

# TWENTY-FOURTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 3rd – SUNDAY 10th SEPTEMBER 2006

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

## The Price of Crime

The Identification and Control of Risks Associated with the  
Enterprise of Crime and Terror



CIDOEC



UNIVERSITY OF  
CAMBRIDGE



## **The Organising Institutions**

The Centre for International Documentation on Organised and Economic Crime  
Development Studies, University of Cambridge  
The Institute of Advanced Legal Studies, University of London  
The Society for Advanced Legal Studies  
Cass Business School, City University, City of London  
The International Chamber of Commerce  
Centre for European and International Financial Law, The University of Siena, Italy  
The Australian Institute of Criminology  
The Centre for Strategic and Global Studies, Russian Academy of Sciences  
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada  
IS Integrity Group, London School of Economics and Political Science, University of London  
The British Institute of Securities Laws  
Financial and Regulatory Crime Club, UK  
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan  
The Dickinson School of Law, The Pennsylvania State University, USA  
The Stockholm School of Economics, Sweden  
Keio University, Japan  
Department of Business Law and Taxation, Monash University, Australia  
Saint Louis University, USA  
University of the Free State, Republic of South Africa  
Center for International Financial Crimes Studies, University of Florida, USA  
Hungarian Academy of Sciences  
Institute for Legal Studies, Bulgarian Academy of Sciences  
Computer Security Group, University of Cambridge  
Centre for Criminology, The University of Hong Kong  
The Graduate School of Arts & Science, University of Tokyo  
  
*In association with:* Jesus College, University of Cambridge

# *The 24th Cambridge International Symposium on Economic Crime*

## **THE PRICE OF CRIME - The Identification and Control of Risks Associated with the Enterprise of Crime and Terror**

The annual Cambridge International Symposium on Economic Crime is now in its twenty-fourth year. It is a truly unique event which over the years has made an unrivalled contribution in promoting understanding of the real issues involved in successfully preventing and controlling economically motivated serious crime and thereby promotes and facilitates meaningful international co-operation. The Cambridge Symposium brings together, in one of the oldest medieval Colleges of the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts over 900 participants from 80 or so different countries – many of whom return year after year.

The Organising Committee of the Symposium gives careful consideration, after consultation with governmental and other agencies, to the crafting of each programme. The Twenty-Fourth Symposium will focus on ‘The Price of Crime’ and in particular seek to identify the risks presented to the business world and our economies by the enterprise of serious crime and terror. The main programme will examine a host of practical issues relevant to identifying and controlling the risk of serious crime and terrorist activity undermining business and the exposure of those responsible for protecting other people’s wealth to new and often unappreciated legal and regulatory risks. The main programme is supported by a number of specialist workshops which will deal with very real and practical issues of concern to all those who have a responsibility to protect the integrity and reputation of their business or economy. The breadth and depth of the symposium can only be properly appreciated by examining the programme and considering the calibre of speakers and panellists. As an international forum it is unrivalled in its level of expertise and practical knowledge.

The Symposium is able to draw upon a unique network of recognised experts from around the world. Well over two hundred speakers and panellists will address a wide range of issues of special relevance to those concerned with the prevention and control of serious crime and in particular the funding of criminal organisations and the laundering of the proceeds of crime. A glance at the programme will clearly manifest not only the level of support that the annual Cambridge Symposium receives from the world’s leading agencies, but also the practical orientation of the deliberations. Through the forum and workshops those attending the programme are able to contribute themselves to the discussion of issues of particular concern to them. There is also, and perhaps most importantly, considerable opportunity for meeting and engaging colleagues in conversation at meals in the medieval halls of the College and during many other social occasions. The opportunities for establishing new contacts and renewing old friendships are second to none.

The Cambridge Symposium is not and has never been merely a conference! It is organised on a non-profit making basis by some of the world’s most respected academic and research institutions with the active involvement and support of many governmental and inter-governmental organisations. It was first established some twenty four years ago, to promote meaningful international co-operation in the fight against serious economic crime and its record is its best testament! Successive symposia have made a real contribution – at all levels to promoting stability, good governance and the control of criminal activity. Those who are concerned to protect and promote the integrity and security of their national economy, enterprise or institution – or who are concerned to better appreciate and manage the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider  
Symposium Director and Co-Chairman  
Jesus College  
Cambridge

*Sunday, 3<sup>rd</sup> September 2006*

- 13:00-16:30** Registration in the Marquee, Jesus College, Cambridge
- 18:30** Cocktails in the Marquee
- 19:45** Dinner in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **Mr John McFall, MP**, Chairman, House of Commons Treasury Select Committee and **The Rt Hon The Lord Hunt of Wirral**, Chairman of the English Speaking Union, Chairman of the Financial Services Division, Beachcroft LLP and former Secretary of State for Wales; former Secretary of State for Employment and former Chancellor of the Duchy of Lancaster and Minister for the Public Services, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University with welcoming addresses by **Mr Saul M Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium; Fellow Commoner of Jesus College, Cambridge; Honorary Senior Research Fellow and former Director of the Institute of Advanced Legal Studies, University of London; President of the British Institute of Securities Laws; Executive Director of CIDOEC and Consultant to Beachcroft LLP

*Monday, 4<sup>th</sup> September 2006*

**08.00 Inaugural Session and Keynote Addresses**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Hon Mr Mike O'Brien QC**, Member of Parliament and Solicitor General for England and Wales
- **Mr Dominic Grieve**, Member of Parliament and Shadow Attorney General for England and Wales
- **The Hon Chief Bayo Ojo**, Attorney General of Nigeria
- **YB Tan Sri Datuk Seri Panglima Abdul Gani Patail**, Attorney General of Malaysia
- **Mr Frank Swedlove**, President of the Financial Action Task Force
- **The Hon Mr Michael Hylton QC**, Solicitor General of Jamaica and Chair of the Caribbean Financial Action Task Force
- **The Hon Professor G L Peiris**, Member of Parliament of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka, and former Vice-Chancellor of University of Colombo
- **Mr Philippe Richard**, Secretary General, International Organisation of Securities Commissions
- **Mr Jamel El-Hindi**, Associate Director for Regulatory Programmes, Financial Crimes Enforcement Network (FinCEN), US Department of Treasury

**10.30 Coffee**

**10.45 Inaugural Session and Keynote Addresses (continued)**

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr A N Cabraal**, Governor, Central Bank of Sri Lanka
- **Professor Arnoud De Meyer**, Deputy Dean, INSEAD, France and Director Elect, Judge Business School, University of Cambridge and Fellow of Jesus College, Cambridge
- **Mr David Kenmir**, Managing Director, Regulatory Services Business Unit, Financial Services Authority, UK
- **Mr Antonello Biagioli**, Alternate Director-General, Ufficio Italiano dei Cambi, Italy
- **The Hon Mr John Williams**, Member of Parliament, Canada and Chairman, Global Organizations of Parliamentarians against Corruption
- **Dr Ye Feng**, Director-General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors
- **Dr Abdullahi Shehu**, Head of Intergovernmental Action Group Against Money Laundering, Senegal
- **HE Dr Ahmad Jachi**, Deputy Governor, Banque du Liban
- **Dr Zenón Biagosch**, Director, Central Bank of Argentina
- **Mr Ian Johnston**, Chairman of the Crime Business Area, Association of Chief Police Officers, England, Wales and Northern Ireland and Chief Constable of the British Transport Police
- **Professor Jean-Baptiste Zufferey**, University of Fribourg, Switzerland and Vice-Chairman, Swiss Federal Banking Commission

12.45 **Group Photograph**, Jesus College

13.00 **Lunch** in Hall and Upper Hall, Jesus College

14:15 **Session I: The ‘Costs’ of Serious Organised Crime, Economic Crime and Terror**

Chair: **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

- **Mr Robert Wardle**, Director of the Serious Fraud Office, England and Wales
- **Mr David Bradshaw**, Director of the Serious Fraud Office, New Zealand
- **Advocate Leonard McCarthy**, Deputy National Director of Public Prosecutions, Head, Directorate of Special Operations, Republic of South Africa
- **Dr Patrick Hardouin**, Deputy Assistant Secretary-General for Regional, Economic and Security Affairs, NATO
- **Mr Trevor Pearce**, Director of Enforcement, Serious Organised Crime Agency (SOCA), UK
- **Mr Bruce Ohr**, Chief, Organised Crime and Racketeering Section, US Department of Justice
- **Ms Marcy Forman**, Director of Investigations, U.S. Immigration and Customs Enforcement (ICE), Department of Homeland Security, USA
- **Ms Jane Earl**, Director of the Assets Recovery Agency, UK
- **Mr Jean-François Thony**, Assistant General Counsel, International Monetary Fund, Washington DC
- **Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa
- **Commander Sue Wilkinson**, Head of Specialist Economic Crime Unit, Metropolitan Police, UK
- **Professor Hans Geiger**, Swiss Banking Institute, University of Zurich and President of the Zurich Wealth Forum
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge
- **Mr Paramjit Singh**, Assistant Director, Commercial Affairs Department, Singapore Police Force
- **Dr Daniel Mitchell**, Chairman of the Board, Centre for Freedom and Prosperity and the Heritage Foundation, USA
- **Professor Xiu-Mei Wang**, College for Criminal Law Science, Beijing Normal University, Deputy Secretary-General of the Criminal Law Research Committee of the China Law Society and Co-ordinator (China), International Criminal Court
- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK

18:45 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College,

Both generously hosted by **Association of Certified Fraud Examiners**

**After-dinner Addresses** by **The Hon Mr Mark Tokola**, Minister Councillor for Economic Affairs, American Embassy, London and **Rt Hon Lord Slynn of Hadley**, Lord of Appeal and former Advocate General and Judge of the Court of Justice of the European Communities, introduced by **Professor Mads Andenas**, University of Leicester, and former Director of The British Institute of International and Comparative Law, with a vote of thanks proposed by **Professor Johan Henning**, Dean, Faculty of Law, University of the Free State and Senior Research Fellow, Institute of Advanced Legal Studies, University of London

*Tuesday, 5<sup>th</sup> September 2006*

**Financial Regulation Interest Group Meeting I – 07:15 – 08:00**

**Chair: Dr Chizu Nakajima**, Co-Director of the Symposium

**Development Studies Interest Group Meeting II – 07:15 – 08:00**

**Chair: Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

08.00 **Session II: The Risks to Business Presented by Organised and Economically Motivated Criminal Enterprises**

Chair: **Professor Mario Serio**, Capo di Gabinetto dei Ministri, Ministry of Equal Opportunities and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome

- **The Hon Mr John Maher III**, Speaker pro tempore of the Pennsylvania House of Representatives and founder of Maher Duessel Certified Public Accountants, USA
- **Mr Sarabjit Singh**, former Director-General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr John Sliter**, Director, Integrated Market Enforcement Team Program, Royal Canadian Mounted Police
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Assizes, Palermo, Sicily and Member of the Scientific Committee, of the Consiglio Superiore della Magistratura, Italy
- **Mr Richard Preiss**, Assistant District Attorney, Senior Investigative Counsel, County of New York
- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow, Russia
- **Mr John McFarlane**, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University
- **Mr Alwyn Herriman**, Principal Director, Financial Investigations Division, Ministry of Finance and Planning, Jamaica
- **Mr Dan Chan**, Section Chief, Financial Examination Bureau, Financial Supervisory Commission, Taiwan
- **Dr George Gilligan**, Logan Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia

10.30 Coffee

10.45 **Session III: Attacking Serious and Organised Crime by Action against the Proceeds of Crime and the Funding of Criminal Activity**

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Ms Jane Earl**, Director of the Assets Recovery Agency, UK
- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice, USA
- **Mr William Hofmeyer**, Head of Asset Forfeiture Special Unit, Director of Public Prosecutions, Republic of South Africa
- **Mr Yehuda Shaffer**, Director, Israel Money Laundering Prohibition Authority, Government of Israel
- **Mr Heinz Walker-Nederkoom**, Chief of the Economic and Financial Affairs Division, Federal Department of Foreign Affairs, Switzerland
- **Mr Peter German**, Operations Officer, and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Dr Shazeeda Ali**, Assistant Attorney General of Jamaica and Lecturer in Law, University of the West Indies, Jamaica

12:45 Lunch in Hall and Upper Hall, Jesus College

13:45 **Session IV: Criminal Enterprises – Responding to Unfair Competition to Good Business and Protecting Reputation**

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Mr Geoff Lloyd**, Director, Central Compliance, Board of Inland Revenue, UK
- **Professor Ernesto Savona**, Catholic University of Milan and Director of Transcrime, Research Centre of Transnational Crime, University of Trento, Italy
- **Professor Dan Magnusson**, Deputy Director, Swedish Economic Crimes Bureau, Stockholm, Sweden
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Professor Petrus van Duyne**, Professor of Empirical Penal Science, Tilburg University, Netherlands
- **Dr Margaret Beare**, Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Professor Susan Beth Farmer**, Professor of Law, Dickinson Law School, Penn State University, USA

15:45 Tea

**PLENARY WORKSHOP I – 16:15-17:15**

**Practical and Legal Risks Facing Lawyers in Issues Raised under Anti-Money Laundering Laws**

**Mr Ian Comisky**, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the US Department of Justice  
**Mr Harvey Silets**, Partner, Katten Muchin & Zarvis, USA  
**Ms Miriam Fisher**, Morgan Lewis LLP and Chairman of the Criminal and Civil Tax Penalties Committee of the Tax Section, American Bar Association  
**Ms Nancy Jardini**, Chief, Criminal Investigations, Internal Revenue Services, US Department of Treasury  
**Mr Michael Shepard**, Senior Vice President, AML Program Director and Associate General Counsel ,  
Commerce Bank, NA

**PLENARY WORKSHOP II – 16:15-17:15**

**Sarbanes-Oxley From the Inside Out  
Presented by St Louis University, USA**

**Professor Fred Yeager**, Professor of Finance and formerly Chair of the Department of Business, John Cook School of Business, Saint Louis University  
**Professor Jim Gilsinan**, Dean of the School for Professional Studies, Saint Louis University  
**Dean Ellen Harshman**, Dean of the John Cook School of Business, Saint Louis University  
**Professor Jim Fisher**, Director of The Emerson Center for Business Ethics, John Cook School of Business, Saint Louis University  
**Professor Muhammed Islam**, Chair of the Department of Economics, John Cook School of Business, Saint Louis University  
**Professor Jim Millar**, Dillard Chair in Corporate Finance, Walton College of Business, University of Arkansas

**WORKSHOP 1 – 17:30-18:30**

**Understanding KYC and PEP Requirements in Light of the 3rd EU Money Laundering Directive**

**Presented by World-Check**

**Mr David Leppan**, Founder and CEO, World-Check  
**Mr Patric Marshall**, Director, Europe, World-Check

**WORKSHOP 2 – 17:30-18:30**

**Anti-Money Laundering: An International Survey from a Swiss Perspective**

**Professor Dr Hans Geiger**, Swiss Banking Institute, University of Zurich, Switzerland  
**Mr Oliver Wuensch**, Researcher and Project Leader, International Anti-Money Laundering Study, Swiss Banking Institute, University of Zurich, Switzerland

**WORKSHOP 3 – 17:30-18:30**

**Economic Crime Survey 2005**

**Mr David Sowden**, RSM Robson Rhodes  
**Mr Ben Luddington**, RSM Robson Rhodes

**WORKSHOP 4 – 17:30-18:30**

**New Strategic Approaches in Fraud Investigation**

**Mr Rod Diaz**, Detective Senior Inspector, Commercial Crime Bureau, Hong Kong Police Service

**WORKSHOP 5 – 17:30-18:30**

**Enron and WorldCom - The Role of Investment Banks in Controlling Fraud**

**Mr Julian Miller**, Partner, Beachcroft LLP, UK

**WORKSHOP 6 – 17:30-18:30**

**New Perspective on the Fight against the Mafia and Corruption in Italy**

**Th Hon Judge Guido Lo Forte**, Procuratore Generale della Repubblica, Palermo, Sicily, Italy

**WORKSHOP 7 – 17:30-18:30**

**Unfair Competition - the Civil and Criminal Consequences**

**Professor Susan Beth Farmer**, Professor of Law, Dickinson Law school, Penn State University, USA  
**Professor Larry Cata Backer**, Professor of Law, Dickinson School of Law, The Pennsylvania State University

**WORKSHOP 8 – 17:30-18:30**

**The Role of Journalists in Exposing Fraud**

**Mr Michael Ricks**, Managing Director, Enquire International  
**Mr Chris Blackhurst**, City Editor, London Evening Standard

**18:45**            **Cocktails** in the Marquee,

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **World-Check**

**After-dinner Addresses** by **HE Mr Alexis Lautenberg**, Ambassador Extraordinary and Plenipotentiary of Switzerland to the Court of St James's and **The Rt Hon Lord Justice Mummery**, Lord Justice of Appeal, Introduced by **Mr Michael Chan**, Associate, Dewey Ballantine, with a vote of thanks proposed by **Dr Chizu Nakajima**, Co-Director of the Symposium

**Wednesday, 6<sup>th</sup> September 2006**

**Corporate Law Reform Interest Group Meeting III – 07:15 – 08:00**

**Chair: Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa

**Risk Control Interest Group Meeting IV – 07:15 – 08:00**

**Chair: Professor Mads Andenas**, University of Leicester, and former Director of The British Institute of International and Comparative Law

**08:00**            **Session V: The Risks to Financial Institutions Presented by Economically Motivated Crime**

Chair: **Ms Pam Kaur**, Group Compliance Director, Lloyds TSB Bank

- **Mr Philip Robinson**, Director of Regulatory Transactions and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr Charles Freeland**, Deputy Secretary General, Bank of International Settlements, Switzerland
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority
- **Ms Rosalind Wright**, Chair, Fraud Advisory Panel, former Director, Serious Fraud Office of England and Wales and General Counsel, Securities and Futures Association, UK
- **Ms Sharon Craggs**, Head of Legal Compliance, DBS Bank, Singapore
- **Dr Hans Peter Bauer**, Consultant, Switzerland
- **Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Geneva, Switzerland
- **Mr Gilles Duteil**, Head of Groupe de Recherche sur la Délinquance Financière et la Criminalité Organisée, University Paul Cézanne at Aix-Marseille, France
- **Mr Mike Adlem**, Managing Director, Protiviti Independent Risk Consultants, UK

**10:30**            **Coffee**

**10:45**            **Session VI: The Risks and Responsibilities when Suspicions Are Aroused that Funds Are Related to Crime or Terror**

Chair: **Mr Nigel Frudd**, Partner and Head of the Financial Services Division, LLP, UK

- **Ms Jane Wexton**, Chief Compliance Officer, GE Capital Global Consumer Finance Business
- **Mr Neil Stocks**, Head of Group Compliance, Member of the Group Managing Board, UBS AG
- **Mr Thomas Spies**, Managing Director, Deutsche Bank AG, Frankfurt



- **Mr Chris Davies**, Head of Group Compliance, Société Générale
- **Mr Dan Soto**, Chief Compliance Officer, RBC Centura, USA
- **Dr Simona Mulinari**, Anti-Money Laundering Consultant, PriceWaterhouseCoopers SpA, Milan
- **Mr Martyn Bridges**, Partner, Bridges & Partners, London

**13:00** Lunch in Hall and Upper Hall, Jesus College

**14:00** **Session VII: Improving the Arrangements and Procedures for Handling Suspicions that a Transaction or Account Involves Issues Related to Criminal or Terrorist Activity**

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, London

- **Mr Peter Neville**, Director-General, Guernsey Financial Services Commission
- **Mr Tom Roche**, Deputy General Counsel and Senior Vice-President, US Federal Reserve Bank of New York
- **Ms Kirsten Siddall**, Head, Business Strategy in Proceeds of Crime, Serious Organised Crime Agency (SOCA), UK
- **Dr Massimo Nardo**, Manager, Ufficio Italiano dei Cambi, Italy
- **Ms Tracy Paradise**, Director, Money Laundering Prevention Unit, Group Compliance, UBS AG, Switzerland
- **Mr Frans van Proosdij**, Senior Supervisor, De Nederlandsche Bank, Netherlands
- **Mr Bruno Van den Meerschaut**, Chief Money Laundering Reporting Officer, Compliance Division, KBC Bank, Brussels
- **Professor Michael Levi**, Professor of Criminology, Cardiff University
- **Ms Lisa Osofsky**, Consultant, UK

**16:00** Tea

**PLENARY WORKSHOP III – 16:15-17:15**

**Charitable and Voluntary Organisations and Anti-Money Laundering**

**Chair: Professor Paul Palmer**, Professor of Voluntary Sector Management, Cass Business School, City University, London  
**Mr Kenneth Dibble**, Director of Legal Services, Charities Commission, UK  
**Mr Don Bawtree**, Partner, BDO StoyHayward

**PLENARY WORKSHOP IV – 16:15-17:15**

**Practical Issues Involved in Conducting an International Investigation and Action Against Suspected Proceeds of Crime**

**Chair: Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, US Department of Justice  
**Mr Willie Hofmeyer**, Head of Asset Forfeiture Special Unit, Director of Public Prosecutions, Republic of South Africa  
**Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada  
**Mr Courtney Linn**, Assistant US Attorney, Sacramento, California  
**Mr Anthony Kennedy**, Deputy Director, Legal Services, Assets Recovery Agency, Northern Ireland

**WORKSHOP 9 – 17.30-18.30**

**Establishing Anti-Money Laundering and Terrorist Finance Systems in the Developing World**

**Mr Tony Robey**, Lead Advisor, Anti Corruption Programme, Government of Indonesia and Government of Australia, Legal Development Facility, Indonesia

**WORKSHOP 10 – 17.30-18.30**

**The Business of Organised Crime**

**Dr Klaus von Lampe**, Freie Universität, Berlin  
**Mr William Tupman**, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime  
**Mr John McFarlane**, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University

<p style="text-align: center;"><b><u>WORKSHOP 11 – 17:30-18:30</u></b></p> <p style="text-align: center;"><b>Attorney Client Privilege and the Exposure of Lawyers to Anti-Money Laundering Liability</b></p> <p style="text-align: center;"><b>Dr Nancy Baldwin</b>, Attorney at Law, Florida  <b>Mr Henry Rossbacher</b>, Partner, The Rossbacher Firm, Los Angeles  <b>Mr Alan Lambert</b>, Consultant and former head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office  <b>Dr Andrew Campbell</b>, Director, Centre for Business Law and Practice School, University of Leeds</p>	<p style="text-align: center;"><b><u>WORKSHOP 12 – 17:30-18:30</u></b></p> <p style="text-align: center;"><b>Practical Issues in Compliance Post Enron</b></p> <p style="text-align: center;"><b>Mr John Freidland</b>, General Editor, International Journal of Disclosure and Governance  <b>Dr Andy Haynes</b>, Director, Institute of Financial law, University of Wolverhampton and Associate Senior Research Fellow in Financial Law, Institute of Advanced Legal Studies, University of London  <b>Mr Stuart Bazley</b>, Managing Director, Momenta Compliance Services Ltd</p>
<p style="text-align: center;"><b><u>WORKSHOP 13 – 17:30-18:30</u></b></p> <p style="text-align: center;"><b>Anti-Money Laundering in the Developing World</b></p> <p style="text-align: center;"><b>Dr Richard Alexander</b>, Lecturer in Financial Law, Department of Financial and Management Studies, School of Oriental and African Studies, University of London  <b>Ms Fazila Gauhar</b>, Assistant Director, Securities and Exchange Commission of Pakistan  <b>Dr Bassam Fattouh</b>, Academic Director of International Management for the Middle East and North Africa and Lecturer in Financial Studies, Department of Financial and Management Studies, School of Oriental and African Studies, University of London</p>	<p style="text-align: center;"><b><u>WORKSHOP 14 – 17:30-18:30</u></b></p>

**18:45**            **Cocktails** in the Marquee generously hosted by **Momenta Compliance Services Ltd**

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **HE Dr Lindiwe Mabuzza**, High Commissioner for the Republic of South Africa to the Court of St James's and **The Hon Justice Hartley**, Attorney General introduced by **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa with a vote of thanks proposed by **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP, and former Chief Counsel, Pennsylvania Securities Commission, USA

**Thursday, 7th September 2006**

**Islamic Finance Interest Group Meeting V – 07:15 – 08:00**

**Chair: Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

**Financial Regulation and Crime Research Interest Group Meeting VI – 07:15 – 08:00**

**Chair: Dr Chizu Nakajima**, Co-Director of the Symposium

**08:00**            **Session VIII: Handling and Reporting Suspicious Transactions and Protecting ‘Whistle Blowers’**

Chair: **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales

- **Mr John T McCormick**, Special Counsel (Manager), Special Investigations Section, Federal Reserve Board, USA
- **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission
- **Dr Katlin Blocker**, Rechtsanwalt, Lovells, Berlin

- **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **The Hon Judge Dr Gabriel Cavallo**, President and Judge of the Federal Criminal Chamber of Appeal, Argentina
- **Mr Charles Fu**, Managing Partner, China New Century Law Firm LLC
- **Mr Stephen Gummer**, Partner, Beachcroft LLP, UK

10:15 Coffee

10:30 **Session IX: The ‘Costs’ for Banks and Other Financial Intermediaries in Attacking Corruption through Pursuit of the ‘Bribe’ and Monitoring Political Exposed Persons**

Chair: **Mr David Coates**, Director, Financial Crime, British Bankers’ Association

- **The Hon Mrs Judith Jones Morgan**, Attorney General, St Vincent and the Grenadines
- **Mr John Aspden**, Chief Executive, Financial Supervision Commission, Isle of Man
- **Ms Cheryl-Ann Lister**, Chairman and Chief Executive Officer, Bermuda Monetary Authority, Bermuda
- **Mr Graham Rodmell**, Director of Corporate and Regulatory Affairs, Transparency International UK
- **Mr Jean Pesme**, Lead Financial Specialist, World Bank
- **Mr Bob Upton**, Deputy Group MLRO, Group Compliance, Lloyds TSB Bank Plc
- **Mr Marcelo Ruiz**, Partner, Estudio Canguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Mr Harold Burnett QC**, Comsure, Jersey

12:45 Lunch in Hall and Upper Hall

13:45 **Parallel Session X (a): Establishing Identity and Combating Identity Fraud**

Chair: **Mr Jason Haines**, Course Director (Compliance) International Compliance Association

- **Mr Henry Roszbacher**, Partner, The Roszbacher Firm, Los Angeles, USA
- **Ms Susan Potgieter**, General Manager, Commercial Crime Office, SABRIC Association, Republic of South Africa
- **Mr John Connyingham**, Director, Control Risks, UK
- **Mr Michael Tuckett**, Director, State of New York Banking Department
- **Dr Joachim Kaetzler**, Lawyer, CMS Hasch Sigle, Frankfurt, Germany
- **Mr Tan Sin Liang**, Principal, SL Tan & Co., Singapore
- **Ms Lynn Bleakley**, Partner, Cartwright King Solicitors and Consultant to Nelson Solicitors, UK
- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland

**Parallel Session X (b): The Prevention and Control of Market Abuse**

Chair: **Mr Colin Bamford**, Barrister, 3/4 South Square, Grays Inn and former Director of the Financial Law Panel, UK

- **Mr Tom Newkirk**, Jenner & Block LLP and former Associate Director, Division of Enforcement, US Securities and Exchange Commission
- **Mr Carlos Conceicao**, Head, Wholesale Group – Enforcement, Financial Services Authority, UK
- **Mr Haruo Kasama**, Director of Criminal Division, (Criminal Barrister), Supreme Office of Public Investigation and Prosecution, Japan
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Mr Kiyotaka Sasaki**, Director of Enforcement Division, Securities and Exchange Surveillance Commission, Japan
- **Ms Fanny Wong Kam Hing**, Senior Government Counsel and Deputy Head, Department of Justice, Hong Kong
- **Mr George Roper**, Senior Director Securities, Financial Services Commission, Jamaica
- **Ms Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Mr Niall Coburn**, Director of Enforcement, Financial Services Authority, Dubai

15:45 Tea

**PLENARY WORKSHOP V – 16:15-17:15**

**Wolfsberg Guidance: Demystification and Implementation  
Presented by the Wolfsberg Group**

**Mr Matthew Cooper**, Deputy Head, Group Money Laundering Prevention Unit, UBS  
**Mr Chris Davies**, Head of Group Compliance, Société Générale  
**Mr David Bagley**, Head, Group Compliance, HSBC

**PLENARY WORKSHOP VI – 16:15-17:15**

**Securities Fraud - Civil Enforcement Proceedings**

**Chair: Mr Tom Newkirk**, Jenner & Block LLP and former Associate Director, Division of Enforcement, US Securities and Exchange Commission  
**Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission  
**Mr Barry Goldsmith**, Partner, Gibson, Dunn & Crutcher, Washington DC, former Executive Vice-President and Head of Enforcement, NASD  
**Mr Philip Rutledge**, Partner, Bybel Rutledge LLP, and former Chief Counsel, Pennsylvania Securities Commission  
**Dr Bruce Butcher**, Associate Senior Research Fellow, Institute of Advance Legal Studies, University of London and former General Counsel (Special Operations), Australian Securities and Investment Commission, Government of Australia

**WORKSHOP 15 – 17:30-18:30**

**Human Rights and Effective International Co-operation**

**Mr Mike Dixon**, Detective Inspector, Metropolitan Police, UK  
**Mr John Sliter**, Director, Integrated Market Enforcement Team Program, Royal Canadian Mounted Police

**WORKSHOP 16 - 17:30-18:30**

**Pursuing the Assets of Criminals Through the Civil Law**

**Mr Nicholas Davidson QC**, New Zealand  
**Mr Richard Greenfield**, Partner, Greenfield & Goodman LLC, USA

**WORKSHOP 17 – 17:30-18:30**

**Anti-Money Laundering Strategies in Emerging Cash-Based Economies**

**Mr Richard Pratt**, Richard Pratt & Partners, London  
**Mr Wayne Blackburn**, UN Mentor to ESAAMLG  
**Mr Mahammad Baasiri**, Member MENAFATF  
**Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa

**WORKSHOP 18 – 17:30-18:30**

**Insider Dealing and Market Abuse**

**Professor Barry AK Rider**, Director and Co-Chairman of the Symposium  
**Mr Philip Rutledge**, Partner, Bybel Rutledge LLP, and former Chief Counsel, Pennsylvania Securities Commission, USA  
**Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London

**WORKSHOP 19 – 17:30-18:30**

**Vulnerabilities of Trusts and Companies To Criminals**

**Mr Stephen Platt**, Managing Partner, BakerPlatt, Jersey

**WORKSHOP 20 – 17:30-18:30**

**Hedge Funds – A Vehicle of Crime**

**Mr Julian Korek**, Kinetic Partners LLP  
**Mr Bill Cleghorn**, Kinetic Partners LLP  
**Mr Richard Collins**, Kinetic Partners LLP  
**Ms Emma Porter**, Kinetic Partners LLP

**WORKSHOP 21 – 17:30-18:30**

**Prevention and Control of Financial Crime in Japan**

**Professor Yasunobu Sato**, The Graduate School of Arts & Science, University of Tokyo  
**Mr Kiyotaka Sasaki**, Director of Enforcement Division, Securities and Exchange Surveillance Commission, Japan  
**Ms Kazumi Okamura**, Public Prosecutor, Supreme Public Prosecutors' Office, Japan  
**Mr Satoshi Yamamoto**, University of Tokyo

**WORKSHOP 22 – 17:30-18:30**

**The Business of Drugs: Strategic Issues in Control**

**Mr Tom Lloyd**, former Chief Constable, Cambridgeshire Constabulary  
**Mr William Tupman**, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime

**18:45**            **Cocktails** in the Marquee generously hosted by **Comsure Compliance Ltd, Jersey**

**19:45**            **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **HE Mr Yoshiji Nogami**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James's and **HE Dr Raden Mohammad Marty Muliana Natalegawa**, Ambassador Extraordinary and Plenipotentiary of Indonesia to the Court of St James's, introduced by **Dr Chizu Nakijima**, Co-Director of the Symposium with a vote of thanks proposed by **Professor Khawar Qureshi**, Junior Treasury Counsel and Barrister, Serle Court, London

***Friday 8<sup>th</sup> September 2006***

**Governance Interest Group Meeting VII – 07:15 – 08:00**

**Chair: Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa

**Criminal Law and Procedure Interest Group Meeting VIII – 07:15 – 08:00**

**Chair: Mr Saul M Fromkin QC**, Chairman of the Symposium

**08:00**            **Parallel Session XI (a): Computer Assisted and Related Crime – the Risks to Business**

Chair: **Sir Kenneth Warren**, Former Chairman, House of Commons Select Committee on Trade and Industry

- **Mr Rajesh Rangan**, Assistant Director, Financial and Hi-Tech Crime, ICPO-Interpol General Secretariat
- **Mr John Hambrick**, Unit Chief, Internet Complaint Center, Federal Bureau of Investigation, USA
- **Professor Ross Anderson**, Head, Computer Security Group, University of Cambridge and Foundation for Internet Policy Research, UK
- **Dr James Backhouse**, Director, IS Integrity Group, London School of Economics and Political Sciences, University of London, UK
- **Dr David Chaikin**, Senior Lecturer, School of Business, University of Sydney, Australia
- **Mr Rowan Bosworth-Davies**, Director of Fraud and Anti-Money Laundering Solutions, SAS International, Heidelberg, Germany
- **The Hon Judge Dr Ariel Lijo**, Federal Judge, Criminal Division, Argentina
- **Professor Jack Lang**, Judge Institute of Management, University of Cambridge, UK

**Parallel Session XI (b): Policing the International Financial Markets – Mutual Assistance between Regulators**

Chair: **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP, and former Chief Counsel, Pennsylvania Securities Commission

- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
- **Dr Riccardo Sansonetti**, Head, Financial Markets Section, Federal Finance Administration, Government of Switzerland
- **Mr Peter Green**, Head, Financial Stability and Risk, HM Treasury, UK
- **Mr Eisuke Nagatomo**, Managing Director and Chief Regulatory Officer, Listing Division, Self-Regulation Division, Tokyo Stock Exchange, Japan

- **Mr Arthur Middlemiss**, Assistant District Attorney, New York, USA
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Mark McGinness**, Director, International Relations, Financial Services Authority, Dubai
- **Ms Silvia Fazio**, Head of International Business, Collyer Bristow, London
- **The Hon Judge Dr Guillermo Montenegro**, Federal Judge, Criminal Division, Argentina

**10:45** Coffee

**11:00** **Session XII: Risks to Financial Intermediaries and their Advisors by Law Enforcement and Regulatory Strategies based on Intervention, Disruption and Displacement of Criminal Conduct**

Chair: **Sir Ivan Lawrence QC**, Chairman of the Executive Committee, Financial and Regulatory Crime Club and former Chairman, Home Affairs Committee, House of Commons, UK

- **Mr John Moscow**, Partner, Rosner, Moscow & Napierala, LLP and Former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Peter Else**, Chief Superintendent, Crime, Hong Kong Police
- **Mr James Springer**, Resident Financial Enforcement Advisor, Bulgaria, Enforcement Program, Office of Technical Assistance, US Department of Treasury
- **Mr Alistair Munro**, Partner, Bridges & Partners, London
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Ms Wendy Tien**, Trial Attorney, Civil Division, US Department of Justice
- **Mr David Fitzpatrick**, Barrister, England Wales and Hong Kong and former Senior Counsel, Hong Kong

**13:00** Lunch in Hall and Upper Hall, Jesus College

**14:00** **Session XIII: Legal and Other Risks Associated with the Use of Sensitive Information in Disrupting and Preventing Serious Crime and Terror**

Chair: **Professor Barry Rider**, Director and Co-Chairman of the Symposium

- **Mr Simon Regis**, Project Team Leader, UPIC, Council of Europe
- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, US Securities and Exchange Commission
- **Dr Riccardo Sansonetti**, Head, Financial Markets Section, Federal Finance Administration, Government of Switzerland
- **Ms Karen Quesnel**, Acting Assistant Chief Counsel for International Tax Matters, US Department of Justice
- **Ms Helen Hatton**, Deputy Director, Jersey Financial Services Commission
- **Mr Gerry Osborn**, Assistant Director of Operations, The Independent Commission Against Corruption, Hong Kong
- **Mr Jyoti Trehan**, Additional Director-General of Police, Punjab, India
- **Mr Howard Scott**, Partner and Head of Financial Services Division, RSM Robson Rhodes LLP, London
- **Professor Khawar Qureshi**, Junior Treasury Counsel and Barrister, Serle Court, London
- **Lt-Col Florian Coldea**, First Deputy Director, Romanian Intelligence Service
- **Mr John Mair**, Chairman and CEO, Strategy, Tactics and Growth, UK

**16:00** Tea

**PLENARY WORKSHOP VII – 16:15-17:15**

**Proceeds of Crime from a Provincial Perspective**

**Mr Paul Fullwood**, Detective Chief Inspector, Head of Serious and Organised Crime Department, Cambridgeshire Police, UK  
**Mr Steven Adcock**, Detective Sergeant, Financial Investigation Unit, Serious and Organised Crime Department, Cambridgeshire Police, UK

**PLENARY WORKSHOP VIII – 16:15 -17:15**

**A New York Perspective on Drugs, Money Laundering, Confiscation and the Mob**

**Mr Clarke Abrams**, Assistant District Attorney and Senior Investigative Counsel, Office of the Special Narcotics Prosecutor,  
City of New York, USA

**Mr Marcel Philippe**, Assistant District Attorney and Deputy Chief, Office of the Special Narcotics Prosecutor,  
City of New York, USA

**PLENARY WORKSHOP IX – 16:15-17:15**

**The Cost Benefit of Regulatory and Enforcement Initiatives against Economic Crime**

**Chair: Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime,  
Cass Business School, City University

**Professor Donato Masciandaro**, Professor of Monetary Economics, Paolo Baffi Centre for Monetary and Financial  
Economics, Universita Commerciale Luigi Bocconi, Milan

**Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg,  
Republic of South Africa

**Dr David Chaikin**, Senior Lecturer, School of Business, University of Sydney, Australia

**Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research  
Institute, Faculty of Law, University of Manitoba, Canada

**Dr Angela Leong**, Consultant, Beachcroft LLP

**WORKSHOP 23 - 17:30-18:30**

**Efficacy and Utility to Law Enforcement of Suspicion  
Based Reporting**

**Mr Matthew Fleming**, Senior Research Fellow, Jill Dando  
Institute of Crime Science, University College London, UK

**Professor Mike Levi**, Professor of Criminology, Cardiff  
University

**WORKSHOP 24 - 17:30-18:30**

**Financial Frauds, the Role of Auditors**

**Mr John Moscow**, Partner, Rosner, Moscow & Napierala,  
LLP and former Assistant District Attorney, Office of the  
District Attorney of New York, USA

**Mr Eric Lewis**, Partner, Baach Robinson & Lewis, USA

**Mr Chris Dickson**, Executive Counsel, Accountants' Joint  
Disciplinary Scheme, UK

**WORKSHOP 25 -17:30-18:30**

**VAT Fraud in Connection with Cross-border Trade  
Within the European Union and the Use of VIES to  
Disclose VAT Fraud**

**Professor Dan Magnusson**, Deputy Director, Swedish  
Economic Crimes Bureau, Stockholm

**Ms Karen Quensal**, Acting Assistant Chief Counsel for  
International Tax Matters, US Department of Justice

**WORKSHOP 26 - 17:30-18:30**

**Learning from Fraudsters!**

**Mr Mike Adlem**, Director, Business Risk, Protiviti  
Independent Risk Consultants, UK

**Professor Martin Gill**, Director, Perpetuity Research and  
Consultancy International, University of Leicester

**WORKSHOP 27 - 17:30-18:30**

**Using Databases to Confirm Identity and Data Mining**

**Mr Monty Rankin**, Attorney at Law, Florida, USA

**WORKSHOP 28 - 17:30-18:30**

**Privacy: Threat or Boost to Integrity**

**Dr Anton Keller**, The Secretary, Swiss Investors Protection  
Association

**WORKSHOP 29 - 17:30-18:30**

**Human Rights Issues Relating to Proceeds of Crime Legislation**

**Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada

**Dr Joachim Kaetzler**, Lawyer, CMS Hasch Sigle, Frankfurt, Germany

**Professor Peter Alldridge**, Drapers Professor of Law, Queen Mary, University of London

**WORKSHOP 30 - 17:30-18:30**

**Trade-Based Money Laundering**

**Ms Jane Pearce**, Senior Chief, Financial Crimes International Section, Department of Finance, Government of Canada

**Parallel Programme – Friday 8<sup>th</sup> September 2006**

**Trafficking in Humans – The Business and the Crime**

**09:00 Welcoming Address**

- **Professor David Tidmarsh**, Vice Chancellor, Anglia Ruskin University

**09:10 The Multi-Sectorial Dimensions of Human Trafficking**

- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University

**09:20 Country Reports**

Chair: **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University

- **Professor Kadriye Bakirci**, Professor of Law, Istanbul Technical University, Turkey and Visiting Fellow, Wolfson College, Cambridge
- **Professor Antonello Miranda**, Professor of Comparative Law, University of Palermo, Italy
- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University, UK
- **Professor Luis Portillo**, Dean, School of Law, Interamericana University, Puerto Rico
- **Ms Li Hong Xing**, Consultant, Beachcroft Wansbroughs, UK
- **Mr Andrew Gilbert**, Senior Lecturer in Law, Anglia Ruskin University, UK
- **Dr Anna Markovskaya**, Lecturer in Criminology, Anglia Ruskin University, UK

**10:45 Coffee**

**11:00 Financial Structures, Patterns and Processes of Human Trafficking**

Chair: **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa

- **Mr William Tupman**, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime
- **Mr Dave Copley**, FSALS Member and Chair of the Interpol Special Interest Working Group on IVTS and Terrorist Finance.
- **Mr Graham Ritchie**, Director, Unit for the Law of Children and the Vulnerable, Anglia Ruskin University
- **Dr Angela Leong**, Consultant, Beachcroft LLP
- **Mr Jason Haines**, Course Director (Compliance), International Compliance Association

**13:00 Lunch** in Hall and Upper Hall, Jesus College

**14:00 Forum on Strategic and Policy Issues**

Chair: **Mr Saul Fromkin QC**, Chairman of the Symposium



**18:45** Cocktails in the Marquee, generously hosted by **Protiviti Independent Risk Consultants**

**19:45** Dinner in Hall and Upper Hall, Jesus College

**After-dinner Addresses** by **The Hon Mr Pan Hejun**, Minister Counsellor of the Embassy of the People's Republic of China, **The Hon Mr Kevin Kearney**, Sheriff of the City of London, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium, with a vote of thanks proposed by **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

**Saturday, 9<sup>th</sup> September 2006**

**Compliance Interest Group Meeting IX – 07:15 – 08:00**

**Chair: Mr Jason Haines**, Course Director (Compliance), International Compliance Association

**8:00** **Session XIV: Addressing Misconduct and Promoting Good Governance in the Financial Sector through Mechanisms outside the Traditional Criminal Justice System**

**Chair: Her Hon Judge Diana Faber**, Judge of the Crown Court and former Law Commissioner, England and Wales

- **Mr Nicholas Burbidge**, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Canada
- **Professor Georges Selim**, Professor of Internal Auditing and Head of the Faculty of Management, Cass Business School, City University
- **Professor Virginia G. Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Dr Denis Ude Ekumankama**, Director/Secretary, Corporate Affairs Commission, Nigeria
- **Datuk Simon Shim**, Senior Partner, M/S Shim Pang & Co, Malaysia
- **Mr John Tracey**, Partner, Forensic Services, PriceWaterhouseCoopers, UK
- **Dr Paul Larsson**, Head of Research, the Police Academy of Oslo, Norway
- **Professor Hisao Kato**, Professor of Law and Criminology, Keio University, Tokyo
- **Professor Valery Dimitov**, Professor of Administration and Financial Law, President of the National Audit Office Bulgaria and Lecturer in Banking and Securities Law, Law Faculty, University of Economy, Sofia, Bulgaria

**10.30** Coffee

**10.45** **Session XV: The Problems that Arise in Applying Traditional Anti-Money Laundering Procedures to Non-Financial Transactions, 'Parallel Banking Systems' and Islamic Financial Systems**

**Chair: The Hon Justice Saleem Marsoof**, President's Counsel and Judge of the Supreme Court of Sri Lanka and former Additional Solicitor General of Sri Lanka

- **Dr Fath El-Rahman El Sheik**, Legal Advisor, Kuwait Investment Authority
- **Mr Bruce Zagaris**, Partner, Berliner Corcoran & Rowe LLP, Washington DC
- **Mr BV Kumar**, former Director General, Directorate of Revenue Intelligence, Government of India
- **Captain P K Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce, UK
- **Mr Faisal Atbani**, King Abdul Aziz University, Saudi Arabia
- **Dr Lu'ayy Rimawi**, Consultant
- **Dr Mahmood Bagheri**, Lecturer in Law, Brunel University and Visiting Professor, University of Tehran, Iran
- **Ms Jill Wong**, Consultant, Clifford Chance, Hong Kong
- **Ms Samah Aga**, University of Damascus, Syria
- **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

**12.30** Lunch

**14.00 Session XVI: Looking at the Future**

**Chair: Mr Micheal Ashe QC**, 9 Stone Buildings, London and Recorder

- **Mr Anthony Kennedy**, Deputy Director, Legal Services, Northern Ireland, Assets Recovery Agency, UK
- **Mr Debi Prasad Dash**, Consultant, Counter Terrorism Legal and Constitutional Affairs Division, Commonwealth Secretariat, London
- **The Hon Justice Judge Olayinka Faji**, Federal High Court, Nigeria
- **Mr Rene Bruelhardt**, Director, Financial Intelligence Unit, Liechtenstein
- **Mr Klaudijo Stroligo**, Director, Office for Money Laundering Prevention, Ministry of Finance, Slovenia
- **Mrs Xiurong Cao**, Deputy Director –General, Anti-Money Laundering and Analysis Centre, People’s Republic of China
- **Professor Ian Angell**, Information Systems Department, IS Integrity Group, London School of Economics
- **Professor Qi-Huai Zhang**, Senior Partner, Beijing Lan Peng Firm, China and Legislative Advisor to the Standing Committee of the People’s Congress of China
- **Dr George Henry Millard**, Special Adviser to the Commissioner of Police, Brazilian Civil Police
- **Dr Nick Ridley**, Representative of Transcrime, University of Milan and Senior Associate, Bakerplatt and former Senior Analyst of Europol
- **Professor Ye Ziqiang**, Associate Professor of Litigation, Institute of Law, Chinese Academy of Social Sciences
- **Mr Alessandro Napolitano**, Senior Legal Manager, Atradius Credit Insurance N.V. Italy
- **Mr Matthew Fleming**, Research Fellow, Jill Dando Institute of Crime Science, University College London

**16.30 Tea**

**16:45 Discussion Forum**

Chair: **Mr Saul Froomkin QC**, Chairman of the Symposium

**17:15 Closing Keynote Address: Mr Chip Poney**, Senior Advisor to the Under-Secretary, Office of Enforcement, US Department of the Treasury

**17:30 Closing Remarks** by **Mr Saul Froomkin QC**, Chairman of the Symposium, **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium and **Dr Chizu Nakajima**, Co-Director of the Symposium

**18:45 Cocktails** in the Marquee

**19:45 Dinner** in Hall and Upper Hall, Jesus College

**After-dinner addresses** by, **The Hon Mr Martín P. Redrado**, Governor, Central Bank of Argentina **Dr Alberto Lozano Vila**, Director, Financial Intelligence Unit, Treasury Department, Government of Colombia and **The Hon Justice Rose Ukeje**, Chief Judge, Federal High Court of Nigeria, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium with a vote of thanks proposed by **Mr Saul Froomkin QC**, Chairman of the Symposium and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, [www.crimesymposium.org](http://www.crimesymposium.org)

**Workshops**

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

**Jesus College, Cambridge**

Jesus College was founded as a college, in the University of Cambridge in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-four years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

***Symposium Chairman***

**Mr Saul M Froomkin QC**

Senior Litigation Partner, Mello Jones & Martin, Bermuda, Honorary Fellow, Society for Advanced Legal Studies and former Attorney-General of Bermuda and Director of Criminal Law, Federal Government of Canada

***Symposium Director and Co-Chairman***

**Professor Barry AK Rider**

Honorary Senior Research Fellow and former Director, Institute of Advanced Legal Studies, University of London, and Executive Director of CIDOEC, President of The British Institute of Securities Laws, Fellow Commoner of Jesus College, Cambridge and Consultant to Beachcroft Wansbroughs

***Symposium Co-Director and Presiding Convenor***

**Dr Chizu Nakajima**

The Director, Centre for Financial Regulation and Crime, Cass Business School, City University, London

**The 24<sup>th</sup> Cambridge International Symposium on Economic Crime**

**THE PRICE OF CRIME**

**SUNDAY 3rd - SUNDAY 10th SEPTEMBER 2006**  
**Jesus College, Cambridge**

**For further information, please contact**

**Mrs Angela Futter**  
Symposium Manager  
Jesus College Cambridge CB5 8BL, UK  
Tel: +44 (0) 1223 872160  
Fax: +44 (0) 1223 872160  
**E-mail: [symposium@jesus.cam.ac.uk](mailto:symposium@jesus.cam.ac.uk)**

**or visit**

**[www.crimesymposium.org](http://www.crimesymposium.org)**

**or contact (in regard to the programme)**

**Dr Chizu Nakajima, Symposium Co-Director**  
Director, Centre for Financial Regulation and Crime (CFRC)  
Cass Business School, City University  
106 Bunhill Row  
London EC1Y 8TZ, UK  
Tel: +44 (0) 20 7040 8678  
Fax: +44 (0) 20 7040 8328  
**E-mail: [cfrc@city.ac.uk](mailto:cfrc@city.ac.uk)**

**For information on other CIDOEC programmes**  
**Please contact**

**Professor Barry AK Rider**  
Jesus College  
Cambridge CB5 8BL, UK  
Tel: +44 (0) 1223 339483  
Fax: +44 (0) 1223 339407