denis Osborne**, Consultant in Governance, Development and Training, and Former HM High Commissioner to Malawi

**16.00** Tea

**PLENARY WORKSHOP III – 16:15-17:15**

**Corruption – International Initiatives against Politically Exposed Persons**

**Professor Mark Pieth**, University of Basel and Chair, OECD Working Group on Corruption  
**Mrs Gemma Aiolfi**, Director, Basel Institute on Governance

**PLENARY WORKSHOP IV – 16:15-17:15**

**Actions and Transactions – Detecting financial crime by behaviour profiling**

**Dr James Backhouse**, Director, IS Integrity Group, London School of Economics and Political Sciences, University of London  
**Dr Massimo Nardo**, Manager, Ufficio Italiano dei Cambi, Italy  
**Mr Bernard Dyer**, Visting Fellow, IS Department, LSE, London  
**Ms Ana Isabel Canhoto**, Researcher, IS Integrity Group, LSE, London  
**Mr Dionysios S. Demetis**, Researcher, IS Integrity Group, LSE, London

**WORKSHOP 9 – 17.30-18.30**

**Computer Security – ‘Bad things in your inbox’**

**Professor Ross Anderson**, Head, Computer Security Group,  
University of Cambridge

**WORKSHOP 10 – 17.30-18.30**

**Terrorist Finance**

**Professor Dan Magnusson**, Deputy Director, Swedish  
Economic Crimes Bureau, Stockholm  
**Dr Justine Walker**, Regional Consultant, UN Office on  
Drugs and Crime

**WORKSHOP 11 -17.30-18.30**

**Profiling and Other Intelligence Procedures**

**Mr Nick Ridley**, Intelligence Analysis, Serious Crime  
Department, Europol  
**Mr John McFarlane**, Strategic and Defence Studies Centre  
Research School of Pacific and Asian Studies  
Australian National University  
**Mr Alan Lambert**, Consultant and former Head of  
Hertfordshire Police Financial Investigation Unit

**WORKSHOP 12 – 17.30-18.30**

**Secrecy, Privilege and Confidentiality**

**Mr Saul Froomkin QC**, Symposium Chairman Director  
**Professor Fletcher Baldwin**, Chesterfield Smith Professor of  
Law and Director, Center for International Financial Crimes  
Studies, University of Florida  
**Dr David Chaikin**, Barrister and CEO of Cyberbrief  
Consultants

<p><b><u>WORKSHOP 13 – 17.30-18.30</u></b></p> <p><b>Practical Solutions to AML</b></p> <p><b>Mr Martyn Bridges</b>, Partner, Bridges &amp; Partners, London</p> <p><b>Mr Alistair Munro</b>, Partner, Bridges &amp; Partners, London</p>	<p><b><u>WORKSHOP 14 – 17.30-18.30</u></b></p> <p><b>Whistle Blowing</b></p> <p><b>Mr David Copley</b>, National Criminal Intelligence Service, UK</p> <p><b>Mr John Moscow</b>, Former Assistant District Attorney of New York</p>
<p><b><u>WORKSHOP 15 – 17.30-18.30</u></b></p> <p><b>Issues of Policing Money Laundering in Developing Jurisdictions</b></p> <p><b>Professor Louis de Koker</b>, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa</p> <p><b>Mr Douglas Pearce</b>, Head, Financial Sector Team, Policy Division, Department for International Development, UK</p> <p><b>Dr Joachim Kaetzler</b>, CMS Hasch Sigle, Frankfurt</p>	<p><b><u>WORKSHOP 16 – 17.30-18.30</u></b></p> <p><b>Corporate Governance in Latin America</b></p> <p><b>Mrs Silvia Fazio</b>, Partner, Head of International Business, Collyer Bristow</p> <p><b>Dr Marcelo Ruiz</b>, Estudio Canguero, Ferrari &amp; Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London</p> <p><b>Dr George Henry Millard</b>, Special Adviser to the Commissioner of Police, Brazilian Civil Police</p> <p><b>The Hon Judge Dr Ariel Lijo</b>, Federal Judge, Argentina</p>

**19:00**            **Cocktails** in the Marquee

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College,

Both generously hosted by **Association of Certified Fraud Examiners**

**After-dinner Addresses** by **Mr Mark Tokola**, Minister Councillor for Economic Affaires, American Embassy, London and **Sir Michael Wood**, The Legal Advisor, Foreign and Commonwealth Office, UK introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium with a vote of thanks proposed by **Mr Saul M Froomkin QC**, Symposium Chairman

**Thursday, 8th September 2005**

<p><b><u>BREAKFAST WORKSHOP VI-07:15 - 08:15</u></b></p> <p><b>Corruption Control – The Practical Issues</b></p> <p><b>Mr William Tupman</b>, Senior Lecturer, University of Exeter</p> <p><b>Dr Anna Markovskaya</b>, Research Fellow, Department for Studies in Crime and Policing, Christ Church College, University of Canterbury</p>
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<p><b><u>BREAKFAST WORKSHOP VII-07:15 - 08:15</u></b></p> <p><b>Taxation and Crime</b></p> <p><b>Professor Dan Magnusson</b>, Deputy Director, Swedish Economic Crimes Bureau, Stockholm</p> <p><b>Mr James Springer</b>, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice</p> <p><b>Ms Karen Eckstein</b>, Consultant, Beachcroft Wansbroughs</p>
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<p><b><u>BREAKFAST WORKSHOP VIII-07:15 - 08:15</u></b></p> <p><b>Fraud – the Employment Issues</b></p> <p><b>Mr Stephen Gummer</b>, Partner, Beachcroft Wansbroughs</p> <p><b>Mr Henry Rossbacher</b>, Partner, The Rossbacher Firm, Los Angeles</p>
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**08:30 Session VIII: Disruption of Criminal Activities – the implications**

Chair: **Mr JDP Bickford**, Former Director, Legal Directorate, The Secret Intelligence Service (MI6) and the Security Service (MI5), UK Government

- **Mr Anthony Kennedy**, Deputy Director, Legal Services, Northern Ireland, Assets Recovery Agency
- **Professor Dan Magnusson**, Deputy Director, Swedish Economic Crimes Bureau, Sweden
- **Mr James Springer**, Senior Counsel for International Tax Matters, Tax Division, US Department of Justice
- **Mr Tom Dawlings**, Head, Financial Sanctions Unit, Bank of England
- **Mr Klaudijo Stroligo**, Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia
- **The Hon Mrs Judith Jones-Morgan**, Attorney-General, St Vincent and the Grenadines
- **Mr Alistair Munro**, Partner, Bridges & Partners, London
- **Mr Gideon J Serfontein**, Director, ORS Standard Bank of South Africa

**10:30 Coffee**

**10:45 Session IX: Responsible and Responsive Compliance**

Chair: **Mr Martyn Bridges**, Partner, Bridges & Partners, London

- **Ms Simona Mulinari**, Anti- Money Laundering Consultant, PriceWaterhouseCoopers SpA, Milan
- **Dr Thomas M Spies**, Managing Director, Deutsche Bank AG, Frankfurt
- **Mr Paul Gully-Hart**, Partner, Scellenberg Wittmer, Geneva
- **Mr John Aspden**, Chief Executive, Financial Supervision Commission, Isle of Man
- **Mr Chris Davies**, Head of Group Compliance, Société Générale
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Mr Nigel Frudd**, Head of Financial Services and Partner, Beachcroft Wansbroughs
- **Mr Brian Harte**, Director and Group Head of Compliance, Barclays Bank plc

**12:45 Lunch** in Hall and Upper Hall

**13:45 Session X: Disclosure and Sharing of Sensitive Information – the risks!**

Chair: **Her Hon Judge Diana Faber**, Judge of the Crown Court and former Law Commissioner, England and Wales

- **Mr Trevor Pearce**, Acting Director, The National Crime Squad
- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
- **Mr Bob Ferguson**, Head of Intelligence, Financial Services Authority, UK
- **Mr G. Philip Rutledge**, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission
- **Mr Dan Murphy**, Senior Counsel, Federal Prosecution Service, Department of Justice, Canada
- **Mr Stuart Bazley**, Managing Director, Momenta Compliance Services Limited
- **Ms Wendy Tien**, Trial Attorney, Civil Division, US Department of Justice
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva
- **Ms Lisa Osofsky**, Executive Director, Business Intelligence Group, Goldman Sachs

**15:45 Tea**

**PLENARY WORKSHOP V– 16:15-17:15**

**Can Money Laundering Risks Be Managed? A Risk-Based Approach to AML**

**Presented by the Wolfsberg Group**

**Mr John Cusack**, Managing Director, Group Head of Money Laundering Prevention and Deputy Head of Group Compliance, UBS

**Mr Chris Davies**, Head of Group Compliance, Société Générale

**Mr Kevin Ford**, Business Intelligence Group, Goldman Sachs International

**PLENARY WORKSHOP VI – 16:15-17:15**

**Charitable and Voluntary Organisations – The Risk of Money Laundering**

**Professor Paul Palmer**, Professor of Voluntary Sector Management, Cass Business School, City University, London

**Mr Paul Fredericks**, Head of Compliance and Enforcement, Charity Commission, UK

**Ms Clare Thomas**, Chief Grants Office, Bridge House Estates

**Ms Shirley Scott**, Chief Executive, Charity Finance Directors Group

**WORKSHOP 17 – 17:30-18:30**

**Designing Compliance Programmes – The Practical Issues**

**Mr Stuart Bazley**, Managing Director, Momenta Compliance Services Ltd

**Dr Andy Haynes**, Director, Institute of Financial Law, University of Wolverhampton and Associate Senior Research Fellow in Financial Law, Institute of Advanced Legal Studies, University of London

**Ms Caroline Foster**, Compliance Manager, Morgan Stanley Quilter

**Ms Natasha Peacock**, Regulatory Consultant, Beachcroft Wansbroughs

**WORKSHOP 18 - 17:30-18:30**

**The Society for Advanced Legal Studies  
'Terrorist Finance - the SALS initiative'**

**Mr Julian Harris**, Secretary to The Society for Advanced Legal Studies

**Mr Jason Haines**, Consultant, Financial Services Division, Beachcroft Wansbroughs

**WORKSHOP 19 - 17:30-18:30**

**International Securities Frauds**

**Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission

**Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida

**Mr Richard Parlour**, Financial Markets Law International

**WORKSHOP 20 – 17:30-18:30**

**Technical Solutions in the Fight against Money Laundering and the Funding of Terror**

**Mr Rowan Bosworth-Davies**, Director of Fraud and Anti-Money Laundering Solutions, SAS International, Heidelberg, Germany

**WORKSHOP 21: 17:30-18:30**

**The Establishment and Operation of Financial Investigation Units**

**Mr Bill Cleghorn**, Partner, RSM Robson Rhodes

**Mr Alan Lambert**, Consultant and former Head of Hertfordshire Police Financial Investigation Unit

**Mrs Julia Dixon**, Policy Unit, National Crime Intelligence Service, UK

**Mr Ivo Klein**, Head of Group Compliance, LGT Bank of Liechtenstein

**WORKSHOP 22: 17:30-18:30**

**Human Rights: The Issues**

**Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and The Director, Centre for International Financial Crimes Studies, University of Florida

**Mr Wilmer Parker III**, Partner, Gillen Cromwell Parker & Withers LLC, Atlanta and former Assistant US Attorney, US Department of Justice

**Mr Mike Dixon**, Detective Sergeant, Metropolitan Police

**WORKSHOP 23: 17:30-18:30**

**Governance – a Cost Benefit Analysis  
(Under the auspices of the Financial Regulatory Crime Club)**

**Mr Nigel Frudd**, Head of Financial Services and Partner, Beachcroft Wansbroughs and Deputy Chairman of the FARC-Club

**Professor Johan Henning**, Dean, Faculty of Law, University of the Free State, South Africa

**Professor John Maher**, immediate past Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, Dickinson School of Law, Pennsylvania State University

**WORKSHOP 24: 17:30-18:30**

**Trafficking in Children and Young Persons**

**Mr Graham Ritchie**, Director of the Unit for the Law of Children and the Vulnerable, Anglia Polytechnic University, Cambridge

**Professor Kadriye Bakirci**, Istanbul Technical University, Turkey

**Mr Andrew Gilbert**, Senior Lecturer, Anglia Polytechnic University, Cambridge

**Mr Vince McGrath**, Director of Cambridge Children's Services

**19:00**            **Cocktails** in the Marquee  
**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **Beachcroft Wansbroughs**

Addresses by **HE Mr Yoshiji Nogami\***, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **Mr Tarique Ghaffur**, Assistant Commissioner, Metropolitan Police, introduced by **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa with a vote of thanks by **Dr Chizu Nakajima**, Co-Director of the Symposium

**Friday, 9<sup>th</sup> September 2005**

**BREAKFAST WORKSHOP IX: 07:15 – 08:15**

**Documentary Fraud**

**Presented by Commercial Crime Services, International Chamber of Commerce**

**Captain P Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce  
**Mr Michael Howlett**, Assistant Director, Commercial Crime Services, International Chamber of Commerce

**BREAKFAST WORKSHOP X: 07:15 – 08:15**

**Serious Organised Crime Agency and Combating Organised Crime**

**Mr David Fitzpatrick**, Barrister, England Wales and Hong Kong and former Senior Counsel, Hong Kong  
**Professor Barry Rider**, Director and Co-Chairman of the Symposium  
**Mr Alan Lambert**, Consultant, and former Head of Hertfordshire Police Financial Investigation Unit

**BREAKFAST WORKSHOP XI: 07:15 – 08:15**

**The Control and Management of Legal and Reputational Risks**

**Mr Philip Rutledge**, Partner, Schumaker Williams, and former Chief Counsel Pennsylvania Securities Commission  
**Mr Jason Haines**, Consultant, Financial Services Division, Beachcroft Wansbroughs  
**Mr Gary Dixon**, Managing Director, Compliance Solutions Ltd  
**Mr Stuart Bazley**, Managing Director, Momenta Compliance Services Ltd  
**Mr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London

**08:30**            **Session XI: Exposure of Financial Institutions to Criminal Liability**

Chair: **Mr George Staple QC**, Consultant, Clifford Chance and Former Director, Serious Fraud Office

- **Judge SPB Hancke**, Judge of the High Court of South Africa, Chair of Council, University of the Free State, Professor Extraordinarius, University of the Free State
- **Mr Richard Preiss**, Assistant District Attorney, Senior Investigative Counsel, County of New York
- **Mr Raja Kumar**, Senior Deputy Director, Commercial Affairs Department, Singapore
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and The Director, Centre for International Financial Crimes Studies, University of Florida
- **Mr John Reading SC**, Senior Counsel, Deputy Director of Prosecutions, Department of Justice, Hong Kong
- **Mr Dan Murphy**, Senior Counsel, Federal Prosecution Service, Department of Justice, Canada
- **Dr Marcelo Ruiz**, Partner, Estudio Canguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London

**10:45**            **Coffee**

**11:00 Session XII: Exposure of Financial Institutions to Civil Liability**

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, London

- **Mr Philip Rutledge**, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission
- **Mr Nicholas Davidson QC**, New Zealand
- **Dr Katlin Blöcker**, Rechtsanwalt, Lovells, Berlin
- **Mr Richard Jones QC**, Barrister, 5 Fountain Court, Birmingham
- **Professor Mario Serio**, Capo di Gabinetto dei Ministri, Ministry of Equal Opportunities and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome
- **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Mr Charles Fu**, Managing Partner, China New Century Law Firm, LLC

**13:00 Lunch** in Hall and Upper Hall, Jesus College

**14:00 Session XIII: Governance in the Markets**

Chair: **Mr Mark Harding**, General Counsel, Barclays Bank Plc

- **Mr Eisuke Nagatomo**, Managing Director and Executive Officer, Listing Supervision and Governance, Tokyo Stock Exchange
- **Mr Peter Green**, Team Leader, Capital Markets and Governance, HM Treasury
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida
- **Datuk Simon Shim**, Senior Partner, M/S Shim Pang & Co, Malaysia
- **Professor Georges Selim**, Cass Business School, City University, City of London
- **Mr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Jason Haines**, Consultant, Financial Services Division, Beachcroft Wansbroughs
- **Ms Svetla Konstantinova**, Attorney-at- Law, Sofia and former Counsellor, Bulgarian Embassy, London

**16:00 Tea**

**PLENARY WORKSHOP VII – 16:15-17:15**

**Crises and Good Business: The US Financial Services in Historical Perspective  
Presented by St Louis University**

- Professor Fred Yeager**, Professor of Finance and formerly Chair of the Department of Finance at the John Cook School of Business of Saint Louis University
- Professor James Fisher**, Professor of Marketing and Director of the Emerson Center for Business Ethics at the John Cook School of Business of Saint Louis University
- Professor James Gilsinan**, Professor of Public Policy Studies and Dean of the College of Public Service of Saint Louis University
- Dean Ellen Harshman**, Associate Professor of Management and Dean of the John Cook School of Business of Saint Louis University
- Professor Muhammed Islam**, Associate Professor and Chair of the Department of Economics at the John Cook School of Business of Saint Louis University

**PLENARY WORKSHOP VIII – 16:15-17:15**

**Telemarketing Fraud and Technological Crime – An Overview of Initiatives**

- Mr Mike Dixon**, Detective i, Metropolitan Police
- Mr David Churchill**, Fraud Consultant, former head of West Midlands Economic Crime Unit
- Mr Philip Rutledge**, Partner, Shumaker Williams, and former Chief Counsel, Pennsylvania Securities Commission
- Dr David Chaikin**, Barrister and CEO of Cyberbrief Consultants
- Mr David Sinclair**, Help the Aged, UK

**PLENARY WORKSHOP IX – 16:15-17:15**

**The Future of AML – A DISCUSSION FORUM**

**Mr Philip Robinson**, Director of Regulatory Transactions and Sector Leader for Financial Crime, Financial Services Authority, UK

**Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and The Director, Centre for International Financial Crimes Studies, University of Florida

**Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

<p><b><u>WORKSHOP 25 - 17:30-18:30</u></b></p> <p><b>The Control of Money Laundering in China</b></p> <p><b>Dr Ye Feng</b>, Director, International Co-operation, Supreme People's Procuratorate of the People's Republic of China <b>Mr Richard Alexander</b>, Lecturer in Financial Law, School of Oriental and African Studies, University of London <b>Mr Charles Fu</b>, Managing Partner, China New Century Law Firm, LLC <b>Ms Li Hong-Xing</b>, Lecturer, Witan International College, University of Reading</p>	<p><b><u>WORKSHOP 26 - 17:30-18:30</u></b></p> <p><b>Offshore Banking – Post 9/11</b></p> <p><b>Professor Donato Masciandaro</b>, Professor of Monetary Economics, Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan <b>Ms Helen Hatton</b>, Deputy Director, Jersey Financial Services Commission</p>
<p><b><u>WORKSHOP 27 -17:30-18:30</u></b></p> <p><b>Privacy and Liberty</b></p> <p><b>Dr Anton Keller</b>, The Secretary, Swiss Investors Protection Association <b>Dr Nancy Baldwin</b>, Attorney at Law, Florida</p>	<p><b><u>WORKSHOP 28 - 17:30-18:30</u></b></p> <p><b>The Do's and Dont's of Controlling Fraud</b></p> <p><b>Ms Lynn Bleakley</b>, Partner and Head of Business Defence, Nelsons Solicitors, Nottingham <b>Mr Jon Roberts</b>, Partner and Solicitor Advocate, Nelsons Solicitors, Nottingham</p>
<p><b><u>WORKSHOP 29 - 17:30-18:30</u></b></p> <p><b>Civil Recovery in Fraud Cases</b></p> <p><b>Mr Saul M Fromkin QC</b>, Symposium Chairman <b>Mr Paul Marshall</b>, Barrister, 4-5 Gray's Inn Square <b>Mr John Moscow</b>, Former Assistant District Attorney of New York</p>	<p><b><u>WORKSHOP 30 - 17:30-18:30</u></b></p> <p><b>The Risks Posed to Financial Institutions by Politically Exposed Persons Presented by World-Check</b></p> <p><b>Mr Patric Marshall</b>, Director, Europe, World Check</p>
<p><b><u>WORKSHOP 31 – 17:30-18:30</u></b></p> <p><b>AML – the Cost for Good Business</b></p> <p><b>Dr Stephan Ochsner</b>, CEO Financial Market Authority (FMA) Liechtenstein <b>Mr René Bruehlhart</b>, Director Financial Intelligence Unit, Liechtenstein <b>Mr Michael Lauber</b>, CEO Liechtenstein Bankers Association</p>	<p><b><u>WORKSHOP 32 – 17:30-18:30</u></b></p> <p><b>Reputation as an Asset – Protection and Enhancement</b></p> <p><b>Dr David Chaikin</b>, Barrister and CEO of Cyberbrief Consultants <b>Mr K.Muralidharan Pillai</b>, Partner, Rajah &amp; Tann, Singapore <b>Mr Jason Haines</b>, Consultant, Beachcroft Wansbroughs <b>Ms Joy Rhoades</b>, Investment Banking Compliance Ib &amp; PB Compliance (US) Education Coordinator, JP Morgan Chase &amp; Co</p>

**19:00**            **Cocktails** in the Marquee,

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **World Check**

**After-dinner Addresses** by **HE Dr Lindiwe Mabuza**, High Commissioner to the Court of St James of the Republic of South Africa and **Alderman David Brewer**, Prospective Lord Mayor of the City of London, introduced by **Mr Robert Marsh Tipping**, Assistant to the Court, Worshipful Company of Pattenmakers with a vote of thanks by **Professor John Maher**, immediate past Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, Dickinson School of Law, Pennsylvania State University

**BREAKFAST PLENARY WORKSHOP– 07:30 to 09.00**

**Islamic Financial Institutions: Prevention and Control of Risks**

**Chair: Mr Colin Bamford**, Barrister, former Chief Executive of the Financial Law Panel, UK  
**Dr Lu'ayy Rimawi**, Home Office, UK  
**Dr Fath El-Rahman El Sheik**, Legal Adviser, Kuwait Investment Authority, Kuwait  
**Mr Nick Ridley**, Intelligence Analysis, Serious Crime Department, Europol  
**Mr Nigel Frudd**, Head of Financial Services and Partner, Beachcroft Wansbroughs  
**Professor Barry AK Rider**, Director and Co-Chairman of the Symposium  
**Mr Hamid Yunis**, Head of Islamic Law Group and Partner, Taylor Wessing  
**Ms Dounkamol Bhanalaph**, Dhurak Ijpundit University, Bangkok, Thailand  
**Dr Mahmood Bagheri**, Lecturer in International Trade and Finance, Brunel University  
**Judge Dr Abd El Rehim Mohamed**, Cairo University and former Judge of the Civil Courts, Egypt  
**Professor Aishah Bidin**, University of Malaysia  
**Ms Samah Al Agha**, University of Damascus  
**Mr Faisal M Atbani**, King Abdul Aziz University, Saudi Arabia

**9:00 Session XIV: International Co-operation in Fighting Serious Crime and Promoting Stability and the Implications for those in the Business World**

**Chair: Professor Mads Andenas**, University of Leicester and former Director of the British Institute of International and Comparative Law

- **Ms Jane Wexton**, Chief Compliance Officer, GE Capital Global Consumer Finance Business
- **The Hon Mr Reginald Rhoda QC**, Attorney General of Gibraltar
- **Ms Carolyn Ervin**, Deputy Director, Directorate for Financial and Enterprise Affairs, Organisation for Economic Co-operation and Development
- **Mr Yasushi Kanzaki**, Director, Japan Financial Intelligence Office, Financial Services Agency
- **Mr Simon Regis**, Head, Judicial Co-operation Unit, Home Office, UK
- **Dr Riccardo Sansonetti**, Deputy Head, Financial Markets Section, Federal Finance Administration, Switzerland
- **Dr Paul Larsson**, Head of Research, The Police Academy of Oslo, Norway
- **Ms Helen Hatton**, Deputy Director, Jersey Financial Services Commission
- **Ms Tracy Paradise**, Director, Money Laundering Prevention Unit, Group Compliance, UBS AG
- **Mr David Dearman**, Partner, Forensic Service, PKF, London
- **Ms Elaine V Green**, Attorney, Counter Drug Crime Task Force, Trinidad

**11.30 Coffee**

**11.45 Session XV: Looking to the Future – old and new threats**

**Chair: Sir Kenneth Warren**, Former Chairman, House of Commons Select Committee on Trade and Industry

- **Professor Brian Collins**, Head, Department of Information Systems, Cranfield University and Royal Military College of Science, Shrivenham, UK
- **Mr John McCormick**, Special Counsel (Manager), Special Investigations Section, Board of Governors of the Federal Reserve System
- **Mr Keith Martin**, Senior Compliance Specialist, Compliance Division, office of the Superintendent of Financial Institutions Canada
- **Dr George Henry Millard**, Special Adviser to the Commissioner of Police, Brazilian Civil Police
- **Mr Wilmer Parker III**, Partner, Gillen Cromwell Parker & Withers LLC, Atlanta and former Assistant US Attorney, US Department of Justice
- **Mr Howard Scott**, Partner, RSM Robson Rhodes
- **Professor Janusz Bojarski**, Professor of Criminal Law and Criminal Policy, Nicholas Copernicus University, Torun, Poland
- **Mr Gary Scanlan**, Reader in Law, City University

**13.00 Lunch**



**14.30 Session XVI: The Regulatory Balance – too much or too little, and who pays!**

**Chair: Professor John Maher**, immediate past Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, Dickinson School of Law, Pennsylvania State University

- **Sir Ivan Lawrence QC**, Chairman of the Executive Committee, Financial and Regulatory Crime Club and former Chairman, Home Affairs Committee, House of Commons, UK
- **Dr Daniel Mitchell**, Chairman of the Board, Centre for Freedom and Prosperity and the Heritage, Foundation, USA
- **Mr Frans Van Proosdij**, Senior Supervisor, De Nederlandsche Bank, Netherlands
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida
- **Mr William Tupman**, Senior Lecturer, University of Exeter, Director, Unit for Research on Community Safety, Member of Advisory Boards of Intercentre, University of Messina, Institute of Risk and Security Management, Rome and Centre for International Financial Crimes Studies, University of Florida
- **Mr Charles Fu**, Managing Partner, China New Century Law Firm LLC
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, Rand Afrikaans University, Johannesburg, Republic of South Africa

**16.00 Tea**

**16:15 Discussion Forum**

Chair: **Mr Saul Froomkin QC**, Chairman of the Symposium

**17:15 Closing Remarks by Mr Saul Froomkin QC**, Chairman of the Symposium, **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium and **Dr Chizu Nakajima**, Co-Director of the Symposium

**18:45 Cocktails** in the Marquee

**19:45 Dinner** in Hall and Upper Hall, Jesus College

**After-dinner addresses** by **HE Mr Alfonso Lopez-Caballero**, Ambassador Extraordinary and Plenipotentiary of Colombia to the Court of St James and **Mr Monty Raphael**, Senior Partner and Member of Money Laundering Compliance Unit, Peter & Peters Solicitors, London and Director, Fraud Advisory Panel and **Mr Saul Froomkin QC**, Chairman of the Symposium, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium with a vote of thanks by **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, [www.crimesymposium.org](http://www.crimesymposium.org)

**Workshops**

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

## **Jesus College, Cambridge**

Jesus College was founded as a college, in the University of Cambridge in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-three years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

## **Principal Organising Institutions**

### **The Centre for International Documentation on Economic and Organised Crime (CIDOEC)**

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

### **The Institute of Advanced Legal Studies**

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary. The Deputy Chairman and Chairman of the Development Committee of the Institute is Sir John Mummery, Lord Justice of Appeal.

### **The Society for Advanced Legal Studies**

The Society is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. Membership of the Society as a Fellow or Associate Fellow is open to anyone who has a post-graduate degree in law or a related discipline and / or who has the right to practise law in any jurisdiction. The Society's Advisory Council is chaired by The Rt Hon The Lord Scott of Foscote, Lord of Appeal in Ordinary.

### **The Centre for Financial Regulation and Crime, Cass Business School, City University, London**

Cass Business School is one of the leading business and management schools in the UK and has the advantage of being based in the City of London, with the Lord Mayor as the University's Chancellor. The Centre for Financial Regulation and Crime (CFRC) was established in association with a number of regulatory and enforcement agencies, including the Metropolitan Police and the City of London Police. The aim of CFRC is to provide a centre of excellence in order to promote and conduct research and teaching, across a broad spectrum of disciplines, in the area of financial regulation and crime control.

*Symposium Chairman*

**Mr Saul M Froomkin QC**

Senior Litigation Partner, Mello Jones & Martin, Bermuda, Honorary Fellow, Society for Advanced Legal Studies and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada

*Symposium Director and Co-Chairman*

**Professor Barry AK Rider**

Honorary Senior Research Fellow and former Director, Institute of Advanced Legal Studies, University of London, and Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Consultant to Beachcroft Wansbroughs

*Symposium Co-Director and Presiding Convenor*

**Dr Chizu Nakajima**

The Director, Centre for Financial Regulation and Crime, Cass Business School, City University, London

**The 23rd Cambridge International Symposium on Economic Crime**

**THE BUSINESS OF CRIME: *THE ENTERPRISE OF CRIME  
AND TERROR -THE IMPLICATIONS FOR GOOD BUSINESS.***

**Sunday 4<sup>th</sup> – Sunday 11<sup>th</sup> September 2005**  
**Jesus College, Cambridge**

**For further information, please contact**

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**or visit**

**[www.crimesymposium.org](http://www.crimesymposium.org)**

**or contact (in regard to the programme)**

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**For information on other CIDOEC programmes and  
*The Journal of Financial Crime* and *The Journal of Money Laundering Control*,  
Please contact**

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