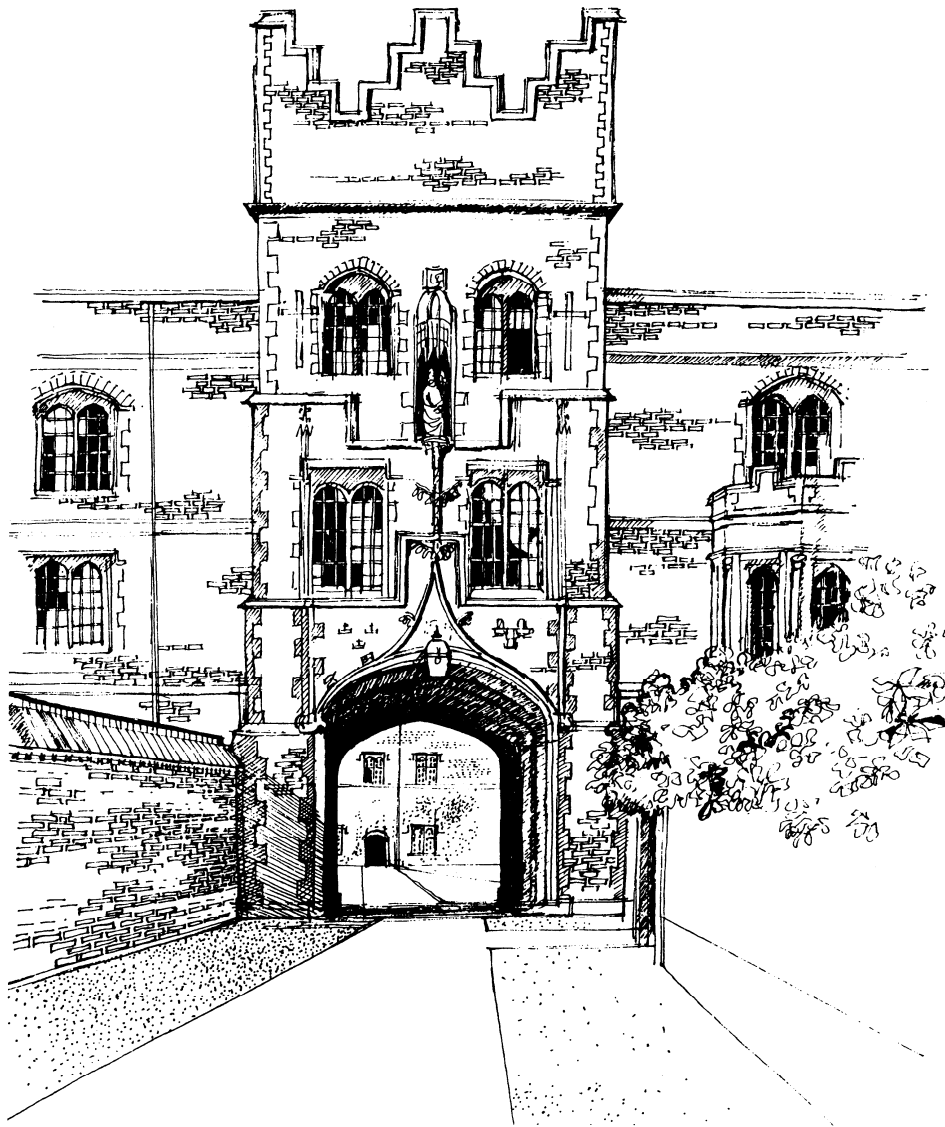


TWENTY-SEVENTH INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

SUNDAY 30TH AUGUST - SUNDAY 6TH SEPTEMBER 2009

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

The Enemy Within – internal threats to the stability and integrity of financial institutions



THE INTERNATIONAL ASSOCIATION OF ANTI-CORRUPTION AUTHORITIES



CIDOEC



UNIVERSITY OF
CAMBRIDGE



The Organising Institutions

The Centre for International Documentation on Organised and Economic Crime
The Institute of Advanced Legal Studies, University of London
The Society for Advanced Legal Studies
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
The Nathanson Centre for the Study of Organized Crime and Corruption, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
Development Studies, University of Cambridge
The Graduate School of Arts and Sciences, University of Tokyo
University of Cyprus
International Compliance Association

In association with: Jesus College, University of Cambridge

The 27th Cambridge International Symposium on Economic Crime

The Enemy Within – internal threats to the stability and integrity of financial institutions

This year the Cambridge International Symposium on Economic will celebrate its twenty-seventh year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts around the world. It also attests to the realisation on the part of governments and financial and other institutions as to the extent of the risks thrown up by economic crime and in particular organised crime to stability and security. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a respected international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Twenty-Seventh programme is rather special in that it is set in the context of one of the most serious financial crises that the world has had to face. Last year's programme entitled 'Banking on Trouble' was particularly prescient. Obviously the present situation is not the result of a single or even predominate cause. However, it is equally obvious that abusive dealings, self-interest and worse have exacerbated the problems.

While the clamour for accountability may in many instances be too late, an increase in regulatory and enforcement activity is inevitable. It is also the case that more and more evidence of serious economically motivated crime is coming to light as the international recession deepens. The new regulatory systems that will be brought into operation, both domestically and internationally will inevitably place much greater emphasis on ensuring integrity and sanctioning those who abuse their positions of trust. This has implications for us all.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. It regularly attracts well over 900 participants from 80 or so different countries – many of whom return year after year.

The Twenty-Seventh Symposium will focus on the threats confronting financial institutions from within. The extent of serious risks from inside institutions as a result of penetration and internal misconduct has long been recognised by financial regulators. The European Union has identified this as a major threat to financial stability. In the present crisis this threat is all the more immediate and serious. Our programme which consists of keynote and plenary sessions, supported by numerous workshops, will address those areas of risk thrown up not just by crime and terror, but also our responses to such threats, in the context of protecting and creating wealth. This year we will give particular attention to initiatives designed to address the problems resulting from corruption, penetration and fraud. However, there will also be a focus on the impact of highly profitable crimes on the system and in particular those who are responsible for minding other people's wealth. In the result the programme provides a unique opportunity at a very practical level, to share the experiences of over 300 specialist speakers and panellists in the prevention and control of risks to the integrity of the financial system, government and our societies.

The programme is structured to provide a depth and breadth of opportunity, second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has a record for promoting learning and research which is second to none. The University of Cambridge, with collaborating institutions throughout the world, is able to offer a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established twenty-seven years ago to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testament. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this special event.

Professor Barry A.K. Rider
Symposium Director and Co-Chairman,
Jesus College,
Cambridge.

Sunday, 30th August 2009

- 13:00-16:30** Registration in the Marquee, Jesus College, Cambridge
- 18:30** Cocktails in the Marquee
- 19:45** Dinner in Hall and Upper Hall, Jesus College

A civic welcome on behalf of the City of Cambridge will be extended by the **The Right Worshipful the Mayor of Cambridge Cllr Russ McPherson**. After-dinner Addresses will be given by **Dr Evan Bell**, Master, Queen's Bench and Matrimonial Division, Northern Ireland High Court, **Mr Saul M Froomkin QC**, Chairman of the Symposium and the Committee of Organising Institutions, Senior Litigation Partner, Mello Jones & Martin, Bermuda and former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium, Professorial Fellow, Development Studies Programme, University of Cambridge, Fellow Commoner, Jesus College, Cambridge, former Director of the Institute of Advanced Legal Studies, University of London and of Counsel to Bryan Cave LLP introduced by **Ms Shima Keene**, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime and former Senior Associate Fellow, ARAG, Defence Academy, Ministry of Defence, UK

Monday, 31st August 2009

08:00 Inaugural Addresses

- **Mr Saul Froomkin QC**, Chairman of the Symposium
- **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

Keynote Addresses

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Richard Alderman**, Director, Serious Fraud Office of England and Wales
- **The Hon Professor G L Peiris MP SC**, Minister of Enterprise Development, Industrial Policy and Investment Promotion, Government of Sri Lanka, former Minister of Justice and Constitutional Affairs and Deputy Minister of Finance, Sri Lanka and former Vice-Chancellor, University of Colombo
- **The Hon Professor Walter Woon Cheong Ming**, Attorney General of Singapore
- **Mr James H Freis Jr**, Director of the Financial Crimes Enforcement Network, Department of Treasury, USA
- **Mr Wang Yanzhi**, Director General, China Anti-Money Laundering Analysis Centre, People's Republic of China
- **The Hon Dr Timothy Tong**, Commissioner, Independent Commission against Corruption, Hong Kong
- **Mr Masaki Sasaki**, Director, United Nations Asia and Far East Institute for the prevention of Crime, Japan
- **HE Mr Antonio Gumende**, High Commissioner of the Republic of Mozambique
- **The Hon Dr Ahmed Jachi**, Deputy Governor, Banque du Liban

10:30 Coffee

10:45 Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **Mr Philip Robinson**, Director of Financial Crime and Intelligence and Sector Leader for Financial Crime, Financial Services Authority, UK
- **Mr Thomas Ott**, Deputy Chief, Organised Crime and Racketeering Section, Criminal Division, US Department of Justice
- **Mr Debi Prasad Dash**, Additional Director-General, Government of India, New Delhi, formerly Consultant, Counter-Terrorism, Commonwealth Secretariat
- **Dr Abdullahi Shehu**, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal
- **Mr Monty Raphael**, Special Counsel, Peter & Peters Solicitors, London and Director, Fraud Advisory Panel
- **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police
- **Mr Norman Sixth Wokoma**, Director, Nigerian Intelligence Service, Nigeria
- **Dr Massimo Nardo**, Co-ordinator Organised and Economic Crime Study Groups, National Financial Intelligence Unit, Banca d'Italia

12:45 Group Photograph, Jesus College

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00

Session Ia: Destabilisation of Financial Institutions – culpability and accountability

Chair: **Sir Kenneth Warren**, Consultant, former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Mr David Johnson**, Head, The National Technical Assistance Centre, UK and former Commander of Specialist Operations, Metropolitan Police, UK
- **The Hon Ms Kathleen Quartey**, Attorney General, British Virgin Islands and former Chief State Attorney, Ministry of Justice, Ghana
- **The Hon Mr Reginald Rhoda QC**, Attorney General, Gibraltar
- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines
- **Ms Judith Knott**, Director Business International, HM Revenue and Customs, UK
- **The Hon Mr Shozo Kumano**, Commissioner, Securities and Exchange Surveillance Commission, Japan
- **Ms Elizabeth Jacobs**, Deputy Director, Office of International Affairs, Securities and Exchange Commission, USA
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Scottish Crime and Drug Enforcement Agency
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Crime Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

16:15

Tea

16:30

Session Ib: (Continued)

- **The Hon Dr Ye Feng**, Director General, International Judicial Co-operation, Supreme People's Procuratorate of the People's Republic of China and Vice President, International Association of Prosecutors and Secretary General, International Association of Anti-Corruption Authorities
- **Mr Richard Small**, Vice President, Enterprise Wide Anti-Money Laundering and Sanctions Risk Management, American Express, USA
- **Dr George Millard**, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil
- **Professor Andreas Kapardis**, Chairman, Law Department, University of Cyprus
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Mr Sean O'Malley**, Assistant Vice President and Deputy Chief Investigator, Enforcement, Federal Reserve Bank of New York, USA
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Ms Catherine Ahn**, Analyst, Terrorism and Financial Intelligence, Department of Treasury, USA
- **The Hon Mrs Ifueko Omoigui Okauru**, Executive Chairman, Federal Inland Revenue Service, Nigeria
- **Professor Margaret Beare**, The Director, The Nathanson Centre for Study of Organised Crime, Faculty of Law, York University, Toronto, Canada
- **Mr Eisuke Nagatomo**, Visiting Professor, Waseda Graduate University, Japan and President and CEO, EN Associates Co.Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Dr George Gilligan**, Senior Research Fellow, Department of Business Law and Taxation, Monash University, Australia
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Rome
- **Professor Takeyoshi Imai**, Professor of Criminal Law, Hosei Graduate Law School, Tokyo and Advisor to the Ministry of Justice, Japan
- **Mr Dave Copley**, Chair of Interpol Working Group on IVTS
- **Mr Michael Weis**, Manager, PricewaterhouseCoopers, Luxemburg
- **Dr Qi-huai Zhang**, Senior Legislative Advisor, Standing Committee of the People's Congress of Beijing; Standing Counsellor of the Criminology Research Society of China; Senior Arbitrator, China International Economic and Trade Arbitration Commission and Beijing and Wuhan Arbitration Commissions

18:45

Cocktails in the Marquee

19:45

Dinner in Hall and Upper Hall, Jesus College,

After-dinner Addresses by **Mr Don Lents**, Chairman, Bryan Cave LLP and **Sir Anthony Hammond QC**, Standing Counsel to the General Synod, former H.M. Procurator, The Treasury Solicitor, The Queen's Proctor and the Legal Advisor, Home Office and Northern Ireland Office and Director General of Legal Services, Department of Trade and Industry, UK introduced by **Colonel Robert Murfin**, Clerk to the Worshipful Company of Pattenmakers of the City of London with a vote of thanks proposed by **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

Tuesday, 1st September 2009

08:00 Theme: Criminal Penetration of Financial Institutions

Keynote Addresses

Chair: **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Trans-national Crime, University of Trento, Italy

- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily
- **The Hon Justice Saleem Marsoof**, President's Counsel, Judge of the Supreme Court of Sri Lanka and Director of the Institute of Advanced Legal Studies of the Sri Lanka Law College
- **Mr Grant Liddell**, The Director, Serious Fraud Office, New Zealand

08:45 Session II: The Threat of Organised Crime to Financial Institutions

Chair: **Mr Peter German**, Assistant Commissioner and former Director General, Financial Crime, Royal Canadian Mounted Police

- **Mr Stephen Head**, Head of Economic Crime, City of London Police, UK
- **Mr Frank Cihlar**, Senior Counsel for International Tax Matters, Department of Justice, USA
- **Dr Alain Sham**, Head, Triad, Organised Crime and Anti-Terrorist Section and Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Mr Marcel Philippe**, Assistant District Attorney and Senior Investigative Counsel, Money Laundering and Forfeiture Office of the Special Narcotics Prosecutor, City of New York, USA
- **Colonel Mario Imparato**, Head, Guardia di Finanza, Province of Avellino, Italy
- **Mrs Juliet Ibekaku**, Counsel, Inter-Governmental Action Group against Money Laundering and Terrorism Financing (GIABA), Senegal
- **Dr Rinita Sarkar**, Serious Organised Crime Agency (SOCA), UK
- **Colonel Grant Newsham**, Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd
- **Mr Andrew Boye-Doe**, Chief Manager, Bank of Ghana
- **Mr Joseph Cachey III**, Chief Compliance Officer and Associate General Counsel, AML Global Compliance, Western Union, USA
- **Dr Angela Leong**, DMH Stallard, UK and formerly Asset Recovery Agency, UK
- **Dr Samah Aga**, University of Damascus, Syria

10:30 Coffee

10:45 Session III: The Threat of Terrorist Organisations to Financial Institutions

Chair: **The Hon Sir Gavin Lightman**, former Judge, Chancery Division, High Court of Justice, UK

- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Mr Yehuda Shaffer**, Director, Israel Money Laundering Prohibition Authority, Government of Israel
- **Ms Shima Keene**, Senior Research Fellow, Centre of International Documentation on Organised and Economic Crime and former Senior Research Fellow, ARAG, Defence Academy, UK
- **Mr Philippe de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Mr Ian Comisky**, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the US Department of Justice
- **Dr Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow
- **Mr Rune Grundekjøn**, Kredittilsynet, Financial Services Authority, Norway
- **Mr John McFarlane**, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University
- **Mr William Tupman**, Senior Research Fellow, University of Exeter
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre DASS, , London Metropolitan University, Senior Associate Baker Platt, Jersey and former Senior Analyst, Europol
- **Mr Jerry Hart**, Domain Specialist, i2 Ltd, UK

12:45 Lunch in Hall and Upper Hall, Jesus College

14:00 Session IV: Criminal Penetration of Financial Institutions – the practical issues

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

- **Mr Sarabjit Singh**, former Director General, Bureau of Police Research and Development, Indian Police Service, New Delhi, India
- **Mr John Moscow**, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Andy Fyfe**, Detective Inspector, Economic Crime Department, City of London Police
- **Ms Sara Dayman**, Partner, BDO Stoy Hayward LLP, UK
- **Mr Denis Heneghan**, Detective Inspector, Bureau of Fraud Investigation, Garda Siochana, Ireland
- **Mr Courtney Linn**, Counsel, Orrick, Herrington & Sutcliffe LLP and former Assistant US Attorney, Sacramento, US Department of Justice
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Counsellor, Bulgarian Embassy London
- **Ms Anne Sheedy**, Head of Operations, CIFAS, UK

15:45

Tea

PLENARY WORKSHOP I – 16:15-17:15

Case Study on Penetration of Financial Institutions in the USA

Mr Ian Comisky, Partner, Blank, Rome LLP, Philadelphia, USA and formerly of the US Department of Justice

Mr Steven Toscher, Partner, Hochman, Salkin, Rettig, Toscher & Perez P.C, USA and former Trial Attorney, Tax Division, US Department of Justice

Mr Nathan J. Hochman, Partner, Bingham McCutchen LLP, USA

Mr Larry Campagna, Chamberlain, Hrdlikca, White, Williams & Martin, USA

PLENARY WORKSHOP II – 16:15-17:15

Practical Mutual Legal Assistance

Mr Bob Evans, Director, Outreach, Serious Fraud Office of England and Wales

Mr Anthony Wilson, MLA Legal Advisor, Serious Fraud Office of England and Wales

PLENARY WORKSHOP III – 16:15-17:15

Money Laundering Trends: A Risk-based approach in dynamic times

Mr Robert Goecks, President, Egris LLC and former Senior Vice President and Director, Anti-Money Laundering Division, United States Trust Company

Mr Navin Beekarry, Harvard law School and former Chairman of the Anti-Corruption Commission, Mauritius

Mr Neil Jeans, Head, Group Financial Crime, National Australia Bank Limited, Australia

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

PLENARY WORKSHOP IV – 16:15-17:15

Promoting and Protecting Integrity and Stewardship in Business

Mr Saul M Froomkin QC, Symposium Chairman

Mr Paul Hauser, Partner, Bryan Cave LLP, London, UK

Mr Philip Rutledge, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

Professor Louis de Koker, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

Mr Alex Yearsley, Director, Proven Good Governance Group

Mr Peter Richards-Carpenter, Partner - Financial Services Group, Mayer Brown International LLP, UK

Mr Dominic Gibbs, Chief Operating Officer, The Cayzer Trust Company Limited

WORKSHOP 1 – 17:30-18:30

How easy is it for investment managers to pull the wool over investors' eyes? What are the lessons to be learnt?

Mr Julian Korek, Founding Member, Kinetic Partners LLP

Mr Fredrik Ostlund, Kinetic Partners LLP

WORKSHOP 2 – 17:30-18:30

Money Laundering and Corruption: A symbiotic relationship

Dr David Chaikin, Senior Lecturer in Business Law, University of Sydney, Australia

Professor Dayanath Jayasuriya, former Chairman, Securities and Exchange Commission, Government of Sri Lanka

<p align="center"><u>WORKSHOP 3 – 17:30-18:30</u></p> <p align="center">Penetration of financial institutions by organised crime and terrorists : a global perspectives</p> <p>Mr John McFarlane, Visiting Fellow, Strategic and Defence Studies Centre, Australian National University Mr Bill Tupman, Senior Research Fellow, University of Exeter, UK Mr Monty Rankin, Attorney at Law, Florida, USA Dr George Millard, Partner, Performance Global Risk Management and Security Consultants, Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil Mr Roberto Durrieu, Faculty of Law, University of Oxford, UK</p>	<p align="center"><u>WORKSHOP 4 – 17:30-18:30</u></p> <p align="center">Regulatory Civil Enforcement – the practical issues</p> <p>Mr Stuart Bazley, Director of Operations and General Counsel, City Equities Ltd, UK Mr Richard Peat, Barrister (13 Old Square Chambers) and former Litigation Enforcement Counsel, Financial Services Authority, UK Mr Ian Mason, Partner, Barlow Lyde and Gilbert, and former Head of the Wholesale Group, Enforcement Division, Financial Services Authority, UK</p>
<p align="center"><u>WORKSHOP 5 – 17:30-18:30</u></p> <p align="center">Informal and underground payment systems</p> <p>Mr Jyoti Trehan, Additional Director General of Police, Punjab State Human Rights Commission, India Ms Mary Lord, University of Wales, Aberystwyth, UK Ms Shima Keene, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime and former Senior Associate Fellow, ARAG, Defence Academy. Ministry of Defence, UK</p>	<p align="center"><u>WORKSHOP 6 – 17:30-18:30</u></p> <p align="center">Corporate Social Responsibility – a new approach to promoting Integrity</p> <p>Professor Louis de Koker, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia Ms Catherine Pedamon, Lecturer in Law, BPP Law School, London, UK</p>
<p align="center"><u>WORKSHOP 7 – 17:30-18:30</u></p> <p align="center">International Judicial Cooperation a Case Study</p> <p>Major Emilio Fioria, Guardia di Finanza, Milan Mr Dave Copley, Chair of Interpol Working Group on IVTS</p>	<p align="center"><u>WORKSHOP 8 – 17:30-18:30</u></p> <p align="center">Banking Frauds and Abuses in China</p> <p>Professor Hongming Cheng, Assistant Professor, Department of Sociology, University of Saskatchewan, Canada and Advisor to the Law Society, Shanghai, China and former Assistant Chief Prosecutor, International Affairs, Shanghai, China</p>

19:00 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon Lord Peter Goldsmith QC**, Partner, Debevoise & Plimpton LLP and former Attorney General of England and Wales and **The Hon Sir Gavin Lightman**, former Judge, Chancery Division, High Court of Justice, UK introduced by **Mr Richard Parlour**, Principal, Financial Markets Law International, UK with a vote of thanks proposed by **Professor Avrom Sherr**, The Director, Institute of Advanced Legal Studies, University of London

Wednesday, 2nd September 2009

08:00 **Theme: Corruption – opening the door**

Keynote Addresses

Chair: **The Hon Justice O Faji**, Judge of the Federal High Court, Nigeria

- **The Hon Mr Udayasri Kariyawasam**, Chairman, Securities and Exchange Commission and Insurance Board, Sri Lanka
- **Mr Paul Lachal Roberts**, Advisor to the Director General, Anti-Fraud Office, European Commission Anti-Fraud Office, Brussels

08:30 **Session V: Corruption – the inside facilitator**

Chair: **Mr Richard Preiss**, Senior Investigative Counsel, New York County District Attorney's Office, USA

- **Professor Mike Levi**, Professor of Criminology, Cardiff University, UK
- **Mr James Springer**, Resident Financial Enforcement Advisor, Office of Technical Assistance, US Department of Treasury in Namibia and formerly of the US Justice Department
- **Mr James Kellock**, former Deputy Director of the Serious Fraud Office, England and Wales
- **Mr Buddy Parker**, Partner, Maloy Jenkins Parker, USA and formerly of the US Justice Department
- **The Hon Judge Abdulai Sheikh Fofanah**, Judicial Service, Sierra Leone
- **Professor Dayanath Jayasuriya**, former Chairman, Securities and Exchange Commission, Government of Sri Lanka

- **Mr Jyoti Trehan**, Additional Director General of Police, Punjab State Human Rights Commission, India
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank of Reconstruction and Development, UK
- **Mr Navin Beekarry**, Harvard Law School and former Chairman of the Anti-Corruption Commission, Mauritius
- **Mr George Mills**, Anti-Corruption Expert, The European Union Rule of Law Mission to Kosovo

10:30 Coffee

10:45 **Session VI: Foreign Corrupt Practices**

Chair: **Mr Michael Ashe QC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

- **Mr Alan Bacarese**, Head of Legal, International Centre for Asset Recovery, Basel Institute on Governance, Switzerland
- **Mr Khawar Qureshi QC**, Junior Treasury Counsel, Serle Court, London and McNair Chambers, Qatar and Visiting Professor of Law, School of Oriental and African Studies, University of London
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **The Hon Justice Olayinka Faji**, Judge of the Federal High Court, Nigeria
- **Mr Leonid Fituni**, Director for Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Dr Cecile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Dr José Barbaccia**, former Federal Prosecutor, Argentina
- **Professor Justus Sokefun**, Dean of Law, National Open University, Nigeria
- **Professor Toru Umeda**, Reitaku University, Japan

12:45 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session VII: PEPs. The proceeds of corruption and the banks**

Chair: **Dr John Drysdale**, Chairman, Transparency International, UK

- **Mr Nicholas Burbidge**, Senior Director, Compliance Division, Office of the Superintendent of Financial Institutions, Government of Canada
- **Mr John Aspden**, Chief Executive, Financial Services Commission, Isle of Man
- **Mr Theodore S. Greenberg**, Financial Market Integrity Unit, The World Bank, USA
- **Dr Giorgio Merlonghi**, Deputy Head, Data Analysis and Management, National Financial Intelligence Unit, Banca d'Italia
- **Mr Frederic Raffray**, Crown Advocate, Guernsey
- **Mr Thomas Spies**, Counsel and Senior Manager, Regulatory Services Group, KPMG, Germany
- **Mr Bryan Stirewalt**, Director, Supervision, Dubai Financial Services Authority, Dubai
- **Mr Martin Kenny**, Martin Kenney & Co., Solicitors, British Virgin Islands
- **Ms Joy M Geary**, Managing Director, AML Master Pty Ltd, Australia
- **Professor Andrew Haynes**, Director, Institute of Financial Law, University of Wolverhampton, UK

Special Parallel Session VIIa

Presented by the **Serious Fraud Office on Business Ethics as an essential tool of Law Enforcement**, chaired by **Mr Bob Evans, Director**, Outreach, Serious Fraud office of England and Wales

Despite new legislation and innovative approaches to investigation and prosecution, financial crime will only decrease if we tackle it at source through a greater focus on business ethics and values. This session looks at a hard headed approach to ethical standards as an essential tool of law enforcement.

Ms Jennifer Board, HR Director – UK and Europe, Prudential, UK

Ms Philippa Foster Back OBE, Director, Institute of Business Ethics, London, UK

Mr James Cole, Partner, Bryan Cave LLP and former Deputy Chief of the Public Integrity Section of the Criminal Division, US Department of Justice

Dr Fernando Di Pasquale, Director, School of Finance, Catholic University of Argentina, Buenos Aires, Argentina

Mr Mike Trigg, Head, Group Financial Risk, Standard Chartered Bank, UK

16:00 Tea

PLENARY WORKSHOP V – 16:15-17:15

Banks and their customers: The customer is always wrong

Professor Ross Anderson, Professor of Security Engineering, University of Cambridge, UK

Dr Steven Murdoch, Computer Laboratory, University of Cambridge, UK

Dr Richard Clayton, Computer Laboratory, University of Cambridge, UK

Mr Stephen Mason, Barrister and Associate Research Fellow, Institute of Advanced Legal Studies, UK

PLENARY WORKSHOP VI– 16:15-17:15

The New Regulatory Landscape

Dr Kern Alexander, Centre for Financial Analysis and Policy, Judge Business School, University of Cambridge, UK
Mr Tim Watts, Consultant, Reed Smith Richards Butler LLP
Mr Philip Rutledge, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
Mr J A Cooke, Chairman Liberalisation of Trade in Service (LOTIS) Committee International Financial Services, UK
Professor Hongming Cheng, Assistant Professor, Department of Sociology, University of Saskatchewan, Canada and Advisor to the Law Society, Shanghai, China and former Assistant Chief Prosecutor, International Affairs, Shanghai, China

PLENARY WORKSHOP VII– 16:15-17:15

Islamic Finance - Issues of governance and compliance

Mr Brandon Davies, Non-Executive Director, Gatehouse, UK
Mr David Testa, Chief Executive Officer, Gatehouse, UK
Mr James Bagshawe, Chief Financial Officer, Gatehouse, UK
Ms Emily Macdonald, Head of Credit and Market Risk Management, Gatehouse, UK

PLENARY WORKSHOP VIII– 16:15-17:15

Co-ordination of Fraud Investigations

Mr Oliver Shaw, Detective Superintendent, City of London Police
Professor Mike Levi, Professor of Criminology, Cardiff University, UK
Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK
Mr Steve Strickland, Detective Inspector, Economic Crime Department, City of London Police

WORKSHOP 9 – 17:30-18:30

The UK National Fraud Reporting Centre

Mr Oliver Shaw, Detective Superintendent, City of London Police
Mr Steve Strickland, Detective Inspector, Economic Crime Department, City of London Police
Mr Mike Dixon, Detective Inspector, Metropolitan Police, UK

WORKSHOP 10 – 17:30-18:30

Bank regulation: lessons and challenges

Dr Shailaja Fennell, Assistant Director, Development Studies, University of Cambridge and Fellow of Jesus College, Cambridge
Mr István Lengyel, Secretary General, Banking Association for Central and Eastern Europe, Hungary
Dr Massimo Nardo, National Financial Intelligence Unit, Banca d'Italia

WORKSHOP 11 – 17:30-18:30

Protecting the Integrity of the Financial Sector: Supervisory and regulatory challenges for Developing Economies.

Dr Abdullahi Shehu, Director General, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal
Ms Juliet Ibekaku, Counsel, Inter-Governmental Action Group against Money Laundering and Terrorism Financing, Senegal
Ms Helen Hatton, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission

WORKSHOP 12 – 17:30-18:30

Boxing clever: Ethics or Law

Mr William Tupman, Senior Research Fellow, University of Exeter, UK
Mr Henry Rossbacher, Partner, The Rossbacher Firm, Los Angeles, USA

WORKSHOP 13 – 17:30-18:30

Protection of Whistleblowers: Legal Theory and Practical Reality

Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London
Mr Peter Richards-Carpenter, Partner - Financial Services Group, Mayer Brown International LLP, UK
Mr Nicholas Kynoch, Partner, Financial Services Department, Mayer Brown International LLP, UK

WORKSHOP 14 – 17:30-18:30

Money Laundering, financial flows and underground economies: Recent IMF Analytical Work

Mr Joseph Myers, Assistant General Counsel, Legal Department, International Monetary Fund, USA
Dr Paul Ashin, Senior Financial Sector Expert, International Monetary Fund, USA
Mr Steve Dawe, Senior Financial Sector Expert, International Monetary Fund, USA

WORKSHOP 15 – 17:30-18:30

Control Liability – putting responsibility where it matters

Mr Philip Rutledge, Partner, Bybel Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission
Dr Angela Leong, DMH Stallard, UK and formerly Asset Recovery Agency, UK
Professor Andrew Haynes, Director, Institute of Financial Law, University of Wolverhampton, UK
Mr Jason Haines, Head Financial Crime Practice, Huntswood, UK

WORKSHOP 16 – 17:30-18:30

Actionable Intelligence: the nexus between regulatory reporting and successful law enforcement investigations

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Daniel Wager, Director, New York High Intensity Money Laundering and Related Financial Crime Area, USA
Ms Donna Luisi, Supervisor, SAR/STR Review Team, New York High Intensity Money Laundering and Related Financial Crime Area, USA

Alternative Programme – Wednesday 2nd September 2009

The Criminal Exploitation of Child Labour and Human Trafficking

14:00 **Chair: Mr Graham Ritchie**, Solicitor and Associate Senior Fellow, Anglia Ruskin University Director Social Care and Law

- **Mr Roger Plant**, Head, Special Action Program to Combat Forced Labour, International Labour Organisation
- **Mr Bernie Gravett**, Superintendent, OCU Commander, Metropolitan Police, UK
- **Mr Kevin Vanterpool**, Detective Inspector, Cambridgeshire Police, UK
- **Mr Glynn Rankin**, Human Trafficking Centre, UK

15.20

- **Mr Jeffrey Avina**, Microsoft Corporation and formerly Global Initiative to Fight Human Trafficking Programme, United Nations
- **Mr Neil Kearney**, General Secretary, International Textile, Garment & Leather Worker's Federation, Belgium

16:00 **Tea**

- **Professor Antonello Miranda**, Professor of Comparative Law and Dean of the School of Social Sciences. University of Palermo, Italy
- **Professor Juan Pablo Landa Zapirain**, Professor and Director of Labour Law, Facultad de Derecho, Universidad del Pais Vasco, Spain
- **Professor Kadriye Bakirci**, Associate Professor, Istanbul Technical University and Member of the Commission for Draft Legislation, Government of Turkey
- **Dr Mario Vinkovic**, Assistant Professor, Director of J.J Strossmayer University, Croatia
- **Dr Nancy Baldwin**, Attorney at Law, Florida, USA

Plenary Discussion chaired by **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

19:00 **Cocktails** in the Marquee

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **The Rt Hon The Lord Davidson of Glen Clova QC**, Advocate General for Scotland and **The Hon Mr Zhang Lirong**, Minister Counsellor of the Embassy of the People's Republic of China, introduced by **Ms Li-hong Xing**, Senior Research Fellow, Centre for International Documentation on Organised and Economic Crime with a vote of thanks proposed by **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Thursday, 3rd September 2009

08:00 **Theme: Employees, insiders and impostors**

Keynote Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **Mr Mark Harding**, Group General Counsel, Barclays Bank Plc, UK
- **The Hon Mr John Maher III**, Vice Chairman, Centric Bank and Member and past Speaker pro tempore of The House of Representatives, Pennsylvania, USA

Session VIII: Insider Crime and Abuse

Chair: **Mr George Staple QC**, Consultant, Clifford Chance LLP, UK and former Director, Serious Fraud Office, England and Wales

- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

- **Professor Louis de Koker**, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia
- **Dr Rita Cheung**, Assistant Professor, Faculty of Law, Chinese University of Hong Kong
- **Dr Sou Chiam**, Deputy Principal Counsel, Department of Justice Hong Kong and Lecturer, Chinese University of Hong Kong
- **Mr Carlos Conceicao**, Partner, Clifford Chance LLP, UK and former Head of Enforcement, Wholesale Group, Financial Services Authority, UK
- **Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Dr Wang Jun**, Deputy Chief Executive, Financial Service Office of Chongqing Municipal Government, People's Republic of China
- **Dr Elena Monaci**, Senior Lecturer in Law, University of Sienna, Italy

10:30

Coffee

10:45

Session IX: Conflicts of interest and duty – a persistent threat

Chair: **Lord Timothy Clement-Jones**, Co-Chairman, Global Government Relations, DLA Piper LLP, UK

- **Dr Chizu Nakajima**, Director of the Centre for Financial Regulation and Crime, Cass Business School, City University, UK
- **Professor Johan Henning**, Dean of the faculty of Law, University of the Free State, Republic of South Africa
- **Dr David Chaikin**, Senior Lecturer in Business Law, University of Sydney, Australia
- **Dr Cora Smolianski**, Vice Director, Legal Affairs, Insurance Regulation Commission, Argentina
- **The Hon Professor Valriy Dimitrov**, President of the National Audit Office, Bulgaria and Professor of Administration and Financial Law, University of Economy, Sofia, Bulgaria
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for United States Trustees
- **Ms Jing Bian**, School of Oriental and African Studies, University of London and formerly of the Justice Department, People's Republic of China
- **Mr Kola Awodein**, Senior Advocate and Director, Skye Bank Plc, Nigeria
- **Dr Inez Dussuyer**, Principal Investigation Officer, Ombudsman Victoria, Australia
- **Professor Jim Fisher**, Director of the Emerson Centre for Business Ethics, John Cook School of Business, Saint Louis University, USA
- **Dr Maria Rosa Baglieri**, Lecturer in Law, University of Rome, Italy

Session X (Parallel Session): Outsider Insiders – Out sourcing the risks and responsibilities

Chair: **Captain P Mukundan**, Director, Commercial Crime Services, International Chamber of Commerce, UK

- **Mr Adam Kaufmann**, Bureau Chief, New York County District Attorney's Office, USA
- **Mr Chris Dickson**, Executive Counsel, Accountants' Joint Disciplinary Scheme, UK and former Deputy Director, Serious Fraud Office, England and Wales
- **Dr Marcelo Ruiz**, Partner, Estudio Vanguero, Ferrari & Ruiz, Buenos Aires and former Counsellor, Argentine Embassy, London
- **Mr James Cole**, Partner, Bryan Cave LLP and former Deputy Chief of the Public Integrity Section of the Criminal Division, US Department of Justice
- **Mr Larry Boyce**, Senior Vice President, SB Regulatory Consulting Inc, Canada
- **Mr Henry Rossbacher**, Partner, The Rossbacher Firm, Los Angeles, USA
- **Mr Peter Gray**, Associate, Dewey & LeBoeuf LLP, UK
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister's Department, States of Jersey, UK
- **Professor Dounkamol Bhanalaph**, Dhurak Ijpuudit University, Bangkok, Thailand

12:45

Lunch in Hall and Upper Hall

13:45

Session XI: Data Security and Identity Fraud

Chair: **Professor Johan Henning**, Dean of Faculty of Law, University of the Free State, Republic of South Africa

- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge and Foundation for Internet Policy Research, UK
- **The Hon Mrs Jennifer Dilbert**, Information Commissioner, Cayman Islands
- **Dr Stephen Marsh**, Strategic Advisor, Cabinet Office, UK
- **Mr Graeme McDowell Smith**, Consultant and former Director, Financial Investigations Agency, British Virgin Islands
- **Dr Richard Clayton**, Computer Laboratory, University of Cambridge, UK
- **The Hon Justice Abdullah Liman**, Judge of the Federal High Court, Nigeria

- **Mr Monty Rankin**, Attorney at Law, Florida, USA
- **Lieutenant Colonel Francesco Pisano**, DIA Anti -Money Laundering Unit, Guardia di Finanza, Italy

Special Parallel Session XII

Presented by the **Financial Crime and Intelligence Division, Financial Services Authority UK** on **The FSA's Scale and Impact Project on Financial Crime**, chaired by **Mr Philip Robinson**, Director of Financial Crime and Intelligence and Sector Leader for Financial Crime, Financial Services Authority, UK

- **Mr Bob Ferguson**, Head of Policy and Intelligence, Financial Crime and Intelligence Division, Financial Services Authority
- **Dr Matthew Fleming**, Highly Qualified Expert, US Department of Defence, USA
- **Mr John Howell**, Director, John Howell & Co. Ltd, UK
- **Professor Mike Levi**, Professor of Criminology, Cardiff University, UK
- **Mr David Artingstall**, Senior Consultant, John Howell & Co. Ltd, UK

15:45

Tea

PLENARY WORKSHOP IX– 16:15-17:15

The risks of Charities of being inveigled into facilitating crime

Professor Paul Palmer, Professor of Voluntary Sector Management, Cass Business School, City University, London
Mr Kenneth Dibble, Executive Director of Legal Services and Compliance, Charity Commission, UK
Mr Chris Martindale, Director of Investigations, BDO Stoy Hayward LLP, UK
Mr Peter Gotham, Partner, Gotham Erskine LLP, UK

PLENARY WORKSHOP X – 16:15-17:15

Sub-prime – the lessons – for us all!

Presented by Saint Louis University, USA

Professor Fred Yeager, Professor of Finance and formerly Chair of the Department of Business, John Cook School of Business, Saint Louis University,
Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University
Professor Neil Seitz, Professor of Finance and formerly Dean of the John Cook School of Business, Saint Louis University
Dr Jim Fisher, Director of the Emerson Centre for Business Ethics, John Cook School of Business, Saint Louis University

PLENARY WORKSHOP XI– 16:15 – 17:15

The UK Anti-Money Laundering Regime – Costs, Benefits and Effectiveness

Ms Karen Silcock – Chairman of Anti-Money Laundering Committee, Institute of Chartered Accountants in England and Wales; Member of Suspicious Activity Reports Regime Committee of the UK Serious Organised Crime Agency and MLRO of Deloitte UK
Ms Felicity Banks – Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee.

PLENARY WORKSHOP XII – 16:15 – 17:15

International Drug Cartels: The Enemy Within Our Banking System and How to Stop It

Mr Jack Cole, Law Enforcement Against Prohibition, USA
Mr Tom Lloyd, former Chief Constable, Cambridgeshire Police, UK
Mr James Duffy, former Chair, Strathclyde Federation of Police

WORKSHOP 17 – 17:30-18:30

Using the internet to expose the enemy within

Mr Peter Lowe, Director, Commercial Crime Services, International Chamber of Commerce, UK
Mr Max Vetter, Manager, Counterfeiting Intelligence Bureau, International Chamber of Commerce, UK

WORKSHOP 18 – 17:30-18:30

Violations of U.S. Sanctions by Non U.S. Banks

Mr Richard Preiss, Senior Investigative Counsel, New York County District Attorney's Office, USA

<p align="center"><u>WORKSHOP 19 – 17:30-18:30</u></p> <p>Next time - it's down to you! Are you really ready?</p> <p>Dr David Langley, formerly Home Office, UK</p>	<p align="center"><u>WORKSHOP 20 – 17:30-18:30</u></p> <p>Protecting minority shareholders from fraud and recklessness</p> <p>Dr Rita Cheung, Assistant Professor, Faculty of Law, Chinese University of Hong Kong Dr Sou Chiam, Deputy Principal Counsel Department of Justice Hong Kong and Lecturer, Chinese University of Hong Kong</p>
<p align="center"><u>WORKSHOP 21 – 17:30-18:30</u></p> <p>Co-ordinating Financial Intelligence - A law enforcement perspective</p> <p>Dr George Millard, Partner, Performance Global Risk Management and Security Consultants, Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil Mr Alan Lambert, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office</p>	<p align="center"><u>WORKSHOP 22 – 17:30-18:30</u></p> <p>Corruption and International Humanitarian Organisations</p> <p>Professor Anona Armstrong, Professor of Corporate Governance, Centre of International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia Professor Ronald D Francis, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia</p>
<p align="center"><u>WORKSHOP 23 – 17:30-18:30</u></p> <p>The threat of fraud and corruption from within EU institutions to the EU Community Budget</p> <p>Dr Simone White, European Anti-Fraud Office, Belgium Mr Jean Claude Salomon, formerly of l'Institut des Haut Etudes de Securite Interieur [IHESI, Paris] Mr William Tupman, Senior Research Fellow, University of Exeter, UK</p>	<p align="center"><u>WORKSHOP 24 – 17:30-18:30</u></p> <p>Revealing the hidden iceberg: Understanding, Identifying and Tackling the Enemy within</p> <p>Mr Imam Hoque, Senior Director, Detica and Founder of Detica NetReveal</p>

19:00 **Cocktails** in the Marquee generously sponsored by **The Financial Services Authority**

19:45 **Dinner** in Hall and Upper Hall, Jesus College

Addresses by **Professor Peter Nolan CBE**, Professor of Chinese Business, Judge Business School, Chair of Development Studies, University of Cambridge and Professorial Fellow, Jesus College, Cambridge and **The Hon Mr John Maher III**, Vice Chairman, Centric Bank and Member and past Speaker pro tempore of The House of Representatives, Pennsylvania, USA introduced by **Mr Jonathan Lloyd**, Foreign and Commonwealth Office, UK with a vote of thanks proposed by **Professor Louis de Koker**, Chair of Law, School of Law, Faculty of Business and Law, Deakin University, Australia

Friday, 4th September 2009

08:00 **Theme: Reducing the Risks!**

Keynote Addresses

Chair: **Mr Tom Lloyd**, former Chief Constable, Cambridgeshire Police, UK

- **Mr Joseph Myers**, Assistant General Counsel, Legal Department, International Monetary Fund, USA
- **Mr István Lengyel**, Secretary General, Banking Association for Central and Eastern Europe, Hungary

Session XIII: AML – Insider complicity in money laundering and the other financial crimes

Chair: **Mr Philip Rutledge**, Partner, Bybel & Rutledge LLP and former Chief Counsel,

- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Ms Louisa Lai**, Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong
- **Mr Kazuhiro Suzuki**, Director, Criminal Affairs Department, The Supreme Office of Public Investigation and Prosecution, Japan
- **Mr Michael Tuckett**, Director, State of New York Banking Department, USA
- **Dr David Chaikin**, Senior Lecturer in Business Law, University of Sydney, Australia
- **Mr Michael Chan**, Associate, Dewey & LeBeouf LLP, London, UK
- **Ms Iyandra Smith**, Barrister, Bahamas and Institute of Advanced Legal Studies, University of London,
- **Mr Martyn Bridges**, Partner, Bridges & Partners, London, UK

Session XIV (Parallel Session): Effective Compliance and Risk Management

Chair: **Mr Michael Ashe QC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

- **Mr Neil Stocks**, Head of group Compliance, Member of the Managing Board, UBS AG
- **Mr Clemente Vazquez- Bello**, Chairman, FIBA Annual AML Conference
- **Professor Anona Armstrong**, Professor of Corporate Governance, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Professor Ronald D. Francis**, Professorial Fellow in Governance and Ethics, Centre for International Corporate Governance Research, Faculty of Business and Law, Victoria University, Australia
- **Mr Andrew Maclay**, Director, Forensic Services, BDO Stoy Hayward LLP, UK
- **Ms Susanne Williams**, Manager of BSA/AML Risk Section, Division of Banking, Supervision and Regulation, Board of Governors, Federal Reserve System, USA
- **Mr Jonathan Bowdler**, Course Director - Compliance, International Compliance Association
- **Mr Nurset Cetin**, Attorney at Law, Deputy of Legal Affairs and Head of Institutional Relations Group, Capital Markets Board of Turkey
- **Mr Tyler Hodgson**, Counsel, Borden Ladner Gervais LLP, Canada

10:30

Coffee

10:45

Session XV: Economic Sanctions and due compliance

Chair: **Mr Robert Wardle**, Consultant, DLA Piper, UK and former Director of the Serious Fraud Office of England and Wales

- **Mr Patrick Guthrie**, Head of Asset Freezing Unit, HM Treasury, UK
- **Ms Anita Esslinger**, Partner, Bryan Cave LLP, London, UK
- **Mr James McGinnis**, Managing Director, Anti-Money Laundering, BNP Paribas, USA
- **Dr Katlen Blöcker**, Partner, Lovells, Germany
- **Mr Graeme Millar**, National Terrorist Financial Investigation Unit, UK
- **Mr Jason Haines**, Head Financial Crime Practice, Huntswood, UK
- **Mr Kit Dawnay**, Senior Analyst, Research and Intelligence, AEGIS, Specialist Risk Management, UK
- **Mr Mark Gough**, Deputy Head, Compliance Investigations, Siemens AG, Germany
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London

Session XVI (Parallel Session): Disruption

Chair: **Mr Oliver Sells QC**, Recorder of the Crown Court, England and Wales

- **Ms Rosalind Wright**, Chair, Fraud Advisory Panel and former Director of the Serious Fraud Office of England and Wales
- **Sir Ivan Lawrence QC**, former Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales and Visiting Professor of Law, University of Buckingham, UK
- **Ms Justine Walker**, Counter Terrorist & Proliferation Financing Branch, HM Treasury, UK
- **Ms Shima Keene**, Senior Research Fellow, Centre of International Documentation on Organised and Economic Crime and former Associate Fellow, ARAG, Defence Academy, UK
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong
- **Mr Shuhei Kojima**, Director of International Co-operation, Japan Financial Intelligence, National Police Agency, Japan
- **Mr John Masters**, Crown Counsel, Attorney General's Chambers, Cayman Islands
- **Mr Brian Sapati**, Consultant, Governance and Leadership and former Head, Serious Fraud Office, Republic of Ghana
- **Mr Rene Bruelhardt**, Director, Financial Intelligence Unit, Liechtenstein
- **Mr Richard Ma**, Senior Public Prosecutor, Department of Justice, Hong Kong

12:45

Lunch in Hall and Upper Hall

13:45

Session XVII: Due Diligence – improving the process

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, UK

- **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
- **Mr Julian Korek**, Founding Partner Kinetic Partners LLP, UK
- **Mr Chernio Jallow QC**, Director of Policy Research and Statistics, Financial Services Commission, British Virgin Islands and former Attorney General, British Virgin Islands
- **Dr Simone White**, European Anti-Fraud Office, Belgium
- **Dr Simona Mulinari**, Senior Manager, PricewaterhouseCoopers, Geneva
- **Mr Richard Collins**, Managing Director, Authorisation, Qatar Financial Centre Regulatory Authority
- **Mr Michael Ricks**, Managing Director, Enquire International Ltd, UK

- **Mr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange
- **Mr Andrew Wordsworth**, Partner, GPW Ltd, UK
- **Ms Aimee Toth**, Chief Compliance Officer and General Counsel, Allengheny Financial Group, Pittsburgh, USA

16:00

Tea

PLENARY WORKSHOP XIII – 16:15-17:15

Art Theft and Artifacts Looting a global Industry

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director,
Centre for International Financial Crimes Studies, University of Florida, USA

Mr Richard Harwood, Barrister, 39 Essex Street, London, UK

Dr Nancy Baldwin, Attorney at Law, Florida, USA

Dr George Millard, Partner, Performance Global Risk Management and Security Consultants; Professor Sao Paulo Police Academy, President International Police Association (Brazil) and former Chief of Police and Director, Sao Paulo, Brazil

Mr Henry Rossbacher, Attorney, Los Angeles, California, USA

PLENARY WORKSHOP XIV – 16:15-17:15

Proceeds of Crime Lawyers Association – Pursuing Criminal Property – the practical issues

Mr Warren Foot, Partner, Proceeds of Crime, Blake Laphorn, UK

Ms Sara Dayman, Partner, BDO Stoy Hayward LLP, UK

Professor Mike Levi, Professor of Criminology, Cardiff University, UK

Mr John Masters, Crown Counsel, Attorney General’s Chambers, Cayman Islands

PLENARY WORKSHOP XV – 16:15 – 17:15

Making Due Diligence work

Mr Michael Ricks, Managing Director, Enquire International Ltd, UK

Mr Peter Gray, Associate, Dewey & LeBoeuf LLP, UK

Mr Larry Gurwin, Consultant, Euro Investigation Srl, Milan, Italy

Mr Jason Haines, Head, Financial Crime Practice, Huntswood, UK

PLENARY WORKSHOP XVI – 16:15 – 17:15

Regulation – Prudential and Otherwise

Mr Philip Robinson, Director of Financial Crime and Intelligence and Sector Leader for Financial Crime,
Financial Services Authority, UK

Mr John Moscow, Partner, Baker & Hostetler LLP and former Assistant District Attorney, Office of the District Attorney of
New York, USA

Mr Chris Dickson, Executive Counsel, Accountants’ Joint Disciplinary Scheme, UK and former Deputy Director,
Serious Fraud Office, England and Wales

WORKSHOP 25 – 17:30-18:30

Corruption and Good Governance

Dr Denis Osborne, Consultant in Governance,
Development and Training, former
H.M. High Commissioner to Malawi

Dr David Chaikin, Senior Lecturer in Business Law,
University of Sydney, Australia

Mr John McFarlane, Visiting Fellow, Strategic and
Defence Studies Centre, Australian National University

WORKSHOP 26 – 17:30-18:30

**The Implementation of new legislation to secure
forfeiture of assets and proceeds of crime**

Mr Alan Lambert, Consultant and former Head of
Hertfordshire Police Financial Investigation Unit and
Consultant to the Foreign and Commonwealth Office

Mrs Svetla Konstantinova, Attorney-at-Law, Sofia,
Bulgaria and former Counsellor, Bulgarian Embassy,
London

WORKSHOP 27 – 17:30-18:30

AML/CFT and Low Capacity Countries

Professor Louis de Koker, Chair of Law, School of Law,
Faculty of Business and Law, Deakin University, Australia

Ms Joy M Geary, Managing Director, AML Master Pty
Ltd, Australia

WORKSHOP 28 – 17:30-18:30

The design and provision of Compliance Training

Mr George Littlejohn, Director of Global Business
Development, Securities Investment Institute, UK

<p align="center"><u>WORKSHOP 29 – 17:30-18:30</u></p> <p align="center">Organized Crime and corporate liability in Italy Professor Avv. Antonietta Confalonieri, Professor of Comparative Criminal Procedure, University of Urbino, Italy Dr. Rosalinda Armenio, Research Fellow Research Centre on Comparative Criminal Procedure, Italy</p>	<p align="center"><u>WORKSHOP 30 – 17:30-18:30</u></p> <p align="center">Reform of the Law relating to Corruption Professor Peter Alldridge, Drapers' Professor of Law and Head of Department, Queen Mary, University of London Professor Jeremy Horder, Law Commissioner for England and Wales and Lecturer in Law, Oxford University, UK Professor Dayanath Jayasuriya, former Chairman, Securities and Exchange Commission, Government of Sri Lanka Professor Celia Wells, Professor of Criminal Law, Bristol University, UK</p>
<p align="center"><u>WORKSHOP 31 – 17:30-18:30</u></p> <p align="center">Intellectual Property Crime</p> <p align="center">Mr Adebambo Adewopo, Director General, Nigerian Copyright Commission, Nigeria Mr Michael Akpan, Assistant Director, Nigerian Copyright Commission, Nigeria</p>	<p align="center"><u>WORKSHOP 32 – 17:30-18:30</u></p> <p align="center">The Impact of Emerging Technology on Economic Crime</p> <p align="center">Mr Robert Hayes, Senior Fellow, The Microsoft Institute for Advanced Technology in Governments, UK</p>

19:00 **Cocktails** in the Marquee,

19:45 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **HE Mr Yury Viktorovich Fedotov**, Ambassador Extraordinary and Plenipotentiary of Russia to the Court of St James's, **Mr Shingo Yamagami**, Minister of the Embassy of Japan and **Alderman David Wootton**, Partner, Allen & Overy LLP London, Alderman and Sheriff Elect of the City of London, introduced by **Mr Mark Blandford-Baker**, Magdalen College, University of Oxford with a vote of thanks proposed by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited

Saturday, 5th September 2009

08:00 **Theme: The Responsibility of Management for the prevention and control of Financial Crime related risks**

Keynote Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **Professor Arnoud De Meyer**, Director, Judge Business School, University of Cambridge and Professorial Fellow of Jesus College, Cambridge, UK
- **The Hon Justice David Hayton**, Caribbean Court of Justice, Republic of Trinidad and Tobago and former Fellow of Jesus College, Cambridge

08:45 **Session XVIII: Responsibilities of Management**

Chair: **Mr Anthony Fiducia**, Managing Partner (London), Bryan Cave LLP

- **The Hon Mr Terrence Williams**, Director of Public Prosecutions, Government of the British Virgin Islands
- **Mr Robert Goecks**, President, Egris LLC, USA and former Senior Vice President and Director, Anti-Money Laundering Division, United States Trust Company
- **Ms Siti Faridah**, Senior Lecturer in Law, National University of Malaysia
- **Professor Tom Hurst**, Professor of Securities Laws, College of Law, University of Florida, USA
- **Mr Idriss Al-Harith**, President's Office, Kuwait Investment Office, UK
- **Mr Paul Garlick QC**, Outer Temple Chambers, London, UK
- **Dr Mark Hsiao**, Lecturer in Law, School of Law, University of Bangor, Wales
- **Dr Konyin Ajayi**, Senior Advocate and Managing Partner, Olaniwun Ajayi & Co, Nigeria
- **Mr John Webb**, Head of Internal Audit, JP Morgan Cazanove and former Chairman, Banking and Financial Audit Committee, UK
- **Dr Denis Osborne**, Consultant in Governance, Development and Training, formerly H.M.High Commissioner to Malawi

Session XIX (Parallel Session): Practical Proactive Compliance

Chair: **Mr Nick Andrews**, Partner, Mpac Consultancy LLP, UK

- **Mr Stuart Bazley**, Director of Operations and General Counsel, City Equities Ltd, UK
- **Ms Kelly Yip**, Vice President, Ethics and Compliance, BNP Paribas, USA
- **Mr Brandon Davies**, Independent Chairman, Audit Risk and Compliance Committee, Gatehouse, UK
- **Mr Roger Best**, Partner, Clifford Chance LLP, UK
- **Mr Frans van Proosdij**, Manager, Compliance and Risk Management, Robeco Direct, The Netherlands

- **Mr Madzlan Mohamed Husain**, Project Manager, Corporate Governance, Islamic Financial Services Board (IFSB), Malaysia
- **Ms Almira Cemmell**, Assistant Partner, GPW Ltd, UK
- **Mr David Chernick**, Senior Manager, KPMG Forensic, UK

10:30 Coffee

10:45 **Session XX: The Cost Benefits – is it worth it?**

Chair: **Professor Johan Henning**, Dean of Faculty of Law, University of the Free State, Republic of South Africa

- **Mr Dagnino Pastore**, Dean, economic Science Faculty, Universidad Católica, Argentina
- **Mr Jack Cole**, Law Enforcement Against Prohibition, USA
- **Dr Stelios Zyglidopoulos**, Judge Business School, University of Cambridge, UK
- **Professor Roman Tomasic**, Chair in Company Law, Department of Law, University of Durham, UK
- **Mr Tom Lloyd**, former Chief Constable, Cambridgeshire Police, UK
- **Professor Jackie Harvey**, Principal Lecturer in Finance, Newcastle Business School, Northumbria University, UK
- **Mr Daniel Mitchell**, Senior Fellow, Cato Institute, USA
- **Professor Michell Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Professor Januz Bojarski**, Chair of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Professor Yasunobu Sato**, Professor of Law, University of Tokyo, Japan
- **Dr Anton Keller**, The Secretary, Swiss Investors Protection Association

13:00 Lunch

14:00 **Session XXI: Privacy, Confidentiality and Privilege – things of the past?**

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings, London and Recorder of the Crown Court, England and Wales

- **Mr Richard Regan**, Member of the Court of Common Council of the City of London and former Sheriff of The City of London
- **Mr Richard Preiss**, Senior Investigative Counsel, New York County District Attorney's Office, USA
- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris, France and former Deputy Secretary General, NATO
- **Mr John Moscow**, Partner, Baker & Hostetler LLP and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission
- **Professor Fred Yeager**, Professor of Finance and formerly Chair of the Department of Business, John Cook School of Business, Saint Louis University, USA
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau
- **Mr Charles Fussell**, Charles Fussell & Co, UK
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Professor Paul Larsson**, Professor and former Head of Research, Police University College, Oslo
- **Dr Cheong-Ann Png**, Office of the General Counsel, Asian Development Bank, The Philippines
- **Professor Manuelita Munoz**, Professor of Law, Interamerican University School of Law, Puerto Rico
- **Mr Saul Fromkin QC**, Symposium Chairman

Closing Addresses

Chair: **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

- **The Hon Mr Ajith Nivard Cabraal**, Governor, Central Bank of Sri Lanka
- **The Hon Mr Zenón Biagosch**, Director, Central Bank of Argentina and Director of the Business School, Universidad Católica, Argentina
- **The Hon Justice Abdulahi Mustapha**, Chief Judge, Federal High Court, Nigeria
- **Dr Shailaja Fennell**, Fellow of Jesus College, Cambridge and University Lecturer in Development Studies, University of Cambridge
- **Mr Yan Haiting**, Chief Representative of the People's Bank of China
- **Dr Bernard Herdan**, Chief Executive, The Strategic Fraud Authority, UK

Formal Close

- **Mr Saul Fromkin QC**, Chairman of the Symposium
- **Professor Barry A.K. Rider**, Director and Co-Chairman of the Symposium

18:00 **Cocktails** in the Marquee,

20:00 **Dinner** in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Mr Richard Regan**, Member of the Court of Common Council of the City of London and former Sheriff of The City of London, **Dr Helen Auty**, Master, to the Worshipful Company of Pattenmakers of the City of London, **Mr Saul M Froomkin QC**, Symposium Chairman introduced by **Colonel Robert Murfin**, Clerk to the Worshipful Company of Pattenmakers of the City of London with a vote of thanks proposed by **Professor Barry Rider**, Director and Co-Chairman of the Symposium

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating.

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, twenty-seven years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University is celebrating its 800 anniversary this year. The University consisting of over 100 departments, faculties and schools has a deserved international reputation for excellence. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime.

Principal Organising Institutions

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead, Lord of Appeal in Ordinary. The Society for Advanced Legal Studies is a registered charity concerned with promoting collaborative research between practising lawyers, academics and those involved in the administration of justice in Britain and elsewhere. The Society's Advisory Council is chaired by The Rt Hon The Lord Scott of Foscote, Lord of Appeal in Ordinary.

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*,
Please contact**

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The 27th Cambridge International Symposium on Economic Crime

**The Enemy Within – internal threats to the
stability and integrity of financial institutions**

**Sunday 30th August - Sunday 6th September 2009
Jesus College, Cambridge**

For further information, please contact

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