

TWENTY-FIFTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 2ND - SUNDAY 9TH SEPTEMBER 2007

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

The Wealth of Nations – at risk



THE INTERNATIONAL ASSOCIATION OF ANTI-CORRUPTION AUTHORITIES



CIDOEC



UNIVERSITY OF
CAMBRIDGE



The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
Cass Business School, City University, City of London
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
Financial and Regulatory Crime Club, UK
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
Development Studies, University of Cambridge
The Graduate School of Arts & Sciences, University of Tokyo

In association with: Jesus College, University of Cambridge

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The 25th Cambridge International Symposium on Economic Crime

PROTECTING THE WEALTH OF NATIONS – the risks of corruption, fraud and worse!

This year the Cambridge International Symposium on Economic Crime will celebrate its twenty-fifth year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that has not only resulted in successively larger and more comprehensive programmes, but also the realisation on the part of governments and financial and other institutions of the extent of the risks thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding of the real issues involved in preventing and controlling economically motivated serious crime and thereby promotes meaningful responses and in particular foster co-operation.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts over 900 participants from 80 or so different countries – many of whom return year after year.

The Organising Committee of the Symposium gives careful consideration, after consultation with governmental and other institutions, to designing each programme. The Twenty-Fifth Symposium will focus on the protection of wealth and in particular national wealth, with the clear implications for security, stability and development. Our programme which consists of keynote and plenary sessions, supported by numerous workshops will address those areas of risk thrown up not just by crime and terror, but also our responses to such threats, in the context of protecting and creating wealth. This year we will give particular attention to initiatives designed to address the problems resulting from serious corruption and its control. However, there will also be a focus on the impact of highly profitable crimes on the system and in particular those who are responsible for minding other people's wealth. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 300 specialist speakers and panellists in the prevention and control of risks to the integrity of enterprise, government and our societies.

The programme is structured to provide a depth and breath of opportunity, second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. The very real regulatory, legal and reputational risks that confront those responsible for protecting the wealth of others, not only in the context of anti-money laundering controls, but also in many other and often developing areas of regulation, has always been a key issue for the Symposium. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of interaction and collaboration that could only be encountered in an institution that has a record for promoting learning and education which is truly unique. The University of Cambridge with collaborating institutions throughout the world is able to offer a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not, and has never been, just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It also draws on the support of many leading practitioners and experts within the financial and commercial sectors. It was established twenty-five years ago to promote meaningful international co-operation – in the fight against financial crime and criminal activity that is economically relevant. Its record is its best testament. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today cannot afford to miss this special event.

Professor Barry A.K. Rider
Symposium Director and Co-Chairman,
Jesus College,
Cambridge.

Sunday, 2nd September 2007

- 13:00-16:30** Registration in the Marquee, Jesus College, Cambridge
- 18:30** Cocktails in the Marquee
- 19:45** Dinner in Hall and Upper Hall, Jesus College

A civic welcome by **Councillor Mike Dixon**, Deputy Mayor of the City of Cambridge, and welcoming addresses by **Mr David Leppan**, CEO and founder, World-Check, **Mr Saul M Froomkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry AK Rider**, Director and Co-Chairman of the Symposium, introduced by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University

Monday, 3rd September 2007

08:00 Inaugural Session and Keynote Addresses

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Lord Davidson of Glen Clova QC**, Advocate General for Scotland
- **The Hon Mr Jia Chunwang**, Prosecutor General, People's Republic of China
- **The Hon Mr Joe Ghartey**, Member of Parliament, Attorney General, Republic of Ghana
- **The Hon Professor G L Peiris**, Minister of Enterprise Development, Industrial Policy and Investment Promotion, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo
- **The Hon Mr Mike Kennedy**, President, Eurojust
- **The Hon Mr Ronald Noble**, Secretary General, ICPO- Interpol
- **The Hon Dr Ye Feng**, Secretary General, International Association of Anti-Corruption Authorities
- **Mr Franz-Hermann Bruener**, Director General, Anti-Fraud Office, European Commission Anti-Fraud Office, Brussels
- **Mr Michael Klein**, Vice President, World Bank, USA
- **HE Mr António Gumende**, High Commissioner of the Republic of Mozambique
- **Mr William F Baity**, Deputy Director of the Financial Crimes Enforcement Network, Department of Treasury, USA
- **Dr Antonello Biagioli**, Alternate Director General, Ufficio Italiano dei Cambi, Italy

10:30 Coffee

10:45 Inaugural Session and Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Sean Hagan**, General Counsel and Director of the Legal Department, International Monetary Fund, Washington DC
- **Professor Arnoud De Meyer**, The Director, Judge Business School, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **The Hon Dr Ahmed Jachi**, Deputy Governor, Banque du Liban
- **The Hon Sir Gavin Lightman**, Judge, Chancery Division, High Court of Justice, UK
- **Advocate Leonard McCarthy**, Deputy Director of Public Prosecutions, Government of the Republic of South Africa
- **Mr William Frei**, Consul General of Switzerland, Shanghai, People's Republic of China
- **Mr Zenón Biagosch**, Director, Central Bank of Argentina
- **Dr Ouyang Weimin**, Director-General, Anti-Money Laundering and Analysis Centre, People's Republic of China

12:45 Group Photograph, Jesus College

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00

Session Ia: Economic Stability and Integrity – at risk

Chair: **Sir John Gieve**, Deputy Governor, Bank of England, UK

- **The Hon Mr Claude Nicati**, Deputy Attorney General of Switzerland
- **The Hon Mr Reginald Rhoda**, Attorney General, Gibraltar
- **The Hon Mr N Van Leuven**, Attorney General, Guernsey
- **Dr Patrick Hardouin**, Deputy Assistant Secretary General for Regional, Economic and Security Affairs, NATO
- **Ms Marcy Forman**, Deputy Assistant Director (Financial Investigations), Immigration and Customs Enforcement (ICE), Department of Homeland Security, USA
- **Mr David Bradshaw**, The Director, Serious Fraud Office, New Zealand
- **Mr James Kellock**, Deputy Director, Serious Fraud Office of England and Wales
- **Ms Rosalind Wright**, Chair, Fraud Advisory Panel, former Director, Serious Fraud Office of England and Wales and General Counsel, Securities and Futures Association, UK
- **Mr Theodore Greenberg**, Financial Market Integrity Unit, World Bank, USA

16:15

Tea

16:30

Session Ib: (Continued)

- **Ms Helen Hatton**, Deputy Director, Jersey Financial Services Commission
- **Mr Jean-François Thony**, Assistant General Counsel, International Monetary Fund, Washington DC
- **Mr Neil Stocks**, Head of Group Compliance, Member of the Managing Board, UBS AG
- **Mr Clark Abrams**, Assistant District Attorney and Senior Investigative Counsel, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Ms Jane Wexton**, Group Leader, Compliance Practices and Advisory Services, Akerman Senterfitt LLP, USA
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Adviser to the Ministry of Justice, Japan
- **Dr Janusz Bojarski**, Chair of Criminal Law and Criminal Policy, Nicolaus Copernicus University, Poland

18:45

Cocktails in the Marquee

19:45

Dinner in Hall and Upper Hall, Jesus College

Both generously hosted by UBS

After-dinner Addresses by **The Hon Mr Pan Hejun**, Minister Counsellor of the Embassy of the People's Republic of China and **The Hon Mrs Jennifer Dilbert**, Representative to the United Kingdom of the Government of Cayman, introduced by **Mrs Li Hong Xing**, Consultant, Beachcroft LLP, UK, with a vote of thanks proposed by **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University

Tuesday, 4th September 2007

08:00

Theme: Corruption – the enemy of stability, integrity and development

Keynote Addresses

Chair: **Professor Barry A K Rider**, Director and Co-Chairman of the Symposium

- **The Hon Dr Ye Feng**, Director General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities
- **Ms Dancia Penn**, Acting Judge of the Court of Appeal, Eastern Caribbean Supreme Court, British Virgin Islands
- **Sir Kenneth Warren**, International Consultant, former Chairman, House of Commons Select Committee on Trade and Industry

08:45

Session II: Corruption – the facilitator of serious and organised crime

Chair: **Commander David Johnson**, Metropolitan Police, UK

- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Mr Kazuhiko Kawasaki**, Director, Trial Division, Supreme Office of Public Investigations and Prosecution, Japan
- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Mr Gerald Toner**, Deputy Chief, Organised Crime and Racketeering Section, Department of Justice, USA
- **Mr Ricky Chu Man-kin**, Assistant Director of Operations, The Independent Commission Against Corruption, Hong Kong
- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Professor Margaret Beare**, The Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Dr Alessandro Napolitano**, Division Director, Legal, Corporate & Compliance, SACE Spa, Italy

10:30

Coffee

10:45

Session III: Politically Exposed Persons – AML, taking the profit out of corruption and problems for the banks!

Chair: **Ms Tracy Paradise**, Director, Money Laundering Prevention Unit, Group Compliance, UBS AG, Switzerland

- **Professor Mark Pieth**, Professor of Criminal Law and Criminology at the University of Basel, Switzerland and Consultant to the OECD
- **Mr Peter Neville**, Director General, Guernsey Financial Services Commission
- **Dr Massimo Nardo**, Head, Financial Analysis Division, Anti-Money Laundering Department, Ufficio Italiano dei Cambi, Italy
- **Mr Joseph Cachey III**, Senior Vice President, AML Global Compliance, Western Union, USA
- **Mr Frans van Proosdiji**, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands
- **Dr Konyin Ajayi SC**, Managing Partner, Olaniwun Ajayi & Co, Nigeria
- **Professor Mario Serio**, Capo di Gabinetto dei Ministri, Ministry of Equal Opportunities and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome
- **Dr George Gilligan**, Logan Research Fellow, Department of Business Law and Taxation, Monash University, Australia

12:45

Lunch in Hall and Upper Hall, Jesus College

13:45

Session IV: The Impact of Anti-Corruption Initiatives on the Financial and Offshore Sector

Chair: **Mr John T McCormick**, Special Counsel and Manager, Special Investigations Section, Board of Governors, Federal Reserve System, USA

- **Mr John Aspden**, Chief Executive, Financial Services Commission, Isle of Man
- **Mr Robert Mathavious**, Managing Director, Financial Services Commission, British Virgin Islands
- **Ms Cheryl-Ann Lister**, Chairman and Chief Executive Officer, Bermuda Monetary Authority, Bermuda
- **Ms Stephanie Hinds**, Assistant US Attorney and Chief, Asset Forfeiture Unit, District of California, USA
- **Dr Thomas Spies**, Managing Director, Deutsche Bank AG, Frankfurt
- **Mr Mike Adlem**, Head, Financial Crime, Wealth Management, Barclays Bank plc, UK
- **Dr Hans Peter Bauer**, Consultant, Switzerland
- **Mr John Moscow**, Baker & Hostetler, USA

15:45

Tea

PLENARY WORKSHOP I – 16:15-17:15

Harnessing Open Source Intelligence in the Fight against Terrorist Financing, Corruption and Crime

Presented by World-Check

Mr David Leppan, Founder and CEO, World-Check

PLENARY WORKSHOP II – 16:15-17:15

The Fight against Corruption: International Organisations at a Cross-Roads

Chair: Mr John T McCormick, Special Counsel and Manager, Special Investigations Section, Board of Governors, Federal Reserve System, USA

Mr Franz-Hermann Bruener, Director General, Anti-Fraud Office, European Commission Anti-Fraud Office, Brussels
Mr Mark Gough, Deputy Director, Investigations Division, Office of Independent Oversight Services, The United Nations, Austria

Mr Stephen Zimmermann, Chief, Office of International Integrity, Inter-American Development Bank, USA
Dr Ye Feng, Director General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities

PLENARY WORKSHOP III – 16:15-17:15

Terror and Corruption

Mr William Tupman, Senior Research Fellow, University of Exeter, Consultant in Terrorism and Organised Crime

Mr John McFarlane, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University

Dr George Millard, Special Advisor to the Commissioner of Police, Brazilian Civil Police

WORKSHOP 1 – 17:30-18:30

Terror Finance – Are we using the right weapons?

Mr Bob Lambert, Head, Muslim Contact Unit, Counter Terrorism Command, Metropolitan Police, UK

Mr John McFarlane, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University

Mr William Tupman, Fellow, University of Exeter, Consultant in Terrorism and Organised Crime

WORKSHOP 2 – 17:30-18:30

Laundering the Proceeds of Corruption: a National Experience

Mr Philippe de Koster, Advocate General seconded to the Supreme Court, Deputy Director, Belgian Financial Intelligence Unit, CTIF-CFI and Co-Chair of the FATF Working Group

WORKSHOP 3 – 17:30-18:30

Offshore Financial Regulation

The Hon Mr Chernov Jallow, Attorney General, British Virgin Islands

Mr Langston Sibbles, Monetary Authority, Cayman Islands

Mr Marcus Killick, Financial Services Commission, Gibraltar

WORKSHOP 4 – 17:30-18:30

Corruption: Unfamiliar truth and fashionable fallacies?

Dr Denis Osborne, Consultant in Governance, Development and Training, formerly High Commissioner to Malawi and Overseas Development Administration, UK Government

WORKSHOP 5 – 17:30-18:30

Customer Due Diligence; but can we trust one another?

Mr Frans van Proosdij, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands

Dr Richard Hoff, Specialist, Banking Integrity, Dutch Central Bank

WORKSHOP 6 – 17:30-18:30

Politically Exposed Persons (PEPs)

Dr Hans Peter Bauer, Consultant, Switzerland
Mr John Cusack, Head, Group Money Laundering Prevention, UBS

WORKSHOP 7 – 17:30-18:30

The Accountancy Profession and the Fight against Fraud

Ms Felicity Banks, Head of Business Law, Institute of Chartered Accountants in England and Wales

Ms Philippa Scott, Risk and Regulation Manager, Institute of Chartered Accountants in England and Wales

Ms Mia Campbell, Manager, Fraud Advisory Panel

WORKSHOP 8 – 17:30-18:30

The UK Fraud Review - the lessons

Mr David Fitzpatrick, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong

Mr John Reading SC, Deputy Director of Prosecutions, Department of Justice, Hong Kong

Dr Richard Alexander, Lecturer in Law, School of Oriental and African Studies, University of London, UK

19:00 Cocktails in the Marquee

19:45 Dinner in Hall and Upper Hall, Jesus College

Both generously hosted by the **Friends of the Symposium in the USA (Baker Hostetler LLP, Jenner & Block LLP, Bybel Rutledge LLP, Drs Joan D and John A Maher of Decatur, Georgia)**

After-dinner Addresses by **HE Mr Lachezar Matev**, Ambassador Extraordinary and Plenipotentiary of Bulgaria to the Court of St James's, **HE Mr Basil O'Brien**, High Commissioner of the Bahamas and **Dr Jana Howlett**, University Lecturer in Russian, University of Cambridge, President and Fellow, Jesus College, Cambridge, introduced by **Mr Dominic Gibbs**, Group Taxation Manager, Caledonia Investments plc, with a vote of thanks proposed by **Mr Tomas Carruthers**, Chairman and Chief Executive Officer, Interactive Investor

Wednesday, 5th September 2007

08:00 **Theme: Crime in the Financial Markets**

Keynote Addresses

Chair: **Professor Barry A K Rider**, Director and Co-Chairman of the Symposium

- **Ms Jane Diplock**, Chairman, New Zealand Securities Commission and Chairman of the Executive Committee of IOSCO
- **Mr Shozo Kumano**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Mr Philip Robinson**, Director of Regulatory Transactions and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr Peter van den Broek**, Chair, Insurance Fraud Subcommittee, International Association of Insurance Supervisors

09:00 **Session V: Crime in the Capital Markets**

Chair: **Professor Mads Andenas**, Professor of Law, University of Leicester, and former Director of the British Institute of International and Comparative Law

- **Mr Alexander Cohen**, Deputy General Counsel, Securities and Exchange Commission, USA
- **Mr John Reading SC**, Deputy Director of Prosecutions, Department of Justice, Hong Kong
- **Mrs H Kemahi**, Vice President, Capital Markets Board, Turkey
- **Mr Niall Coburn**, Consultant, Brisbane, Australia
- **Mr John Sliter**, Superintendent, Director, Intergrated Market Enforcement Branch, Royal Canadian Mounted Police
- **Professor Donato Masciandaro**, Professor of Monetary Economics, Paolo Baffi Centre for Monetary and Financial Economics, Università Commercial Luigi Bocconi, Milan
- **Dr Andrew Haynes**, Director, Institute for Financial Law, University of Wolverhampton, UK
- **Mr Albert Tellechea**, Partner, Holland & Knight, USA

09:00 **Session VI (Parallel Session): Financial Crime in the Insurance Industry: Prevention, Control and Costs**

Chair: **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales

- **Captain P K Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce, UK
- **Mr Arthur Middlemiss**, Managing Director, AML Surveillance, Bear Stearns & Co., Inc, USA
- **Dr Joachim Kaetzler**, Lawyer, CMS Hasch Sigle, Frankfurt, Germany
- **Mr Marcelo Ruiz**, Partner, Pastoriza, Eviner, Canguero & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Professor Dayanath Jayasuriya**, Regional Director (Academic Affairs), International Compliance Association, former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa
- **Mr Denis Chan Sung-Shing**, Superintendent, Commercial Crime Bureau, Hong Kong Police Service
- **Ms Sue Jones**, Head of Unit, Insurance Fraud Bureau, UK
- **Dr Gabriel Wilkinson**, Legal Director, Gas Natural BAN, SA, Argentina

10:30 Coffee

10:45 Session VII: Islamic Financial Institutions – the potential for problems!

Chair: **Professor Khawar Qureshi QC**, Junior Treasury Counsel and Barrister, Serle Court, London and Visiting Professor of Law, School of Oriental and African Studies, University of London

- **Dr Fath El-Rahman El Sheikh**, Legal Advisor, Kuwait Investment Authority
- **Dr Lu'ayy Rimawi**, Consultant and Visiting Lecturer, University of Cambridge, UK
- **Professor Andrew Campbell**, Director, Centre for Business Law and Practice, School of Law, University of Leeds, UK
- **Ms Samah Aga**, University of Damascus, Syria
- **Mr Hamid Yunis**, Partner, Taylor Wessing, UK
- **Ms Siti Faridah**, Senior Lecturer in Law, National University of Malaysia
- **Mr Madzlan Mohamad Hussain**, Project Manager, Corporate Governance, Islamic Financial Services Board
- **Dr Aiman Sulaiman**, Lecturer in Law, International Islamic University, Malaysia
- **Judge Abed El Rehim Mohammed**, Cairo University and former Judge of the Civil Courts, Egypt
- **Dr Riad Belhoul**, Legal Advisor, Dubai Police Service, United Arab Emirates

10:45 Session VIII (Parallel Session): Executive Responsibility in Controlling Corporate Crime

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **Professor Leonid Fituni**, Director, Centre for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Professor Virginia G Maurer**, Huber Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Professor Mads Andenas**, Professor of Law, University of Leicester and formerly The Director, British Institute of International and Comparative Law; Special Counsel, European Bank for Reconstruction and Development and Director General (Legal), Royal Norwegian Ministry of Finance
- **Professor Cindy A Schipani**, Merwin H Waterman Collegiate Professor of Business Administration, Professor of Business Law and Chair of Law, History & Communication, Stephen M Ross School of Business, University of Michigan, USA
- **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Terry M Dworkin**, Jack R Wentworth Professor of Business Law, Kelley School of Business and Dean, Office for Women's Affairs, Indiana University, USA
- **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK
- **Dr Shazeeda Ali**, Technical Advisor, Attorney General's Chamber, Jamaica and Lecturer in Law, University of the West Indies, Jamaica

12:45 Lunch in Hall and Upper Hall, Jesus College

14:00 Session IX: International Co-operation in the Fight against Financial Crime

Chair: **Mr Erwin Dericourt**, Federale Magistraat, Belgium

- **Ms Elizabeth Jacobs**, Assistant Director, Office of International Affairs, Securities and Exchange Commission, USA
- **Mr Frank Cihlar**, Senior Counsel for International Tax Matters, Department of Justice, USA
- **Dr Robert vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Michael Foot**, former Inspector, Central Bank of the Bahamas
- **Mr John Masters**, Senior Crown Prosecutor, Crown Prosecution Service, UK
- **Mr Larry Boyce**, Vice President, Sales Compliance and Registration, Investment Dealers Association, Canada
- **Mr Graeme McDowell Smith**, Director, Financial Investigation Agency, British Virgin Islands
- **Ms Justine Walker**, Senior Associate, Financial Crime Policy Unit, Financial Services Authority, UK
- **Mr Carlos Conceicao**, Clifford Chance, UK and former Head, Wholesale Group – Enforcement, Financial Services Authority, UK
- **Mr Chris Dickson**, Executive Counsel, Accountants' Joint Disciplinary Scheme, UK

14:00

Session X (Parallel Session): Compliance – a shield or sword

Chair: **Professor John Maher**, Professor and Dean Emeritus, Dickinson School of Law, Penn State University and former Commissioner, Pennsylvania Securities Commission, USA

- **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission
- **Ms Sharon Craggs**, Head of Legal Compliance, DBS Bank Singapore
- **Mr Tom Newkirk**, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Mr Keith Martin**, Director, Compliance Division, Office of the Superintendent of Financial Institutions, Canada
- **Ms Suzanne Williams**, Manager, BSA/AML Risk, Board of Governors, Federal Reserve System, USA
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Mr Matthew Cooper**, Deputy Head, Group Money Laundering Prevention Unit, UBS
- **Mr Peter Richards-Carpenter**, Partner, Maher, Brown Rowe & Maw, UK

16:00

Tea

PLENARY WORKSHOP IV – 16:15-17:15

The Long Arm Reach of US Integrity Laws

Mr Ian Comisky, Partner, Blank,Rome LLP, Philadelphia, USA and formerly of the Department of Justice, USA
Mr Dana Boente, Principal Deputy Assistant Attorney General, Tax Division, Department of Justice, USA
Mr Michael Shepard, Principal, Principle – Deloitte Financial Advisory Services, LLP, USA
Mr Peter G Djinis, Law Offices of Peter G Djinis, USA

PLENARY WORKSHOP V – 16:15 – 17:15

Market Abuse – the risks for those in the market

Professor Alistair Alcock, Dean, Salford Law School, University of Salford, UK
Mr Carlos Conceicao, Clifford Chance, UK and formerly Head, Wholesale Group - Enforcement, Financial Services Authority, UK
Professor Tom Hurst, Professor of Securities Laws, College of Law, University of Florida, USA
Professor Johan Henning, Dean, Faculty of Law, University of the Free State, Republic of South Africa

PLENARY WORKSHOP VI – 16:15-17:15

Civil Recovery in Financial Frauds

Mr John Moscow, Baker Hostetler LLP, USA
Dr Cécile Ringgenberg, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
Mr Richard Parlour, Principal, Financial Markets Law International, UK
Mr Michael Ricks, Managing Director, Enquire International, UK

WORKSHOP 9 – 17.30-18.30

**Making the Regulators Pay –
Civil Liability for Regulatory Errors**

Professor Mads Andenas, Professor of Law, University of Leicester and former Director of the British Institute of International and Comparative Law
Mr Jaco de Bruin, Senior Lecturer in Law, University of the Free State, Republic of South Africa

WORKSHOP 10 – 17.30-18.30

**Privilege, Human Rights and Obstruction in
Regulatory Investigations**

Dr Nancy Baldwin, Attorney at Law, Florida USA
Mr Alan Lambert, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office
Mr Richard Jones QC, 5 Fountain Court, Birmingham
Mr Chris Dickson, Executive Counsel, Accountants' Joint Disciplinary Scheme, UK

<p align="center"><u>WORKSHOP 11 – 17:30-18:30</u></p> <p align="center">Financial Fraud and AML</p> <p>Mr Tom Newkirk, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA Mr Barry Goldsmith, Partner, Gibson, Dunn & Crutcher, USA Mr Ian Lyall, Managing Director, JP Morgan Chase, London</p>	<p align="center"><u>WORKSHOP 12 – 17:30-18:30</u></p> <p align="center">Civil Enforcement</p> <p>Mr Philip Rutledge, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission Mr John Moscow, Baker & Hostetler, USA Mr Jason Haines, Consultant, MPAC Consultancy LLP Dr Richard Alexander, Lecturer in Law, School of Oriental and African Studies, University of London, UK</p>
<p align="center"><u>WORKSHOP 13 – 17:30-18:30</u></p> <p align="center">Anti-money laundering initiatives in the Arab world</p> <p>Dr Lu’ayy Rimawi, Consultant and Visiting Lecturer, University of Cambridge, UK Judge Abed El Rehim Mohammed, Cairo University and former Judge of the Civil Courts, Egypt Ms Samah Aga, University of Damascus, Syria</p>	<p align="center"><u>WORKSHOP 14 – 17:30-18:30</u></p> <p align="center">Running an FIU in a Global Financial Institution</p> <p>Mr John Cusack, Head, Group Money Laundering Prevention, UBS Ms Anna Gudnadottir, Head, Group Financial Intelligence Unit, UBS Ms Tracy Paradise, Director, Group Money Laundering Prevention, UBS</p>
<p align="center"><u>WORKSHOP 15 – 17:30-18:30</u></p> <p align="center">Hedge funds: Can they create or assist criminals?</p> <p>Mr Julian Korek, Kinetic Partners LLP Mr Andrew Shrimpton, Kinetic Partners LLP Mr Nick Matthews, Kinetic Partners LLP</p>	<p align="center"><u>WORKSHOP 16 – 17:30-18:30</u></p> <p align="center">Privacy in Financial Transactions – Fact or Fiction?</p> <p align="center">Dr Anton Keller, The Secretary, Swiss Investors Protection Association</p>

19:00 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Both generously hosted by **World-Check**

After-dinner Addresses by **Mr Kenneth Rijock**, Financial Crime Consultant, World-Check, and **Mr Saul M Fromkin QC**, Chairman of the Symposium, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada, introduced by **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa, with a vote of thanks proposed by **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

Thursday, 6th September 2007

08:00 **Theme: The Enterprise of Crime**

Keynote Addresses

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Professor Michael Levi**, Professor of Criminology, Cardiff University
- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Mr Samarajoo Manikam**, Director, Anti-Corruption Agency, Malaysia

08:45

Session XI: Trafficking Crimes

Chair: **Mr Tom Lloyd**, Former Chief Constable, Cambridgeshire Police, UK

- **Professor Lord Renfrew of Kaimsthorn**, Sometime Master of Jesus College, Honorary Fellow of Jesus College and former Disney Professor of Archaeology and Director of the McDonald Institute for Archaeological Research, University of Cambridge
- **Professor Gilles Duteil**, Head of Group de Recherche sur la Délinquance Financière et la Criminalité Organisée, University Paul Cézanne at Aix-Marseille, France
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Mr Jack Cole**, Law Enforcement Against Prohibition, USA
- **Dr Rob McCusker**, Senior Research Analyst, Australian Institute of Criminology
- **Ms Aarti Kapoor**, Policy Advisor – Child Trafficking, Child Exploitation and Online Protection Centre, Serious Organised Crime Agency, UK
- **Mr Charles Hill**, Art Risk Consultant, UK
- **Mr Peter Lowe**, Assistant Director, Commercial Crime Services, International Chamber of Commerce, UK

10:30

Coffee

10:45

Session XII: Electronic Crime

Chair: **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Professor Ross Anderson**, Head, Computer Security Group, University of Cambridge and Foundation for Internet Policy Research, UK
- **Dr Stephen Marsh**, Intelligence and Security Advisor, Cabinet Office, UK
- **Dr James Backhouse**, Director, IS Integrity Group, London School of Economics and Political Science, University of London, UK
- **Mr Charles Pavelites**, Supervisory Special Agent, Internet Crime Complaint Centre, Federal Bureau of Investigation, Department of Justice, USA
- **Dr Richard Clayton**, Computer Laboratory, University of Cambridge, UK
- **Mr Charana Jayasuriya**, Fund Manager, Aegis Fund Management, Sri Lanka
- **Mr Shoichi Ito**, Chief Superintendent, Special Advisor for Criminal Investigation, Cybercrime Division, Community Safety Bureau, National Police Academy, Japan
- **Mr Courtney Linn**, Assistant US Attorney, Sacramento, Department of Justice, USA
- **Ms Jill Wong**, Asia-Pacific Head, Legal Private Banking, Credit Suisse, Hong Kong

12:45

Lunch in Hall and Upper Hall

13:45

Session XIII: Fiscal Crimes – the evasion of tax and its implications for those who handle other people's wealth

Chair: **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa

- **Mr David Hartnett**, Head of Revenue Policy, Board of Inland Revenue, UK
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Dr Daniel Mitchell**, Senior Fellow, Cato Institute, USA
- **Mr James Springer**, Resident Financial Enforcement Advisor, Bulgaria, formerly Department of Justice, USA
- **Professor Valery Dimitov**, Professor of Administration and Financial Law, President of the National Audit Office, Bulgaria and Lecturer in Banking and Securities Law, Law Faculty, University of Economy, Sofia, Bulgaria
- **Ms Wendy Tien**, Trial Attorney, Civil Division, Department of Justice, USA
- **Professor Lynette Olivier**, Professor of Tax at the University of Johannesburg and CEO South African Revenue Services Monitoring Office, Republic of South Africa

13:45

Session XIV (Parallel Session): Banks as Victims of Fraud and Financial Crime

Chair: **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

- **Mr Tom Roche**, Deputy General Counsel and Senior Vice-President, Federal Reserve Bank of New York, USA
- **Mr Charles Freeland**, former Deputy Secretary General, Basel Committee, Bank of International Settlements, Switzerland
- **Ms Susan Galli**, Director, Anti-Money Laundering Compliance Advisory and Forensics, BDO Seidman LLP, USA
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Mr Jonathan Fisher QC**, Barrister, London
- **Mr Colin Bamford**, Barrister, 3-4 South Square, London and former Director of the Financial Law Panel, UK
- **Mr Michael Tuckett**, Director, State of New York Banking Department, USA
- **Dr George Millard**, Special Adviser to the Commissioner of Police, Brazilian Civil Police
- **Ms Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy, London
- **Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Dr Dalvinder Singh**, Senior Lecturer in Law, Oxford Brookes University, UK

15:45

Tea

PLENARY WORKSHOP VII– 16:15-17:15

Charities and Crime

Professor Paul Palmer, Professor of Voluntary Sector Management, Cass Business School, City University, London

Mr Don Bawtree, Partner, BDO StoyHayward

Mr Kenneth Dibble, Executive Director, Legal and Charity Services, Charity Commission, UK

PLENARY WORKSHOP VIII – 16:15-17:15

Measuring the Integrity of Capital Markets

Mr John Sliter, Superintendent, Director, Intergrated Market Enforcement Branch, Royal Canadian Mounted Police
Mr Bryan Fodor, Senior Investigative Analyst, Intergrated Market Enforcement Branch, Royal Canadian Mounted Police

Mr Karim Ladak, Project Consultant, Royal Canadian Mounted Police

Professor Michael Levi, Professor of Criminology, Cardiff University

PLENARY WORKSHOP IX – 16:15 – 17:15

Trafficking in Persons

Mr Graham Ritchie, Solicitor, Director of Cambridge Children and Associate Fellow, Anglia Ruskin University, UK

Adv Beatri Kruger, Senior Lecturer in Law, University of the Free State, Republic of South Africa

Professor Susan Kreston, Fulbright Professor and Research Fellow, University of the Free State, Republic of South Africa

Professor Kadriye Bakirci, Associate Professor Istanbul Technical University, Member of the Commission for Draft Legislation, Government of Turkey

WORKSHOP 17 – 17:30-18:30

Corporate Insolvency related Crime

Professor Beth Farmer, Professor of Law, Dickinson Law School, Penn State University, USA

Professor Johan Henning, Dean, Faculty of Law, University of the Free State, Republic of South Africa

Dr Iris H-Y Chiu, Lecture in Law, King’s College, University of London, UK

WORKSHOP 18 - 17:30-18:30

Financial Investigation

Mr Paul Fullwood, Detective Chief Inspector, Head of Serious Organised Crime Department, Cambridgeshire Police, UK

Mr Steven Adcock, Financial Investigation Unit Manager, Serious Organised Crime Department, Cambridgeshire Police, UK

<p align="center"><u>WORKSHOP 19 – 17:30-18:30</u></p> <p align="center">Creating Effective Compliance</p> <p>Mr Bill Howarth, Chief Executive, International Compliance Association Mr Pekka Dare, Course Director, Anti-Money Laundering, International Compliance Association</p>	<p align="center"><u>WORKSHOP 20 – 17:30-18:30</u></p> <p align="center">Analysing Financial and Business Information in Fighting Money Laundering</p> <p>Dr James Backhouse, Director, IS Integrity Group, London School of Economics and Political Science, University of London Mr Bernard Dyer, Information Systems and Innovation Group, Department of Management, London School of Economics and Political Science, University of London Mr Dionysios Demetis, Information Systems and Innovation Group, Department of Management, London School of Economics and Political Science, University of London Dr Massimo Nardo, Head, Financial Analysis Division, Anti-Money Laundering Department, Ufficio Italiano dei Cambi, Italy</p>
<p align="center"><u>WORKSHOP 21 – 17:30-18:30</u></p> <p align="center">Legalized Regulation of Drugs Will Reduce Death, Disease, Crime and Addiction</p> <p>Mr Jack Cole, Law Enforcement Against Prohibition, USA Mr Tom Lloyd, former Chief Constable, Cambridgeshire Police, UK</p>	<p align="center"><u>WORKSHOP 22 – 17:30-18:30</u></p> <p align="center">The next level of due diligence – Integrity, mystery shopping and resilience testing</p> <p>Mr Martin Wood, Money Laundering Reporting Officer, Wachovia Bank N.A, London Mr Barry Watters, Managing Director, Alternative Investigation Management, UK</p>

19:00 **Cocktails** in the Marquee, generously hosted by **MPAC Consulting LLP**

19:45 **Dinner** in Hall and Upper Hall, Jesus College, generously hosted by the **Centre for Financial Regulation and Crime, Cass Business School, City University, London**

Addresses by **HE Mr Annan Cato**, High Commissioner for Ghana to the Court of St James's, and **The Hon Justice Rose Ukeje**, Chief Judge, Federal High Court of Nigeria, introduced by **Mr Philip Rutledge**, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, with a vote of thanks proposed by **Professor Avrom Sherr**, The Director, Institute of Advanced Legal Studies, University of London

Friday 7th September 2007

08:00 **Theme: The Profits of Crime**

Keynote Addresses

Chair: Professor Louis de Koker, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa

- **Mr Stefan Cassella**, Deputy Chief, Asset Forfeiture and Money Laundering Section, Department of Justice, USA
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Assizes, Palermo, Sicily and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy
- **Mr David Thomas**, Head, UK Financial Intelligence Unit, Serious Organised Crime Agency (SOCA), UK

08:45 **Session XV: The Legal and Other Risks – to those who mind other people's wealth**

Chair: Professor Ross Cranston QC, Centennial Professor of Law, London School of Economics and Political Science and former Solicitor General of England and Wales

- **Dr Katlin Blocker**, Partner, Lovells, Germany
- **Mr Jon Holland**, Partner, Lovells, UK
- **Dr Shazeeda Ali**, Technical Adviser, Attorney General's Chambers, Jamaica and Lecturer in Law, University of the West Indies, Jamaica
- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police

- **Mr Yehuda Shaffer**, Director, Israel Money Laundering Prohibition Authority, Government of Israel
- **Ms Susan Potgieter**, General Manager, Commercial Crime Office, SABRIC Association, Republic of South Africa

10:30 Coffee

10:45 **Session XVI: Money Remitters, Underground and Parallel Financial Systems**

Chair: **Professor Barry A K Rider**, Director and Co-Chairman of the Symposium

- **Mr Jyoti Trehan**, Additional Director General of Police, Indian Police Service, Punjab, India
- **Dr Ni Jianming** Director, Anti-Money Laundering and Analysis Centre, People's Republic of China
- **Mr Tom Viles**, Berliner, Corcoran & Rowe, Washington, DC
- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana
- **Mr Richard Pratt**, Richard Pratt & Partners, London
- **Professor Hans Geiger**, Swiss Banking Institute, University of Zurich, Switzerland
- **Mr John Mair**, Senior Vice President, International Global AML Compliance, Western Union, UK
- **Mr David Copley**, Serious Organised Crime Agency, UK
- **Mr Debi Prasad Dash**, Member, Panel of Experts on Sudan, United Nations Security Council, New York and formerly Commonwealth Secretariat, London

12:45 Lunch in Hall and Upper Hall

13:45 **Session XVII: Pursuit and Disruption of Profitable Crime**

Chair: **Sir Ivan Lawrence QC**, Chairman of the Executive Committee, Financial and Regulatory Crime Club and former Chairman, Home Affairs Select Committee, House of Commons, UK

- **Mr Steven Willmott**, Head of Economic Crime, City of London Police, UK
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong
- **Mr Alistair Munro**, Partner, Bridges & Partners, London
- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr Marcel Philippe**, Assistant District Attorney and Deputy Chief, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Rene Bruehlhardt**, Director, Financial Intelligence Unit, Liechtenstein
- **Mr Kenneth Rijock**, Financial Crime Consultant, World-Check
- **Mr William Kattan**, Director, Bermuda Monetary Authority
- **Mr Calvin Wilson**, Executive Director, Caribbean Financial Action Task Force (CFATF), Trinidad

16:00 Tea

PLENARY WORKSHOP X – 16:15 – 17:15

Cost Benefit of Crime Prevention

Professor Hans Geiger, Swiss Banking Institute, University of Zurich, Switzerland
Mr Oliver Wunsch, Researcher and Project Leader, International Anti-Money Laundering Study,
 Swiss Banking Institute, University of Zurich, Switzerland

PLENARY WORKSHOP XI – 16:15 – 17:15

**The Role of the Private Sector Organisations in the Control and Policing of Serious Financial Crime and Abuse
 Presented by Saint Louis University, USA**

Professor Fred Yeager, Professor of Finance and formerly Chair of the Department of Business,
 John Cook School of Business, Saint Louis University
Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and
 formerly Dean of the College of Professional Studies, Saint Louis University
Dean Ellen Harshman, Dean of the John Cook School of Business, Saint Louis University
Professor Neil Seitz, Professor of Finance and formerly Dean of the John Cook School of Business,
 Saint Louis University
Professor Jim Millar, Dillard Chair in Corporate Finance, Walton College of Business, University of Arkansas

PLENARY WORKSHOP XII – 16:15 – 17:15

Suspicion Based Reporting and Whistle Blowing – the Practicalities

Professor Michelle Gallant, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
Mr Stuart Bazley, Managing Director, Momenta Compliance Services Ltd
Dr Jackie Harvey, Principal Lecturer in Finance, Newcastle Business School, Northumbria University, UK
Ms Lynn Bleakley, Partner, Cartwright King Solicitors, UK and Consultant to Nelsons Solicitors, UK

PLENARY WORKSHOP XIII – 16:15 – 17:15

**Penetration of Financial Institutions by Organised Crime in Europe
(under the auspices of AGIS Programme, European Commission)**

Chaired by **Mr Saul M Froomkin QC**, Symposium Chairman

Commentators:

Dr Chizu Nakajima, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

Professor Barry A K Rider, Director and Co-Chairman of the Symposium

Discussants:

Professor Dan Magnusson, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau

Professor Gilles Duteil, Head of Group de Recherche sur la Délinquance Financière et la Criminalité Organisée, University Paul Cézanne at Aix-Marseille, France

Mr Andrew Fyfe, Economic Crime Department, City of London Police

Mr Eugene Corcoran, Bureau of Fraud Investigation, Garda Síochána, Ireland

Mr Jiri Pikes, Commissioner, Corruption and Financial Crime Unit, Czech Police Service

Rapporteur:

Dr Angela Leong, Associate Research Fellow, Institute of Advanced Legal Studies, University of London, formerly of the Assets Recovery Agency

WORKSHOP 23 - 17:30-18:30

Witness Protection

Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK
Mr Chuck Sciarini, President, Charles K Sciarini and Associates, LLC and former Special Agent, Federal Bureau of Investigation, Department of Justice, USA

WORKSHOP 24 - 17:30-18:30

Employee Related Fraud

Mr Roger Warwick, Pyramid International, Italy
Mr Alan Lambert, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office

WORKSHOP 25 -17:30-18:30

Multi-jurisdictional aspects of Serious Financial Crime

Mr Tyler Hodgson, Manager, Enforcement, Dubai Financial Services Authority
Mr George Gunn, Manager of Surveillance, Enforcement Branch, Ontario Securities Commission, Canada

WORKSHOP 26 - 17:30-18:30

Planning for the Unimaginable - Costs and Benefits in Business Continuity and Protective Security in the 21st Century

Dr David Langley, Home Office, UK
Mr Richard Flynn, Metropolitan Police
Mr Paul Forman, Government Security Advisor, Ministry of Defence

WORKSHOP 27- 17:30-18:30

The Internet and Financial Crime-the legal issues

Mr Asher Knippel, Institute of Advanced Legal Studies, University of London, UK
Dr Richard Alexander, Lecturer in Law, School of Oriental and African Studies, University of London, UK
Mr Richard Turnbull, Head, Commercial Crime, Computer Crime and Copyright and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong

WORKSHOP 28 - 17:30-18:30

High Intensity Financial Crimes Area

Mr Gary Murray, Director, New York HIFCA, Immigration and Customs Enforcement, Department of Homeland Security, USA
Mr Clark Abrams, Assistant District Attorney and Senior Investigative Counsel, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Marcel Philippe, Assistant District Attorney and Deputy Chief, Office of the Special Narcotics Prosecutor, City of New York, USA

<p><u>WORKSHOP 29– 17:30-18:30</u></p> <p>Securities Frauds in China – A Case study</p> <p>Dr Mark Hsiao, Lecturer in Law, Thames Valley University, UK</p> <p>Mr Charles Fu, Managing Partner, China New Century Law Firm LLC</p>	<p><u>WORKSHOP 30 – 17:30-18:30</u></p> <p>The Control of Financial Crime in Latin America A Case Study</p> <p>Dr Silvia Fazio, Head of International Business, Collyer Bristow, London</p> <p>Dr George Millard, Special Advisor to the Commissioner of Police, Brazilian Civil Police</p>
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19:00 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **HE Mr Yoshiji Nogami**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James's, **The Hon Mr Richard Regan**, Sheriff of the City of London, and **Dr Evan Bell**, Master, Queen's Bench and Matrimonial Division, Northern Ireland High Court, introduced by **Mr Scott Hopkins**, Co-Chairman, British Japanese Law Association and Senior Associate, Skadden, Arps, Slatem Meagher & Flom (UK) LLP and **Dr Chizu Nakajima**, Co-Director of the Symposium and Director, Centre for Financial Regulation and Crime, Cass Business School, City University, with a vote of thanks proposed by **Mr Tim Watts**, Consultant, Reed Smith Richards Butler LLP and Upper Warden of the Worshipful Company of Pattenmakers of the City of London

Saturday, 8th September 2007

8:00 **Session XVIII: Governance – the third way – (to where?)**

Chair: **Mr Saul M Fromkin QC**, Symposium Chairman

- **The Hon Justice Rose Ukeje**, Chief Judge, Federal High Court of Nigeria
- **Mr Graham Rodmell**, Senior Adviser, Transparency International, UK
- **Professor Peter Nolan**, Professor of Chinese Business, Judge Business School, Chair of Development Studies, University of Cambridge and Professorial Fellow, Jesus College, Cambridge
- **Professor Yasunobu Sato**, Graduate School of Arts and Sciences, Tokyo University, Japan
- **Dr Paul Larsson**, Head of Research, The Police Academy of Oslo, Norway
- **Mr Stuart Bazley**, Managing Director, Momenta Compliance Services Ltd
- **Mr Brian Sapati**, Co-ordinator, Corporate Governance Programme, GIMPA and former Head, Serious Fraud Office, Republic of Ghana
- **Datuk Simon Shim**, Senior Partner, M/S Sim Pang & Co, Malaysia
- **Mr Richard Collins**, Managing Director Authorisation, Qatar Financial Centre Regulatory Authority
- **Dr Hiroshi Goto**, Company Secretary and Deputy General Manager, Legal and Credit Department, Sojitz Europe plc/Sojitz UK plc
- **Mr Charles Fu**, Managing Partner, China New Century Law Firm LLC
- **Professor Dayanath Jayasuriya**, Regional Director (Academic Affairs), International Compliance Association former Chairman, Securities and Exchange Commission, Government of Sri Lanka and Professor of Law, University of the Free State, Republic of South Africa
- **Professor Doungkamol Bhanalaph**, Dhurak Ijppundit University, Bangkok, Thailand

10:30 **Coffee**

10:45 **Session XIX: The Next Twenty-Five Years!**

Chair: **Dr Chizu Nakajima**, Co-Director of the Symposium and Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

- **Mr John Maher III**, Speaker pro tempore of the Pennsylvania House of Representatives and founder of Maher Duessel Certified Public Accountants, USA
- **Professor Mads Andenas**, Professor of Law, University of Leicester and former Director of the British Institute of International and Comparative Law
- **Mr Tom Lloyd**, former Chief Constable, Cambridgeshire Constabulary
- **Mr Gary Scanlan**, Reader in Law, City University
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London
- **Dr Nick Ridley**, Associate Researcher, John Grieve Centre for Police Studies, London Metropolitan University
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

- **Professor Johan Henning**, Director of the Centre for Company and Partnership Law, Institute of Advanced Legal Studies, University of London and Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Louis de Koker**, Director, Centre for the Study of Economic Crime, Faculty of Law, University of Johannesburg, Republic of South Africa
- **Professor John Maher**, Immediate past Commissioner, Pennsylvania Securities Commission, sometime Dean and Professor Emeritus, Dickinson School of Law, Pennsylvania State University and former Chairman, Atlantic Liberty Savings, PA

Closing Remarks

- **Professor Barry A K Rider**, Director and Co-Chairman of the Symposium
- **Mr Saul M Froomkin**, Chairman of the Symposium

- 13:00** **Lunch**
- 14:30** **Sightseeing Tours of Cambridge**
- 14:30** **Chauffeur Punt Tours of the River Cam**

Celebratory Ball

Hosted by **Professor Barry A K Rider**, Executive Director of the Cambridge International Symposium on Economic Crime and the Master of the Worshipful Company of the Pattenmakers of the City of London, in the presence of **Councillor Jenny Bailey**, the Worshipful Mayor of the City of Cambridge, and several Masters and Wardens of the various worshipful livery companies of the City of London and York and their officers.

- 18:30** **Cocktails** (generously hosted by the **Ministry of Justice Investigation Bureau of Taiwan**) in the Orchard with an international buffet and entertainment (generously supported by the **Friends of the Symposium in China and the Philippines**) in the Hall of Jesus College, Cambridge

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-five years ago, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the Universities centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

Principal Organising Institutions

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary. The Deputy Chairman and Chairman of the Development Committee of the Institute is Sir John Mummery, Lord Justice of Appeal. The Society for Advanced Legal Studies is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. The Society's Advisory Council is chaired by The Rt Hon The Lord Scott of Foscote, Lord of Appeal in Ordinary.

The Centre for Financial Regulation and Crime, Cass Business School, City University, London

Cass Business School is one of the leading business and management schools in the UK and has the advantage of being based in the City of London, with the Lord Mayor as the University's Chancellor. The Centre for Financial Regulation and Crime (CFRC) was established in association with a number of regulatory and law enforcement agencies, including the City of London Police. The aim of CFRC is to provide a centre of excellence in order to promote and conduct research and teaching, across a broad spectrum of disciplines, in the area of financial regulation and crime control.

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme.

Symposium Chairman

Mr Saul M Froomkin QC

Senior Litigation Partner, Mello Jones & Martin, Bermuda, Honorary Fellow, Society for Advanced Legal Studies and former Attorney General of Bermuda and Director of Criminal Law, Federal Government of Canada

Symposium Director and Co-Chairman

Professor Barry AK Rider

Honorary Senior Research Fellow and former Director of the Institute of Advanced Legal Studies, University of London; Fellow Commoner and Former Fellow of Jesus College, Cambridge; President of the British Institute of Securities Laws; Executive Director, Centre for International Documentation on Organised and Economic Crime (CIDOEC) and Barrister.

Symposium Co-Director and Presiding Convenor

Dr Chizu Nakajima

The Director, Centre for Financial Regulation and Crime, Cass Business School, City University, London

The 25th Cambridge International Symposium on Economic Crime

The Wealth of Nations – at risk

Sunday 2nd – Sunday 9th September 2007
Jesus College, Cambridge

For further information, please contact

Mrs Angela Futter
Symposium Manager
Jesus College Cambridge CB5 8BL, UK
Tel: +44 (0) 1223 872160
Fax: +44 (0) 1223 872160

E-mail: symposium@jesus.cam.ac.uk
or
info@crimesymposium.org

or visit
www.crimesymposium.org

or contact (in regard to the programme)

Dr Chizu Nakajima, Symposium Co-Director
Director, Centre for Financial Regulation and Crime (CFRC)
Cass Business School, City University
106 Bunhill Row
London EC1Y 8TZ, UK
Tel: +44 (0) 20 7040 8678
Fax: +44 (0) 20 7040 8328
E-mail: cfr@city.ac.uk

For information on other CIDOEC programmes and
***The Journal of Financial Crime* and *The Journal of Money Laundering Control*,**
Please contact

Professor Barry AK Rider
Jesus College
Cambridge CB5 8BL, UK
Email: b.rider@jesus.cam.ac.uk